

## ABOUT KRONROD-REEB GRAPH OF A FUNCTION ON A MANIFOLD

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*Dedicated to the memory of Yuri Daletskii.*

**ABSTRACT.** We study Kronrod-Reeb graphs of functions with isolated critical points on smooth manifolds. We prove that any finite graph, which satisfies the condition  $\mathfrak{S}$  is a Kronrod-Reeb graph for some such function on some manifold. In this connection, monotone functions on graphs are investigated.

### 1. INTRODUCTION

Let  $M^n$  be a closed smooth manifold and denote by  $C^\infty(M^n)$  the space of smooth functions on  $M^n$  with isolated critical points. A connected component of the level surface  $f^{-1}(a)$  of a function  $f$  from  $C^\infty(M^n)$  is often referred to as a layer. Considering all layers of the function  $f$ , we get a decomposition of the manifold  $M^n$  into the union of layers. The property of a point of the manifold to belong to a layer determines an equivalence relation and, by introducing the natural quotient topology in the set of layers, we obtain a quotient set, which we denote in sequel by  $\Gamma_{K-R}(f)$ . This quotient set  $\Gamma_{K-R}(f)$  is homeomorphic to a finite graph. The set  $\Gamma_{K-R}(f)$  is called the **Kronrod-Reeb graph of the function**  $f$  from the space  $C^\infty(M^n)$ .

The Kronrod-Reeb graph  $\Gamma_{K-R}(f)$  of the function  $f$  on a manifold  $M^n$  admits an orientation, in order to show the direction in which the function  $f$  grows. It is a **special orientation** of the graph  $\Gamma_{K-R}(f)$  (see Definition 3.1). We will be denote such orientation by  **$f$ -orientation** of the graph  $\Gamma_{K-R}(f)$ . The graph  $\Gamma_{K-R}(f)$  with the  $f$ -orientation we will called in the sequel by the  **$f$ -oriented Kronrod-Reeb graph**.

It is obvious that the function  $f$  determines, in a canonical way, a partial order on vertexes of its  $f$ -oriented Kronrod-Reeb graph  $\Gamma_{K-R}(f)$ . A vertex  $x \in \Gamma_{K-R}(f)$  precedes a vertex  $y \in \Gamma_{K-R}(f)$  if values of  $f$  at the corresponding vertexes  $x$  and  $y$  of the layers  $N_x$  and  $N_y$  satisfy the inequality  $f(N_x) < f(N_y)$ . Such an order on the graph  $\Gamma_{K-R}(f)$  we will be called the  **$f$ -order**. The graph  $\Gamma_{K-R}(f)$  with the  $f$ -orientation and the  $f$ -order we will called a  **$f$ -pooriented Kronrod-Reeb graph**.

A graph  $\Sigma = (V, E)$  (oriented (pooriented) graph  $\Sigma = (V, E)$ ) for which there exist a manifold  $M^n$  and a smooth function  $f \in C^\infty(M^n)$  such that the Kronrod-Reeb graph ( $f$ -oriented ( $f$ -pooriented) Kronrod-Reeb graph)  $\Gamma_{K-R}(f)$  for this function  $f$  is isomorphic (orientation (orientation and partial order) preserve isomorphic) to the graph  $\Sigma = (V, E)$  is called an **K-R-graph (oriented (pooriented) K-R-graph)**.

It should be noted that not every finite graph having at least two vertexes of order one is a Kronrod-Reeb graph for a certain smooth function with finitely many critical points on a smooth  $n$ -manifold ( $n \geq 2$ ).

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**Theorem 2.1.** *Any finite graph  $\Sigma = (V, E)$  is a  $K$ - $R$ -graph of some function on some manifold  $M^n$  if and only if  $\Sigma = (V, E)$  admits some  $f$ -orientation (see Definition 3.1).*

A proof of this theorem is based on the following result.

**Theorem 3.1.** *Let  $\Sigma = (V, E)$  be a finite  $f$ -oriented graph. Then on the graph  $\Sigma$  there exists a monotone (increasing) function  $g : \Sigma \rightarrow \mathbb{R}$  such that its oriented Kronrod-Reeb graph is orientation preserving homeomorphic to an  $f$ -oriented graph  $\Sigma = (V, E)$ .*

Let  $\Sigma = (V, E)$  be a finite graph. Denote by  $\Omega$  the set of vertexes of order 1 in the graph  $\Sigma = (V, E)$ . The graph  $\Sigma = (V, E)$  satisfies the condition  $\mathfrak{S}$ , if it is connected, for any dividing vertex  $v$  from  $\Sigma$  and for any connected component  $\Sigma_v^i \subset \Sigma_v$  we have  $\Sigma_v^i \cap \Omega \neq \emptyset$  and the set  $\Omega$  consists of at least of two vertexes.

**Theorem 5.2.** *A finite graph  $\Sigma = (V, E)$  is an  $K$ - $R$ -graph of some function with finite critical points on some manifold  $M^n$  if and only if it satisfies the condition  $\mathfrak{S}$ .*

## 2. THE KRONROD-REEB GRAPH OF A FUNCTION ON A MANIFOLD

By a smooth  $n$ -manifold  $M^n$  (resp., manifold with boundary) we mean an  $n$ -dimensional smooth compact manifold without boundary (resp., with boundary  $\partial M^n$ ). The word “smooth” always indicates that the appropriate object belongs to the class  $C^\infty$ . By a critical point  $x$  of a function  $f$  defined on a manifold  $M^n$  we mean a point at which the partial derivatives of  $f$  vanish.

Let  $C^\infty(M^n, \partial M^n)$  denote the space of smooth functions on a manifold  $M^n$  with boundary  $\partial M^n$ , with a finite number of critical points. Suppose that all critical points of this function lie in the interior of  $M^n$ . Assume also that the functions from the space  $C^\infty(M^n, \partial M^n)$  take constant values on connected components of the boundary  $\partial M^n$  (the case where the boundary is absent is excluded). Let us consider an arbitrary connected component of the level surface  $f^{-1}(a)$  of a function  $f$  from  $C^\infty(M^n, \partial M^n)$ ; such level surfaces are often referred to as layers. If  $a$  is a regular value of the function  $f$ , then the layer is a submanifold of dimension  $n - 1$  smoothly embedded in into  $M^n$ . In the case where  $a$  is a critical value, the layer is a closed set  $N$ . Since critical points of  $f$  on  $N$  are isolated, we have that  $N$  is a manifold with singularities of dimension  $n - 1$ , where singularity set consists of critical points of  $f$  which lie on  $N$ . Considering all layers of the function  $f$ , we get a decomposition of the manifold  $M^n$  into the union of layers, i.e., there arises on  $M^n$  a foliation with singularities. The property of a point of the manifold to belong to a layer determines an equivalence relation and, by introducing the natural quotient topology in the set of layers, we obtain a quotient set, which we denote in sequel by  $\Gamma_{K-R}(f)$ .

**Lemma 2.1.** *Let  $f : M^n \rightarrow [a, b]$  be a function from  $C^\infty(M^n, \partial M^n)$ . Then the quotient set  $\Gamma_{K-R}(f)$  is homeomorphic to a finite graph.*

A finite graph  $\Sigma = (V, E)$  is understood as a finite one-dimensional simplicial complex. Here,  $V$  are the zero-dimensional simplices (vertexes) and  $E$  are the one-dimensional simplices (i.e., edges) [2].

*Proof of Lemma 2.1.* Let  $c$  be a regular value of the function  $f$ . Since the manifold  $M^n$  is compact, the set  $f^{-1}(c)$  has a finite number of connected components. Since critical values of the function  $f$  are isolated, for any critical value  $d \in (a, b)$  there exists  $\varepsilon > 0$  such that the segment  $[d - \varepsilon, d + \varepsilon]$  does not contain other critical values. Obviously, for any regular value  $\bar{c}$  from the half-interval  $[d - \varepsilon, d + \varepsilon]$  ( $(d - \varepsilon, d + \varepsilon]$ ), the number of connected components of the level surface  $f^{-1}(\bar{c})$  is the same. Therefore, the image of the non-compact manifold  $f^{-1}[d - \varepsilon, d + \varepsilon]$  ( $f^{-1}(d - \varepsilon, d + \varepsilon]$ ) into the set  $\Gamma_{K-R}(f)$  will be homeomorphic to a disconnected union of a finite number of half-intervals  $[d - \varepsilon, d + \varepsilon]$

$((d - \varepsilon, d + \varepsilon])$ . By virtue of continuity of the function  $f$ , there is a level surface  $f^{-1}(d)$  corresponding to the set  $\Gamma_{K-R}(f)$  with a finite number of points, which will be vertexes in the graph. Therefore, the image of the manifold  $f^{-1}[d - \varepsilon, d + \varepsilon]$  into the set  $\Gamma_{K-R}(f)$  will be a set homeomorphic to a finite subgraph.

It is clear that there exists  $\varepsilon > 0$  such that the segment  $[a, a + \varepsilon]$  ( $[b - \varepsilon, b]$ ) does not contain other critical values. Using above arguments we can show that the set  $f^{-1}(a)$  ( $f^{-1}(b)$ ) consists of a finite number of points, which will be vertexes of order 1 in the graph, and the image of the manifold  $f^{-1}[a, a + \varepsilon]$  ( $f^{-1}[b - \varepsilon, b]$ ) in the set  $\Gamma_{K-R}(f)$  will be a set homeomorphic to a finite subgraph. Consequently, the quotient set  $\Gamma_{K-R}(f)$  is homeomorphic to a finite graph.  $\square$

**Definition 2.1.** The set  $\Gamma_{K-R}(f)$  is called a Kronrod-Reeb graph for the function  $f$  from the space  $C^\infty(M^n, \partial M^n)$ .

*Remark 2.1.* For more details concerning the above definition, see [1]. To vertexes of a Kronrod-Reeb graph  $\Gamma_{K-R}(f)$  there correspond connected components of those level surfaces that contain splitting critical points of the function  $f$ . The local extrema of the function  $f$  correspond to vertexes of order 1.

The Kronrod-Reeb graph  $\Gamma_{K-R}(f)$  of the function  $f$  on a manifold  $M^n$  admits an orientation, i.e., one can put arrows on the edges in order to show the direction in which the function  $f$  grows. It is the **special orientation** of the graph  $\Gamma_{K-R}(f)$  (see Definition 3.1). We will call such an orientation by an  **$f$ -orientation** of the graph  $\Gamma_{K-R}(f)$ .

It is obvious that a function  $f$  determines, in canonical manner, a partial order on the vertexes of its  $f$ -oriented Kronrod-Reeb graph  $\Gamma_{K-R}(f)$ . A vertex  $x \in \Gamma_{K-R}(f)$  precedes a vertex  $y \in \Gamma_{K-R}(f)$  if the values of  $f$  at the layers  $N_x$  and  $N_y$  corresponding to the vertexes  $x$  and  $y$  satisfy the inequality  $f(N_x) < f(N_y)$ . Such an order on the graph  $\Gamma_{K-R}(f)$  we will be referred to as an  **$f$ -order**.

**Definition 2.2.** The Kronrod-Reeb graph  $\Gamma_{K-R}(f)$  with the  $f$ -orientation (with the  $f$ -orientation and the  $f$ -partial order on vertexes) defined by the function  $f$  will be called an  **$f$ -oriented ( $f$ -pooriented)** Kronrod-Reeb graph for the function  $f$  from the space  $C^\infty(M^n, \partial M^n)$ .

**Definition 2.3.** The graph  $\Sigma = (V, E)$  (oriented (pooriented) graph  $\Sigma = (V, E)$ ) for which there exist a manifold  $M^n$  and a smooth function  $f \in C^\infty(M^n)$  such that the Kronrod-Reeb graph ( $f$ -oriented ( $f$ -pooriented) Kronrod-Reeb graph)  $\Gamma_{K-R}(f)$  for this function  $f$  is isomorphic (orientation (orientation and partial order) preserving isomorphic) to the graph  $\Sigma = (V, E)$  is called a K-R-graph (oriented (pooriented) K-R-graph).

It should be noted that not every finite graph (with orientation (orientation and partial order)) having at least two vertexes of order one is a Kronrod-Reeb ( $f$ -oriented ( $f$ -pooriented) Kronrod-Reeb) graph for a certain smooth function with finitely many critical points on a smooth  $n$ -manifold ( $n \geq 2$ ).

Fig. 1 shows a graph that is not a K-R-graph.

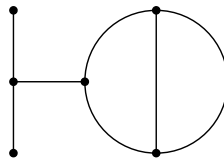


FIGURE 1. Not a K-R graph.

**Theorem 2.1.** *Any finite graph  $\Sigma = (V, E)$  is a K-R-graph of some function on some manifold  $M^n$  if and only if  $\Sigma = (V, E)$  admits some  $f$ -orientation (see Definition 3.1).*

For a proof of this theorem, we need to consider monotone functions on graphs.

### 3. MONOTONE FUNCTIONS ON FINITE GRAPHS

Let  $\Sigma = (V, E)$  be a finite graph. Let us assume that the number of vertexes of order 1 in the graph  $\Sigma = (V, E)$  is greater than 1. By an  **$f$ -orientation of the graph**  $\Sigma = (V, E)$  we mean assigning arrows to the edges in such way that following conditions are satisfied:

- a) there exist two vertexes of order 1 incident to edges with entering and outgoing arrows;
- b) for every vertex of order  $n \geq 2$  we can find two edges with entering and outgoing arrows incident to it;
- c) the graph  $\Sigma = (V, E)$  does not have oriented closed cycles.

By the definition, an oriented closed cycle of a graph  $\Sigma = (V, E)$  is the set of oriented edges of  $\Sigma$  which forms a homeomorphic image of an oriented circle.

It is clear that the  $f$ -orientation of a graph  $\Sigma = (V, E)$  determines, in a canonical way, a partial order  $P_o$  on the vertexes  $V$  of  $\Sigma$ . A vertex  $x \in \Sigma = (V, E)$  precedes a vertex  $y \in \Sigma = (V, E)$  if there is an  $f$ -oriented path beginning at the vertex  $x$  and ending at the vertex  $y$ .

The partial order  $P$  on the vertexes  $V$  of an  $f$ -oriented graph  $\Sigma = (V, E)$  will be called **consistent with the  $f$ -orientation** of  $\Sigma = (V, E)$ , if for any two vertexes from  $\Sigma = (V, E)$  that are in some relation relatively to the order  $P_o$  there exists the same relation between these vertexes relatively to the order  $P$ .

**Definition 3.1.** A finite graph  $\Sigma = (V, E)$  with the  $f$ -orientation (with the  $f$ -orientation and some partial order on vertexes of  $\Sigma$  consistent with the  $f$ -orientation of  $\Sigma$ ) will be called  **$f$ -oriented ( $f$ -pooriented)** graph.

**Definition 3.2.** Let  $g : \Sigma \rightarrow R$  be a continuous function on the graph  $\Sigma = (V, E)$ . We say that the function  $g$  is monotone on the graph  $\Sigma$ , if

- a) the restriction of the function  $g$  to edges  $E$  of the graph  $\Sigma$  is a strongly monotone function;
- b) local extrema of the function  $g$  lie on vertexes of the order 1.

*Remark 3.1.* For a monotone function  $g$  on the graph  $\Sigma = (V, E)$ , we can introduce the notion of the Kronrod-Reeb graph (oriented (pooriented) Kronrod-Reeb graph) for this function  $g$ , which we will call a K-R-graph (oriented (pooriented) K-R-graph) of  $g$ . Obviously, the K-R-graph of a monotone function  $g$  on the graph  $\Sigma = (V, E)$  is homeomorphic to  $\Sigma$ .

**Theorem 3.1.** *Let  $\Sigma = (V, E)$  be a finite  $f$ -oriented graph. Then on the graph  $\Sigma = (V, E)$  there exists a monotone (increasing) function  $g : \Sigma \rightarrow \mathbb{R}$  such that its oriented K-R-graph is homeomorphic (orientation preserving homeomorphic) to the  $f$ -oriented graph  $\Sigma = (V, E)$ .*

*Proof.* First we will construct some increasing function  $g$  on the  $f$ -oriented graph  $\Sigma$ . Our arguments will be of inductive character. Let  $v_i, i = 1, 2, \dots, s$ , be an arbitrary indexing of vertexes in the graph  $\Sigma$ . Consider the first vertex  $v_1$  from  $\Sigma$  and put  $g(v_1) = 1$ . Let  $v_2$  be the second vertex. If there is not an  $f$ -oriented path  $w$  in  $\Sigma$  connecting vertexes  $v_1$  and  $v_2$ , then put  $g(v_2) = 1$ . In the case when there is an  $f$ -oriented way  $w$  connecting these vertexes in  $\Sigma$ , we put  $g(v_2) = 2, (g(v_2) = 0)$ , if the vertex  $v_2$  is the end (origin) of the path  $w$ . Suppose that we have values of the function  $g$  on the vertexes  $v_i, i = 1, 2, \dots, k - 1$ .

Consider the vertex  $v_k$  from the graph  $\Sigma$ . There are four possibilities to connect the vertex  $v_k$  with vertexes  $v_i, i = 1, 2, \dots, k - 1$ , with an  $f$ -oriented path in the graph  $\Sigma$ ,

- a) the vertex  $v_k$  could not be connected in the graph  $\Sigma$  with an  $f$ -oriented path to the vertexes  $v_i, i = 1, 2, \dots, k - 1$ ;
- b) in the graph  $\Sigma$  there is an  $f$ -oriented path  $w$  with the origin at the vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ , and the end in the vertex  $v_{i_1}, 1 \leq i_1 \leq k - 1$ , and the vertex  $v_k$  belongs to the path  $w$ ;
- c) in the graph  $\Sigma$  there exists only an  $f$ -oriented path  $w$  with the origin at the vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ , and the end at the vertex  $v_k$  (there is not an  $f$ -oriented path  $w$  in  $\Sigma$  with the origin at the vertex  $v_k$  and the end at the vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ );
- d) in the graph  $\Sigma$  there exists only an  $f$ -oriented path  $w$  with the origin at the vertex  $v_k$  and with the end in vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ , (there is not an  $f$ -oriented path  $w$  in  $\Sigma$  with the origin at the vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ ), and the end at the vertex  $v_k$ .

In the case a) we put  $g(v_k) = 1$ .

Consider the case b). Let  $w$  be an  $f$ -oriented path in the graph  $\Sigma$  which satisfies the following conditions:

- 1) the origin of the path  $w$  is the vertex  $v_{i_0}, 1 \leq i_0 \leq k - 1$ , on which the function  $g$  takes a maximal value  $g(v_{i_0}) = a_{i_0}$  with the respect to the relation on other vertexes from  $v_i, i = 1, 2, \dots, k - 1$ , which are origins of  $f$ -oriented paths in  $\Sigma$ , going to the vertex  $v_k$ ;
- 2) the end of the path  $w$  is the vertex  $v_{i_1}, 1 \leq i_1 \leq k - 1$ , on which the function  $g$  takes a minimal value  $g(v_{i_1}) = b_{i_1}$  with the respect to the order relation on the vertexes  $v_i, i = 1, 2, \dots, k - 1$ , which are ends of oriented paths in  $\Sigma$ , going from the vertex  $v_k$ .

In this case we put

$$g(v_k) = a_{i_0} + 1/2(b_{i_1} - a_{i_0}) .$$

Consider the case c). Let  $c_k$  be a maximal value the function  $g$  takes on the set of the vertexes  $v_i, i = 1, 2, \dots, k - 1$ . Let us put

$$g(v_k) = c_k + 1 .$$

In the last case we shall act in similar manner. Let  $d_k$  be a minimal value the function  $g$  takes on the set of the vertexes  $v_i, i = 1, 2, \dots, k - 1$ . Set

$$g(v_k) = d_k - 1 .$$

This finishes the inductive step.

It is not difficult to show that the function  $g$  constructed as above on vertexes of  $\Sigma$  could be extended on edges to a strong increasing function on the graph  $\Sigma$ . Let  $e$  be an arbitrary  $f$ -oriented edge with the origin at a vertex  $v_i$  and the end at a vertex  $v_j$ . Suppose that  $i < j$  ( $i > j$ ) then, in the process of constructing the function  $g$ , the vertex  $v_i$  ( $v_j$ ) will appear first for the function  $g$  to be defined. By the method of the construction of the function  $g$ , the value of  $g$  on the vertex  $v_j$  ( $v_i$ ) will be greater (less) than the value  $g$  on the vertex  $v_i$  ( $v_j$ ). Therefore, the function  $g$  may be correctly extended from vertexes of the graph  $\Sigma$  on its edges.

The fact that the function  $g$  does not have local extrema in vertexes of the order greater than 1 follows from the condition that, in these vertexes, there exist both incoming and outgoing edges. Our construction will result from the fact that the function  $g$  is increasing on the  $f$ -oriented graph  $\Sigma$ . □

*Remark 3.2.* The consideration of the case where the graph  $\Sigma = (V, E)$  is ( $f$ -pooriented) reduces to the case of an  $f$ -oriented graph. The graph  $\Sigma$  has the partition  $P_o$  induced by the  $f$ -orientation which is compatible with the partition  $P$  for the pooriented graph  $\Sigma$ . If there exists a couple of vertexes  $v_1$  and  $v_2$  in the graph  $\Sigma$ , which are non-congruent in the partition  $P_o$  but  $v_1 < v_2$  in the partition  $P$ , then we introduce a new oriented edge  $\bar{e}$  from the vertex  $v_1$  to the vertex  $v_2$ . As a result, we obtain a new oriented graph  $\bar{\Sigma} \supseteq \Sigma$ . Obviously, the graph  $\bar{\Sigma}$  does not have oriented cycles. On the graph  $\bar{\Sigma}$ , we construct an increasing function  $\bar{g}$  by analogy with the previous case. The restriction of the function  $\bar{g}$  to the subgraph  $\Sigma$  in the graph  $\bar{\Sigma}$  gives the desired increasing function  $g$ .

#### 4. THE PROOF OF THEOREM 2.1

*Necessity.* It is obvious.

*Sufficiency.* Let  $\Sigma = (V, E)$  be a finite  $f$ -oriented graph. First, we shall construct a closed surface  $M^2$  and a smooth function  $g : M^2 \rightarrow \mathbb{R}$  such that its  $f$ -oriented Kronrod-Reeb graph  $\Gamma_{K-R}(g)$  is isomorphic to the  $f$ -oriented graph  $\Sigma = (V, E)$ .

Consider a neighborhood  $U_i$  of the vertex  $e_i \in V$  in the graph  $\Sigma = (V, E)$  having the order  $l_i \geq 3$ . Take a two-dimension sphere  $S^2$  and remove from it  $l$  non-intersecting open disks. As a result we obtain a smooth surface with boundary,  $N_i^2$ . Let the neighborhood  $U_i$  correspond to this surface  $N_i^2$ .

On Fig. 2, the order of vertexes  $e_i$  is  $l_i = 5$ .

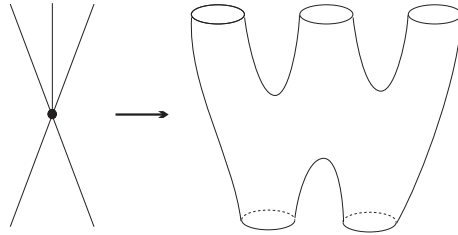


FIGURE 2. The neighborhood  $U_i$  corresponding to the surface  $N_i^2$ .

Using the function  $\operatorname{Re} Z^{l_i-1} + a_i$  ( $a_i \in \mathbb{R}$ ) we construct on the surface  $N_i^2$  a smooth function  $g_i$ , the Kronrod-Reeb graph  $\Gamma_{K-R}(g_i)$  of which is isomorphic to  $U_i$ . Fig. 3 shows the function  $g_i = \operatorname{Re} Z^4$ .

If a neighborhood  $\widetilde{U}_j$  of the vertex  $e_j \in V$  has order two, then it corresponds to the Möbius band  $\widetilde{N}_j^2$  with an open disk removed. Fig. 4 shows this case.

Using the function  $\operatorname{Re} Z^2 + b_j$  ( $b_j \in \mathbb{R}$ ), we will construct on the Möbius band  $\widetilde{N}_j^2$  a smooth function  $\widetilde{g}_j$ , the Kronrod-Reeb graph  $\Gamma_{K-R}(\widetilde{g}_j)$  of which is isomorphic to  $\widetilde{U}_j$ . The function  $g_j = \operatorname{Re} Z^2$  on the Möbius band is shown in Fig. 5.

At last, if a neighborhood  $\widehat{U}_k$  of the vertex  $e_k \in V$  has order one, then it corresponds to the two-dimensional disk  $\widehat{D}_k^2$ . On this disk  $\widehat{D}_k^2$ , using orientation of the neighborhood  $\widehat{U}_k$ , we construct a smooth function  $g_k = |z|^2 + c_k$  or  $g_k = -|z|^2 + c_k$ . Using the graph  $\Sigma = (V, E)$ , glue the surfaces  $N_i^2$ ,  $\widetilde{N}_j^2$  and  $\widehat{D}_k^2$  along their boundaries. As a result we obtain a closed surface  $M^2$ . By Theorem 3.1, on the graph  $\Sigma = (V, E)$  there

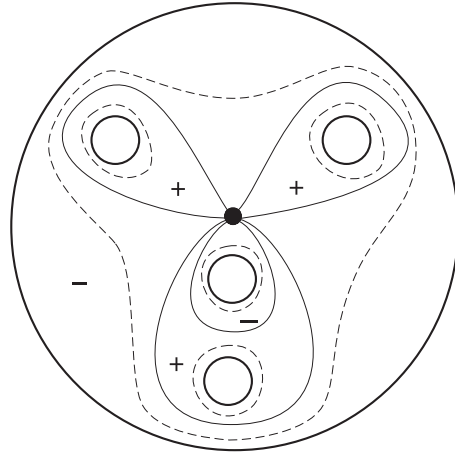


FIGURE 3. The level line of the function  $g_i = \operatorname{Re} Z^4$  on the surface  $N_i^2$ .

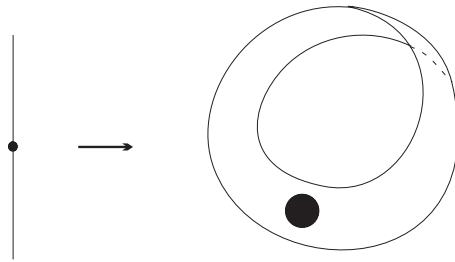


FIGURE 4. The neighborhood  $\widetilde{U}_j$  corresponding to a Möbius band

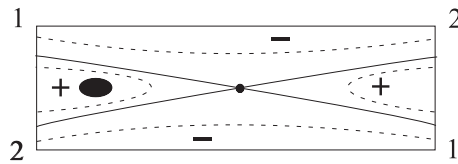


FIGURE 5. The function  $\widetilde{g}_j = \operatorname{Re} Z^2$  on Möbius band

exists a monotone function  $f$  such that its  $f$ -oriented K-R-graph is orientation preserving homeomorphic to the graph  $\Sigma$ . Using the function  $f$ , we use the functions  $g_i, \widetilde{g}_j, \widehat{g}_k$  to construct the increasing function  $g : M^2 \rightarrow \mathbb{R}$  such that its  $f$ -oriented K-R-graph is orientation preserving isomorphic to the  $f$ -oriented graph  $\Sigma = (E, V)$ .

Using the method of F. Takens [4] for tracing degenerate critical points from non-degenerate critical points we can construct an  $n$ -dimensional manifold  $M^n$  and a smooth function  $f$  on  $M^n$  such that its  $f$ -oriented K-R-graph is orientation preserving isomorphic to the  $f$ -oriented graph  $\Sigma = (E, V)$ .

Theorem 2.1 is proved. □

*Remark 4.1.* There is a similar theorem in case where the graph  $\Sigma = (E, V)$  is an  $f$ -pooriented graph. The proof of this theorem is analogous.

5. NECESSARY AND SUFFICIENT CONDITION FOR A FINITE GRAPH TO BE A  
KRONROD-REEB GRAPH OF A FUNCTION ON A MANIFOLD

Let  $\Sigma = (V, E)$  be a finite graph. Let  $v$  be a vertex of graph  $\Sigma = (V, E)$  and  $E_v$  denote the set of edges incident with the vertex  $v$ . The graph  $\Sigma_v = (V \setminus v, E \setminus E_v)$  is obtained as a result of eliminating the vertex  $v$  and the incident to it edges  $E_v$ . The vertex  $v$  is called dividing, if the graph  $\Sigma_v = (V \setminus v, E \setminus E_v)$  is a disconnected set. Denote by  $\Omega$  the set vertexes of order 1 in the graph  $\Sigma$ .

**Definition 5.1.** A finite graph  $\Sigma = (V, E)$  satisfies condition  $\mathfrak{S}$  if

- a) the graph  $\Sigma$  is a connected set;
- b) for any dividing vertex  $v$  from  $\Sigma$  and any connected component  $\Sigma_v^i \subset \Sigma_v$ , we have  $\Sigma_v^i \cap \Omega \neq \emptyset$ ;
- c) the set  $\Omega$  consists of at least of two vertexes.

In [3], the following theorem is proved.

**Theorem 5.1.** Let  $\Sigma = (V, E)$  be a finite graph which satisfies the condition  $\mathfrak{S}$ . Then on the graph  $\Sigma = (V, E)$  there exists an increasing function.

**Corollary 5.1.** Any finite graph  $\Sigma = (V, E)$  that satisfies the condition  $\mathfrak{S}$  may be converted to an  $f$ -oriented ( $f$ -pooriented) graph.

**Theorem 5.2.** A finite graph  $\Sigma = (V, E)$  is a K-R-graph of some function on some manifold  $M^n$  if and only if it satisfies condition  $\mathfrak{S}$ .

*Proof. Necessity.* Let  $f$  be a smooth function on a connected manifold  $M^n$ , which belongs to  $C^\infty(M^n, \partial M^n)$ , and  $\Gamma_{K-R}(f)$  be an  $f$ -oriented ( $f$ -pooriented) K-R-graph of  $f$ . Obviously,  $\Gamma_{K-R}(f)$  satisfies condition a).

Consider condition b). Let  $v \in V$  be a split vertex corresponding to the layer  $N \in f^{-1}(x)$  for some critical value  $x$ . It is clear that set  $M^n \setminus N$  consists of a finite number of connected submanifolds  $M_1^n, \dots, M_k^n$ . The restriction of the function  $f$  to any submanifold  $M_i^n$ ,  $f|_{M_i^n}$ , gives some smooth function  $f_i$  that has necessarily either a maximum or a minimum. Therefore, condition b) is fulfilled.

Validity of condition c) is obvious.

*Sufficiency.* Let  $\Sigma = (V, E)$  be a finite graph  $\Sigma = (V, E)$  that satisfies condition  $\mathfrak{S}$ . Then, by Corollary 5.1 and Theorem 2.1, it is a K-R-graph of some function on some manifold  $M^n$ .  $\square$

*Remark 5.1.* The question when a finite graph  $\Sigma = (V, E)$  will be a K-R-graph of some function  $g$  on a fixed manifold  $M^n$  is more difficult and will be considered in the next paper.

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