

## SINGULARLY PERTURBED NORMAL OPERATORS

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*This paper is dedicated to the memory of Israel Gohberg.*

**ABSTRACT.** We give an effective description of finite rank singular perturbations of a normal operator by using the concepts we introduce of an admissible subspace and corresponding admissible operators. We give a description of rank one singular perturbations in terms of a scale of Hilbert spaces, which is constructed from the unperturbed operator.

Let  $\mathcal{H}$  be a separable Hilbert space. Denote by  $A$  a linear operator with a domain  $\mathfrak{D}(A)$  dense in  $\mathcal{H}$ . A closed operator  $A$  is normal if  $A^*A = AA^*$ . In such a case,  $\mathfrak{D}(A^*) = \mathfrak{D}(A)$  and  $\|A^*x\| = \|Ax\|$  [1], which is equivalent to the equality

$$(1) \quad (Ax, Ay) = (A^*x, A^*y), \quad x, y \in \mathfrak{D}(A) = \mathfrak{D}(A^*),$$

since the bilinear forms  $(Ax, Ay)$  and  $(A^*x, A^*y)$  are uniquely determined by the corresponding quadratic forms. Self-adjoint operators and unitary operators are examples of a normal operator. If  $L$  is any self-adjoint operator, then the operator  $A = aL + bI$  is normal for arbitrary complex numbers  $a$  and  $b$ , and we will call such a normal operator a linear function of the self-adjoint operator. An analogue of a symmetric operator is a formally normal operator  $N$  with dense domain  $\mathfrak{D}(N)$  in  $\mathcal{H}$ . The operator  $N$  is called formally normal if  $\mathfrak{D}(N^*) \supset \mathfrak{D}(N)$  and  $\|N^*x\| = \|Nx\|$ ,  $x \in \mathfrak{D}(N)$ . If the formally normal operator has a normal extension, then we call such an operator prenormal. Comparing with a complete theory of self-adjoint extensions of a symmetric operator, the theory of normal extensions of a formally normal operator has been worked out to a lesser degree of completion [2]–[6]. In this work we use the approach from [7] and investigate normal operators  $\tilde{A}$  that are singularly perturbed with respect to a given normal operator  $A$  in the sense that the set  $\mathfrak{D} \subset \mathfrak{D}(\tilde{A}) \cap \mathfrak{D}(A)$  on which the operator  $\tilde{A}$  coincides with  $A$  is dense in  $\mathcal{H}$  and  $\tilde{A} \not\equiv A$ . In such a case, the operators  $\tilde{A}$  and  $A$  have the common prenormal part  $N = \tilde{A} \upharpoonright_{\mathfrak{D}} = A \upharpoonright_{\mathfrak{D}} = \tilde{A} \wedge A$ , and the operators  $\tilde{A}$  and  $A$  are different normal extensions of the operator  $N$ . If  $\mathfrak{D}(A) = \mathfrak{D} \dot{+} \mathfrak{R}$ , where  $\mathfrak{R}$  is an  $n$ -dimensional subspace of  $\mathcal{H}$ , then we say that the operator  $\tilde{A}$  is a singular perturbation of rank  $n$  with respect to  $A$ .

Let us note that it is interesting not only to describe all finite rank singular perturbations of a normal operator but also to find efficient conditions that would imply that no normal singular perturbation exists. For example, a formally normal operator  $N$  whose real and imaginary parts are symmetric operators with deficiency indices equal to  $(0, 0)$  or  $(1, 1)$  can be connected with the complex moment problem [8]. If a normal operator  $A$  is an extension of an operator  $N$ , then its spectral measure gives an integral representations of the moment sequence. Conditions under which the normal operator  $A$  does not have a singular perturbation of rank  $n \leq 2$  are sufficient for uniqueness of the

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normal extension of  $N$  and, consequently, for uniqueness of the integral representation of the moment sequence. Let us also make a remark on an interesting application to integration of nonlinear evolution equations by the method of the inverse spectral problem of a normal block Jacobi type matrix [9], in addition to the complex moment problem [10]–[11].

**1<sup>0</sup>.** Suppose the normal operator  $A$  has at least one regular point  $z$ , i.e., the operator  $(A - zI)^{-1}$  exists and is bounded. Since the operator  $A - zI$  is also normal, without loss of generality we suppose everywhere in the sequel that *the non-perturbed operator  $A$  has bounded inverse*. It gives a possibility to assume, in the representation  $\mathfrak{D}(A) = \mathfrak{D} \dot{+} \mathfrak{R}$ , that  $A\mathfrak{D} \perp A\mathfrak{R}$ , and put  $\mathfrak{R} = A^{-1}(\mathcal{H} \ominus A\mathfrak{D})$ , and due to (1) to also have  $A^*\mathfrak{D} \perp A^*\mathfrak{R}$ .

**Lemma 1.** *Let a normal operator  $\tilde{A}$  be a finite rank singular perturbation of a normal operator  $A$ . Then 1)  $A\mathfrak{R} \cap \mathfrak{D}(A) = \{0\}$ ; 2)  $\tilde{A}^* \upharpoonright_{\mathfrak{D}} = A^* \upharpoonright_{\mathfrak{D}}$ ; 3) There exist unique linear operators  $T$  and  $T_*$  in the subspace  $\mathfrak{R}$  such that*

$$(2) \quad \mathfrak{D}(\tilde{A}) = \mathfrak{D} \dot{+} (A^* + T)\mathfrak{R} = \mathfrak{D} \dot{+} (A + T_*)\mathfrak{R},$$

and the operators  $\tilde{A}$  and  $\tilde{A}^*$  act as follows:

$$(3) \quad \tilde{A}(x_0 + (A^* + T)r) = Ax_0 + ATr, \quad \tilde{A}^*(x_0 + (A + T_*)r) = A^*x_0 + A^*T_*r,$$

where  $x_0 \in \mathfrak{D}$  and  $r \in \mathfrak{R}$ . The operators  $T$  and  $T_*$  satisfy the relations  $AT_*A^{-1} = (ATA^{-1})^*$ ,  $A^*T_*(A^*)^{-1} = (A^*T(A^*)^{-1})^*$  on the subspaces  $\mathfrak{R} = A\mathfrak{R}$  and  $\mathfrak{M} = A^*\mathfrak{R}$ , respectively.

*Proof.* The conditions 1) and 2) follow from (1) and from density of the set  $\mathfrak{D}$  in  $\mathcal{H}$ . If for some vector we had  $r \in \mathfrak{R}$ ,  $r \neq 0$ ,  $Ar \in \mathfrak{D}(A) = \mathfrak{D}(A^*)$ , then we would obtain that the vector  $A^*(Ar) \neq 0$  would be orthogonal to  $\mathfrak{D}$ ,  $(\varphi, A^*(Ar)) = (A\varphi, Ar) = 0$ . But this is impossible, since the set  $\mathfrak{D}$  is dense in  $\mathcal{H}$ .

Since  $\mathfrak{D}(\tilde{A}^*) = \mathfrak{D}(A) \supset \mathfrak{D}$  and  $\tilde{A} \upharpoonright_{\mathfrak{D}} = A \upharpoonright_{\mathfrak{D}}$ , for an arbitrary  $\varphi, \psi \in \mathfrak{D}$ ,  $0 = (\varphi, \tilde{A}\psi - A\psi) = (\tilde{A}^*\varphi - A^*\varphi, \psi)$ , and using density of  $\mathfrak{D}$  in  $\mathcal{H}$  we have  $\tilde{A}^*\varphi = A^*\varphi$ .

Let  $\tilde{x} \in \mathfrak{D}(\tilde{A})$  and  $x = A^{-1}\tilde{A}\tilde{x} = x_0 + r$ , where  $x_0 \in \mathfrak{D}$  and  $r \in \mathfrak{R}$ . Since  $\tilde{A}\tilde{x} - Ax = 0$ , for arbitrary  $\varphi \in \mathfrak{D}$ ,  $0 = (\tilde{A}\tilde{x} - Ax, \varphi) = (\tilde{x} - x, A^*\varphi)$ . Hence,  $\tilde{x} - x \perp A^*\mathfrak{D}$  and, consequently, there exists an element  $\rho \in \mathfrak{R}$  such that  $\tilde{x} = x + A^*\rho$ . Taking into account that  $x = x_0 + r$  and using the element  $\tilde{x} \in \mathfrak{D}(\tilde{A})$  we construct two elements  $r, \rho \in \mathfrak{R}$  connected via the linear operator  $T$  in  $\mathfrak{R}$ ,  $r = T\rho$ .

The action of the operator  $\tilde{A}$  on the elements  $\tilde{x} = x_0 + Tr + A^*r$  is determined by the action of the operator  $A$  on the element  $x = x_0 + Tr$ , which gives (3) for the operator  $\tilde{A}$ . Analogously we prove (2)–(3) for the operator  $\tilde{A}^*$ . Since  $(\tilde{A}x, y) = (x, \tilde{A}^*y)$ , using (2) we put  $x = Tr + A^*r$ ,  $y = T_*\rho + A\rho$ , where  $r, \rho \in \mathfrak{R}$ , and taking into account (3) we obtain  $(ATr, A\rho) = (A^*r, A^*T_*\rho)$ . Then using (1) we obtain the relations for operators  $T_*$  and  $T$ .  $\square$

**Lemma 2.** *Let a normal operator  $\tilde{A}$  be a singular perturbation of rank one with respect to the normal operator  $A$ . Then there exist real numbers  $\theta$  and  $\xi$ ,  $-\frac{\pi}{2} < \theta \leq \frac{\pi}{2}$ ,  $\xi \in \mathbb{R}^1$ , such that vectors  $r \in \mathfrak{R}$  have the property*

$$(4) \quad e^{-i\theta}Ar - e^{i\theta}A^*r = 2i\xi r.$$

*Proof.* The subspace  $\mathfrak{R}$  is one dimensional and the operators  $T$  and  $T_*$  from (2) are operators of multiplication by the numbers  $t$  and  $\bar{t}$ , respectively. Due to (2) we can represent the vector  $\tilde{x} = tr + A^*r \in \mathfrak{D}(\tilde{A})$ ,  $r \in \mathfrak{R}$ , in the form  $\tilde{x} = x_0 + \bar{t}pr + pAr$ , where  $x_0 \in \mathfrak{D}$  and  $p$  is a complex number. Thus  $\tilde{A}\tilde{x} = tAr$ ,  $\tilde{A}^*\tilde{x} = A^*x_0 + \bar{t}pA^*r$ . Hence,  $0 = (\tilde{A}x_0, \tilde{A}\tilde{x}) = (\tilde{A}^*x_0, \tilde{A}^*\tilde{x}) = (\tilde{A}^*x_0, \tilde{A}^*x_0)$ , i.e.,  $x_0 = 0$ . From the another side,  $(\tilde{A}\tilde{x}, \tilde{A}\tilde{x}) = (\tilde{A}^*\tilde{x}, \tilde{A}^*\tilde{x})$ , which gives  $p = e^{-2i\theta}$ , where  $\theta \in (-\frac{\pi}{2}, \frac{\pi}{2}]$ . The equality  $\tilde{x} = tr + A^*r = e^{-2i\theta}(\bar{t}r + Ar)$  gives (4), where  $\xi = \frac{1}{2i}(te^{i\theta} - \bar{t}e^{-i\theta})$ .  $\square$

**Definition 1.** A vector  $r \in \mathfrak{D}(A)$  such that  $Ar \notin \mathfrak{D}(A)$  is called admissible for the operator  $A$  with characteristics  $\theta, \xi$  if the equality (4) is true, i.e.,  $r$  is an eigenvector of the operator  $\text{Im}(e^{-i\theta}A)$  with the eigenvalue  $\xi$ .

**Theorem 1.** For the set  $\mathcal{P}_1(A)$  of all normal operators  $\tilde{A} \neq A$  that are rank one singular perturbations of the normal operator  $A$  to be nonempty, it is necessary and sufficient that there existed an admissible vector  $r$  for the operator  $A$ .

If  $r$  is an admissible vector with characteristics  $\theta, \xi$ , and  $\tau$  is an arbitrary real number, then the operator  $\tilde{A}_{r,\tau}$  that has the domain

$$(5) \quad \mathfrak{D}(\tilde{A}_{r,\tau}) = \mathfrak{D} \dot{+} \{e^{-i\theta}(\tau + i\xi)\rho + A^*\rho\}, \quad \mathfrak{D} = A^{-1}(H \ominus \{Ar\}), \quad \rho = ar,$$

and acts by

$$(6) \quad \tilde{A}_{r,\tau}(x_0 + e^{-i\theta}(\tau + i\xi)\rho + A^*\rho) = Ax_0 + e^{-i\theta}(\tau + i\xi)A\rho, \quad x_0 \in \mathfrak{D},$$

belongs to the set  $\mathcal{P}_1(A)$ .

Each operator  $\tilde{A} \in \mathcal{P}_1(A)$  admits the representation (5), (6). Such a representation defines a one-to-one correspondence between the set  $\mathcal{P}_1(A)$  and the set of pairs  $\{\mathfrak{R}, \tau\}$ , where  $\mathfrak{R}$  is the one-dimensional subspace spanned by the admissible vector and  $\tau$  is a self-adjoint operator in  $\mathfrak{R}$ .

The operator  $\tilde{A}_{r,\tau}$  has bounded inverse iff  $\tau + i\xi \neq 0$ , and

$$(7) \quad \tilde{A}_{r,\tau}^{-1} = A^{-1} + e^{i\theta}(\tau + i\xi)^{-1}(\cdot, Ar)A^*r.$$

*Proof.* The necessity follows from Lemma 2. By a direct calculation we obtain that the operator  $\tilde{A}_{r,\tau}$  defined in (5), (6) is normal,  $\tilde{A}_{r,\tau} \in \mathcal{P}_1(A)$ , and representation (7) takes place.  $\square$

Theorem 1 has the following equivalent form.

**Theorem 2.** The set  $\mathcal{P}_1(A)$  is nonempty iff the normal operator  $A$  has a cyclic invariant subspace  $\tilde{\mathcal{H}}$ , where the operator  $A$  is a linear function of an unbounded self-adjoint operator  $L$  in  $\tilde{\mathcal{H}}$ .

*Proof.* If  $\mathcal{P}_1(A) \neq \emptyset$ , then there exists an admissible vector  $r$  with the characteristics  $\theta, \xi$ . Let  $\tilde{H} = H(r)$  be the cyclic invariant subspace of the operator  $A$  generated by the vector  $r$  [1]. Since  $\tilde{H}$  reduces the operator  $A$  and  $Ar \notin \mathfrak{D}(A)$ , the operator  $L = \frac{1}{2}(e^{-i\theta}A + e^{i\theta}A^*)$  is an unbounded self-adjoint operator on  $\tilde{H}$ , and the operator  $A \downarrow_{\tilde{H}} = e^{i\theta}(L + i\xi I)$  is a linear function of  $L$ .

Sufficiency follows from the fact that the unbounded self-adjoint operator  $L$  has singular perturbations of an arbitrary rank [7].  $\square$

**Corollary 1.** If the intersection of an arbitrary line on the complex plane and the spectrum of the operator  $A$  is a bounded or an empty set, then the normal operator  $A$  does not have a singular perturbation of the rank one, that is,  $\mathcal{P}_1(A) = \emptyset$ .

**2<sup>0</sup>.** We give a description of operators  $\tilde{A} \in \mathcal{P}_1(A)$  in terms of a scale of Hilbert spaces,  $\mathcal{H}_s \subset \mathcal{H} = \mathcal{H}_0 \subset \mathcal{H}_{-s}$ ,  $s > 0$ , constructed from the positive self-adjoint operator  $|A| = (AA^*)^{1/2} = (A^*A)^{1/2}$  [12]. In this construction, we set  $\mathcal{H}_s = \mathfrak{D}(|A|^{s/2})$ ,  $\|u\|_s = \||A|^{s/2}u\|$ , and  $\mathcal{H}_{-s}$  is the closure of  $\mathcal{H}_0$  in the norm  $\|u\|_{-s} = \||A|^{-s/2}u\|$ . Let us remark that  $\mathcal{H}_2 = \mathfrak{D}(A) = \mathfrak{D}(A^*)$ , and the operators  $A$  and  $A^*$  have extensions by continuity to  $\mathbf{A}$   $\mathbf{A}^*$ , being isometric operators acting from all  $\mathcal{H} = \mathcal{H}_0$  into all  $\mathcal{H}_{-2}$ . The scalar product  $(\cdot, \cdot)$  in  $\mathcal{H}$  can be extended by continuity to a pairing  $\langle \cdot, \cdot \rangle$  between  $\mathcal{H}_s$  and  $\mathcal{H}_{-s}$ . If  $\mathbf{B}$  is an operator acting from  $\mathcal{H}$  into  $\mathcal{H}_{-2}$ , then its restriction  $B$  to the space  $\mathcal{H}$  is defined on the set  $\mathfrak{D}(B) = \{u \in \mathfrak{D}(\mathbf{B}), \mathbf{B}u \in \mathcal{H}\}$ :  $B = \mathbf{B} \downarrow_{\mathcal{H}} = \mathbf{B} \upharpoonright_{\mathfrak{D}(B)}$ . If  $\mathbf{B} \neq B$ , then we call the operator  $\mathbf{B}$  singular on  $\mathcal{H}$ .

For each element  $\psi \in \mathcal{H}_{-2} \setminus \mathcal{H}$  and a complex number  $z \neq 0$ , we can construct a one-dimensional singular operator  $\mathbf{V} = z\langle \cdot, \psi \rangle \psi$ , acting from  $\mathcal{H}_2$  into  $\mathcal{H}_{-2}$ . This operator is defined on all  $\mathcal{H}_2$ .

If  $\psi \in \mathcal{H}_{-1}$ , then we continue the functional  $\langle \cdot, \psi \rangle$  to the whole  $\mathcal{H}_1$  and, in such a case, the operator  $\mathbf{V}$  is defined on the elements  $\mathbf{A}^{-1}\psi$ ,  $(\mathbf{A}^*)^{-1}\psi$  and, hence, it is defined on all elements  $\varphi + c_1\mathbf{A}^{-1}\psi + c_2(\mathbf{A}^*)^{-1}\psi$ , where  $\varphi \in \mathcal{H}_2$ ,  $c_1, c_2 \in \mathbb{C}$ .

Let  $\psi \in \mathcal{H}_{-2}$ ,  $\|\psi\|_{-2} = 1$ ,  $\psi = (\mathbf{A}\mathbf{A}^*)r$ , where  $r$  is an admissible vector with characteristics  $\theta, \xi$  of the operator  $A$ , according to Definition 1. In this case, to extend the operator  $\mathbf{V}$  to all elements of the form  $\varphi + c_1\mathbf{A}^{-1}\psi + c_2(\mathbf{A}^*)^{-1}\psi$ , it is sufficient to extend the functional  $\langle \cdot, \psi \rangle$  to the elements  $\omega = \frac{1}{2}(e^{i\theta}\mathbf{A}^{-1}\psi + e^{-i\theta}(\mathbf{A}^*)^{-1}\psi)$  using the real number  $\gamma$ ,  $\langle \omega, \psi \rangle_\gamma = \gamma$ . Then the extended operator  $\mathbf{V}_\gamma$  is defined on the elements  $\mathbf{A}^{-1}\psi$  and  $(\mathbf{A}^*)^{-1}\psi$  and has the value

$$\mathbf{V}_\gamma(\mathbf{A}^{-1}\psi) = ze^{-i\theta}(\gamma - i\xi)\psi, \quad \mathbf{V}_\gamma((\mathbf{A}^*)^{-1}\psi) = ze^{i\theta}(\gamma + i\xi)\psi.$$

Such an extension of the operator  $\mathbf{V}$  will be called a regularisation and the real number  $\gamma$  is called a parameter of the regularisation. If  $\psi \in \mathcal{H}_{-1}$ , the parameter of the regularisation  $\gamma = \langle \omega, \psi \rangle$  is defined by continuity. If  $\psi \in \mathcal{H}_{-2} \setminus \mathcal{H}_{-1}$ , we can choose the parameter of regularisation to be arbitrary or in such a way that the operator  $\mathbf{V}$  would have some symmetry properties [13].

**Definition 2.** *The sum of a normal operator  $A$  and a one-dimensional regularised singular operator  $\mathbf{V}_\gamma$ , in the space  $\mathcal{H}$ , we understand an operator  $\tilde{A}$  that is the restriction of the operator  $\mathbf{A} + \mathbf{V}_\gamma$ ,  $\tilde{A} = (\mathbf{A} + \mathbf{V}_\gamma) \upharpoonright_{\mathcal{H}} = A + z\langle \cdot, \psi \rangle_\gamma \psi$ .*

**Theorem 3.** *Let  $\psi \in \mathcal{H}_{-2}$ ,  $\|\psi\|_{-2} = 1$ ,  $\psi = (\mathbf{A}\mathbf{A}^*)r$ , and  $r$  be an admissible vector of the operator  $A$  with characteristics  $\theta, \xi$ . Let a real number  $\gamma$  be the parameter of regularisation of the one-dimensional operator constructed from the vector  $\psi$ , and suppose that a real number  $\lambda \neq 0$ . Then*

$$(8) \quad \tilde{A}_{\psi, \lambda} = A + e^{i\theta} \lambda \langle \cdot, \psi \rangle_\gamma \psi \in \mathcal{P}_1(A).$$

If  $\tau = -\lambda^{-1} - \gamma$ , then the operator  $\tilde{A}_{\psi, \lambda}$  coincides with the operator  $\tilde{A}_{r, \tau}$  defined in Theorem 1.

*Proof.* The domain of the operator  $\tilde{A}_{\psi, \lambda}$  consist of vectors of the form  $\tilde{x} = x_0 + c_1r + c_2(\mathbf{A})^{-1}\psi$  such that  $\tilde{A}_{\psi, \lambda}\tilde{x} \in \mathcal{H}$ . It gives the condition  $c_1 = e^{-i\theta}(-\lambda^{-1} - \gamma + i\xi)c_2$ . The comparison the operator  $\tilde{A}_{\psi, \lambda}$  with the operator  $\tilde{A}_{r, \tau}$  from the Theorem 1 shows that for  $\tau = -\lambda^{-1} - \gamma$  the operator  $\tilde{A}_{r, \tau}$  is equals to the operator  $\tilde{A}_{\psi, \lambda}$ . What is more with this connection if  $\tau + \gamma = 0$ , then  $\lambda = \infty$ , and the operator  $\tilde{A}_{\psi, \infty}$  in (8) we understand as  $\tilde{A}_{r, \tau}$ , with  $\tau = -\gamma$  [14]. Let us remark that

$$\tilde{A}_{\psi, \lambda}^* = A^* + e^{-i\theta} \lambda \langle \cdot, \psi \rangle_\gamma \psi.$$

□

**3<sup>0</sup>.** Let us consider the singular perturbation of an arbitrary finite rank. If the normal operator  $\tilde{A}$  is a singular rank  $n$  perturbation of the normal operator  $A$ , then, due to the equality  $\mathfrak{D}(\tilde{A}) = \mathfrak{D}(\tilde{A}^*)$  and Lemma 1, we conclude that  $\mathfrak{R} \dot{+} A \mathfrak{R} = \mathfrak{R} \dot{+} A^* \mathfrak{R}$ . The last equality takes place if and only if there exist linear operators  $P$  and  $Q$  on the  $n$ -dimensional subspace  $\mathfrak{R}$  such that the operator  $P$  has an inverse, and

$$(9) \quad Ar = A^*Pr + Qr, \quad r \in \mathfrak{R}.$$

**Definition 3.** *The finite dimensional subspace  $\mathfrak{R} \subset \mathfrak{D}(A)$ ,  $A\mathfrak{R} \cap \mathfrak{D}(A) = \{0\}$ , is called  $(P, Q)$ -admissible for the normal operator  $A$  if there exist linear operators  $P, P^{-1}$  and  $Q$  in  $\mathfrak{R}$  such that the equality (9) holds true.*

From the Lemma 1, we have that the absence of an  $n$ -dimensional admissible subspace of the normal operator  $A$  is a sufficient condition for the absence of the normal singular perturbation of rank  $n$ .

Let us consider an example. Let  $p_{n+1}(t) = (t - z_1)(t - z_2) \cdots (t - z_{n+1})$  be a polynomial of the degree  $n+1$ , where we choose the numbers  $z_j$  so that all the numbers  $\alpha_j = p_{n+1}(\bar{z}_j)$ ,  $j = 1, 2, \dots, n + 1$ , are distinct. Then the operator  $A$  of multiplication by  $p_{n+1}(t)$  is normal in the space  $L_2([1, \infty))$  and does not have a singular normal perturbation of the rank less than  $n + 1$ . Indeed, if there existed a singular perturbation of the rank  $m \leq n$ , the  $m$ -dimensional subspace  $\mathfrak{R}$  would be admissible for the operator  $A$ . And due to the equality (9), we would immediately have that  $\det[p_{n+1}(t)I - \bar{p}_{n+1}(t)P - Q] \equiv 0$ . Putting  $t = \bar{z}_j$ ,  $j = 1, 2, \dots, n + 1$ , we would obtain the identity  $\det[\alpha_j I - Q] = 0$ , i.e., the operator  $Q$  would have  $n + 1$  different eigenvalues in the  $m$ -dimensional subspace  $\mathfrak{R}$ , which is impossible.

The proposed example has a generalization. The range of values of the constructed polynomial  $p_{n+1}(t)$ ,  $t \in \mathbb{R}$  is an algebraic curve  $\gamma_{n+1}$  in the complex plane. *If the spectrum of the normal operator  $A$  belongs to the algebraic curve  $\gamma_{n+1}$ , then the operator  $A$  does not have a normal singular perturbation of the rank less than  $n + 1$ .*

In particular, the last observation gives the following. *If a Borel measure  $\mu$  on the complex plane  $\mathbb{C}$  is supported on an algebraic curve  $\gamma_{n+1}$ , ( $n \geq 2$ ), and all the complex moments  $c_{k,m} = \int z^k \bar{z}^m d\mu$  are finite, then such an integral representation is unique for the sequence  $c_{k,m}$ , ( $k, m = 0, 1, 2, \dots$ ).*

The operator  $T$  in Lemma 1 is connected with characteristics  $(P, Q)$  of the admissible subspace  $\mathfrak{R}$ . For a description of this connection, it is convenient to translate the operators  $T, P, Q$  acting on the subspace  $\mathfrak{R}$  to act on the subspace  $\mathfrak{N} = A\mathfrak{R}$  by using the identities  $\hat{T} = ATA^{-1}$ ,  $\hat{P} = APA^{-1}$  and  $\hat{Q} = AQA^{-1}$ . Then from (2) and (9), we obtain

$$(10) \quad \hat{T}\hat{P} - \hat{T}^* = \hat{Q}.$$

And from the condition that  $\|\tilde{A}\psi\| = \|\tilde{A}^*\psi\|$  and from (3) we get

$$(11) \quad \|\hat{T}^*n\| = \|\hat{T}\hat{P}n\|, \quad n \in \mathfrak{N} = A\mathfrak{R}.$$

**Definition 4.** *The operator  $T$  acting on a  $(P, Q)$ -admissible subspace  $\mathfrak{R}$  of the normal operator  $A$  is called admissible on  $\mathfrak{R}$  if the identities (10) and (11) hold.*

**Theorem 4.** *Relations (2), (3) establish a bijection between the set of all singular perturbations of finite rank of the normal operator  $A$  and the set of the pairs  $\{\mathfrak{R}, T\}$ , where  $\mathfrak{R}$  is an admissible subspace of the operator  $A$  and  $T$  is an admissible operator on  $\mathfrak{R}$ .*

*Proof.* Each normal operator  $\tilde{A}$ , which is a singular perturbation of finite rank of a normal operator  $A$ , uniquely generates, due the Lemma 1, a  $(P, Q)$ -admissible subspace  $\mathfrak{R}$  and an admissible operator  $T$  on  $\mathfrak{R}$ .

The converse is proved immediately using the construction of operators  $\tilde{A}$  and  $\tilde{A}^*$  given in (2) and (3). □

Let us remark that the subspace  $\mathfrak{R}$  is admissible for a strictly positive self-adjoint operator  $A$  if and only if  $\mathfrak{R} = A^{-1}\mathfrak{N}$ , where the finite dimensional subspace  $\mathfrak{N}$  satisfies the condition  $\mathfrak{N} \cap \mathfrak{D}(A) = \{0\}$ . In such a case, the operator  $T$  in  $\mathfrak{R}$  is admissible if and only if the operator  $\hat{T} = ATA^{-1}$  is self-adjoint on  $\mathfrak{N}$  [7].

The description of a singularly perturbed normal operator, proposed in this paper, is more effective than the description given in [2]–[6], where one tries to describe all normal extensions of a formally normal operator.

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