

## SELF-ADJOINTNESS OF SCHRÖDINGER OPERATORS WITH SINGULAR POTENTIALS

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*Dedicated to the memory of A. G. Kostyuchenko*

ABSTRACT. We study one-dimensional Schrödinger operators  $S$  with real-valued distributional potentials  $q$  in  $W_{2,\text{loc}}^{-1}(\mathbb{R})$  and prove an extension of the Povzner–Wienholtz theorem on self-adjointness of bounded below  $S$  thus providing additional information on its domain. The results are further specified for  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$ .

### 1. INTRODUCTION AND MAIN RESULTS

In the Hilbert space  $L_2(\mathbb{R})$ , we consider a Schrödinger operator

$$S = -\frac{d^2}{dx^2} + q$$

with potential  $q$  that is a real-valued distribution from the space  $W_{2,\text{loc}}^{-1}(\mathbb{R})$ . Recall that  $W_{2,\text{loc}}^{-1}(\mathbb{R})$  is the dual space to the space  $W_{2,\text{comp}}^1(\mathbb{R})$  of functions in  $W_2^1(\mathbb{R})$  with compact support and that every real-valued  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$  can be represented as  $\sigma'$  for a real-valued function  $\sigma$  from  $L_{2,\text{loc}}(\mathbb{R})$ . The operator  $S$  can then be rigorously defined e.g. by the so-called regularization method that was used in [2] in the particular case  $q(x) = 1/x$  and then developed for generic distributional potentials in  $W_{2,\text{loc}}^{-1}(\mathbb{R})$  by Savchuk and Shkalikov [20, 21]; see also recent extensions to more general differential expressions in [9, 10]. Namely, the regularization method suggests to define  $S$  via

$$(1) \quad Sf = \ell(f) := -(f' - \sigma f)' - \sigma f'$$

on the natural maximal domain

$$(2) \quad \text{dom } S = \{f \in L_2(\mathbb{R}) \mid f, f' - \sigma f \in AC_{\text{loc}}(\mathbb{R}), \ell(f) \in L_2(\mathbb{R})\};$$

here  $AC_{\text{loc}}(\mathbb{R})$  is the space of functions that are locally absolutely continuous. It is straightforward to see that  $Sf = -f'' + qf$  in the sense of distributions, so that the above definition is independent of the particular choice of the primitive  $\sigma \in L_{2,\text{loc}}(\mathbb{R})$ .

One can also introduce the minimal operator  $S_0$ , which is the closure of the restriction  $S'_0$  of  $S$  onto the set of functions of compact support, i.e., onto

$$\text{dom } S'_0 = \{f \in L_{2,\text{comp}}(\mathbb{R}) \mid f, f' - \sigma f \in AC_{\text{loc}}(\mathbb{R}), \ell(f) \in L_2(\mathbb{R})\}.$$

The operator  $S'_0$  (and hence  $S_0$ ) is symmetric; moreover, in a standard manner [18] one proves that  $S$  is the adjoint of  $S_0$ , so that  $S$  is the so-called maximal operator.

An important question preceding any further analysis of the operator  $S$  is whether it is self-adjoint. Recently, this question has attracted attention in the literature in the particular case where the distributional potential  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$  contains the sum of Dirac delta-functions [1, 16, 13] or is periodic [18] (complex-valued periodic  $q$  are discussed

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in [7]), or belongs to the space  $W_{2,\text{unif}}^{-1}(\mathbb{R})$  [12]. We recall [12] that any  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$  can be represented (not uniquely) in the form  $q = \sigma' + \tau$ , where  $\sigma$  and  $\tau$  belong to  $L_{2,\text{unif}}(\mathbb{R})$  and  $L_{1,\text{unif}}(\mathbb{R})$ , respectively, i.e.,

$$\begin{aligned} \|\sigma\|_{2,\text{unif}}^2 &:= \sup_{t \in \mathbb{R}} \int_t^{t+1} |\sigma(s)|^2 ds < \infty, \\ \|\tau\|_{1,\text{unif}} &:= \sup_{t \in \mathbb{R}} \int_t^{t+1} |\tau(s)| ds < \infty, \end{aligned}$$

and the derivative is understood in the sense of distributions. Given such a representation, the operator  $S$  is defined as

$$(3) \quad Sf = -(f' - \sigma f)' - \sigma f' + \tau f$$

on the domain (2); this definition is again independent of the particular choice of  $\sigma$  and  $\tau$  above.

Theorem 3.5 of our paper [12] claims that for real-valued  $q \in W_{2,\text{unif}}^{-1}$  the operator  $S$  as defined by (3) and (2) is self-adjoint and coincides with the operator  $T$  constructed by the form-sum method. However, as was pointed out in [18] and [8], the proof given in [12] is incomplete: namely, it establishes the inclusion  $T \subset S$  but then derives the equality  $S = T$  taking for granted that  $S$  is symmetric. However, since  $S_0$  is symmetric, symmetry of  $S$  would immediately imply its self-adjointness, and only the claim that  $S = T$  in Theorem 3.5 of [12] would remain non-trivial.

The fact that  $S$  is indeed self-adjoint is rigorously justified in the paper [18] for the particular case where  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$  is periodic. The authors prove therein that  $S_0, S, T$ , and the Friedrichs extension of  $S_0$  all coincide; however, the arguments heavily use periodicity of  $q$  and thus are not applicable for generic real-valued  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$ .

Recently, Albeverio, Kostenko and Malamud [1] extended the Povzner–Wienholtz theorem stating that boundedness below of the minimal operator implies its self-adjointness (see [3] and the references therein) to the class of arbitrary distributional potentials in  $W_{2,\text{loc}}^{-1}(\mathbb{R})$ . The proof of Theorem I.1 in [1] is for the half-line and for the particular case where  $q = q_0 + \sum_k \alpha_k \delta(\cdot - x_k)$ , where  $q_0 \in L_{1,\text{loc}}(\mathbb{R})$ ,  $\alpha_k$  and  $x_k$  are real numbers, and  $\delta$  is the Dirac delta-function; however, Remark III.2 explains that the same proof works in the more general situation of  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$ . In particular, for  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$  the minimal operator  $S_0$  is shown in [12] to be bounded below; therefore, the operator  $S_0 = S$  is then self-adjoint by the above extension of the Povzner–Wienholtz theorem. This fills out the gap in the proof of Theorem 3.5 of our paper [12].

The aim of this note is to give an alternative proof of the Povzner–Wienholtz theorem for distributional potentials  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$ . Our approach has several merits; namely, it gives the representation of a positive operator  $S$  in the von Neumann form  $A^*A$  for some first order differential operator  $A$  and provides additional information on the domain of  $S$ . For regular  $q$ , possibility of such a representation is known to follow from disconjugacy of  $S$  on the whole line, i.e., from the Jacobi condition in the variational problem for the corresponding quadratic form of  $S$ , see [11, Ch. XI.10,11]. We also mention that the factorization of  $S$  as  $A^*A$  is of basic importance for the Darboux transformation method, also called Darboux–Crum, or single commutation method, see [4, 5, 6, 17].

Namely, assume that a real-valued distribution  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$  is such that the minimal operator  $S_0$  is bounded below. Adding a constant to  $q$  as necessary, we can make  $S_0$  positive and shall assume this throughout the rest of the note. Then [14] the equation  $y'' = qy$  has a (possibly not unique) solution that is positive over  $\mathbb{R}$ , and  $r := y'/y \in L_{2,\text{loc}}(\mathbb{R})$  is a global distributional solution to the Riccati equation  $r' + r^2 = q$ . The function  $r$  is called the *Riccati representative* of  $q$ . Moreover, the differential expression  $\ell$

of (1) admits then a formal representation

$$\ell := -\frac{d^2}{dx^2} + q = -\left(\frac{d}{dx} + r\right)\left(\frac{d}{dx} - r\right).$$

This representation suggests that  $\ell$  is also related to a differential operator  $A^*A$ , where  $A$  is the differential operator of first order given by

$$(4) \quad Af = f' - rf$$

on the maximal domain

$$(5) \quad \text{dom } A = \{f \in L_2(\mathbb{R}) \mid f' - rf \in L_2(\mathbb{R})\}.$$

The derivative  $f'$  for  $f \in \text{dom } A$  is understood in the sense of distributions; observe, however, that  $f' = rf + Af$  is locally integrable so that every  $f \in \text{dom } A$  is locally absolutely continuous.

Our extension of the Povzner–Wienholtz theorem reads now as follows.

**Theorem 1.** *Assume that a real-valued distribution  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$  is such that the minimal operator  $S_0$  is positive and denote by  $r \in L_{2,\text{loc}}(\mathbb{R})$  a Riccati representative of  $q$ . Then  $S_0$  is self-adjoint; moreover,  $S_0 = S = A^*A$ , and for every  $f \in \text{dom } S$  it holds that  $f' - rf \in L_2(\mathbb{R})$ .*

This theorem can further be specified if  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$ . As we mentioned above, the operator  $S_0$  is then automatically bounded below and thus self-adjoint; moreover, we can characterize its domain as follows.

**Corollary 2.** *Assume that a real-valued  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$  is written as  $q = \sigma' + \tau$  with some  $\sigma \in L_{2,\text{unif}}(\mathbb{R})$  and  $\tau \in L_{1,\text{unif}}(\mathbb{R})$ . Then the corresponding maximal Schrödinger operator  $S$  is self-adjoint; moreover,  $\text{dom } S \subset W_2^1(\mathbb{R})$  and  $y' - \sigma y \in L_2(\mathbb{R})$  for every  $y \in \text{dom } S$ .*

We observe that Proposition 12 of [18] shows that if  $q \in W_{2,\text{loc}}^{-1}(\mathbb{R})$  is periodic, then the three statements:

- (a)  $S$  is self-adjoint;
- (b)  $\text{dom } S \subset W_2^1(\mathbb{R})$ ;
- (c) for every  $y \in \text{dom } S$ ,  $y' - \sigma y \in L_2(\mathbb{R}) \cap AC_{\text{loc}}(\mathbb{R})$

are equivalent.

## 2. PROOFS

We start with the following simple observation.

**Lemma 3.** *The operator  $A$  defined in (4)–(5) is closed.*

*Proof.* Let  $y_n \in \text{dom } A$  be such that  $y_n \rightarrow y$  and  $g_n := Ay_n \rightarrow g$  in  $L_2(\mathbb{R})$  as  $n \rightarrow \infty$ . Since convergence in  $L_{1,\text{loc}}(\mathbb{R})$  yields convergence in the space of distributions  $\mathcal{D}'(\mathbb{R})$ , we conclude that  $y_n \rightarrow y$ ,  $ry_n \rightarrow ry$ , and  $g_n \rightarrow g$  in  $\mathcal{D}'(\mathbb{R})$ . Therefore,  $y'_n = ry_n + g_n \rightarrow ry + g$  in  $\mathcal{D}'(\mathbb{R})$  as  $n \rightarrow \infty$ ; on the other hand,  $y'_n \rightarrow y'$  in  $\mathcal{D}'(\mathbb{R})$  since differentiation is a continuous operation in  $\mathcal{D}'(\mathbb{R})$ . It follows that  $y' = ry + g$ , whence  $y \in \text{dom } A$  and  $Ay = g$  as required.  $\square$

The von Neumann theorem [15, Thm. V.3.24] yields now the following result.

**Corollary 4.** *The operator  $S_F := A^*A$  is self-adjoint on the domain*

$$\text{dom } S_F := \{f \in L_2(\mathbb{R}) \mid Af \in \text{dom } A^*\}.$$

Clearly,  $S_F$  is a self-adjoint extension of the minimal operator  $S_0$ . It turns out that  $S_F$  is the Friedrichs extension of  $S_0$ , see Chapter VI of Kato's classic book [15] for all relevant definitions.

**Lemma 5.** *The operator  $S_F$  is the Friedrichs extension of  $S_0$ .*

*Proof.* We recall that the Friedrichs extension of  $S_0$  is the self-adjoint operator associated with the closure  $\mathfrak{s}_0$  of the quadratic form of  $S_0$  (defined initially on  $\text{dom } S_0$ ) via the first representation theorem [15, Thm. VI.2.1]. The quadratic form  $\mathfrak{s}_F$  of  $S_F$  is an extension of  $\mathfrak{s}_0$ , and to prove that  $\mathfrak{s}_0 = \mathfrak{s}_F$  it suffices to show that  $\text{dom } S_0$  is a core for  $\mathfrak{s}_F$ .

It is straightforward to see that  $\text{dom } \mathfrak{s}_F$  coincides with  $\text{dom } A$  and that  $\mathfrak{s}_F$ -convergence is equivalent to the  $A$ -convergence. Therefore it suffices to show that  $\text{dom } S_0$  is a core for  $A$ . By the von Neumann theorem [15, Thm. V.3.24]  $\text{dom } A^*A$  is a core for  $A$ , and it suffices to show that  $\text{dom } S_0$  is dense in  $\text{dom } A^*A$  in the graph topology of  $A$ .

To this end let  $f \in \text{dom } A^*A$  be arbitrary. Take  $\chi \in C_0^\infty$  such that  $0 \leq \chi \leq 1$  and  $\chi \equiv 1$  on  $(-1, 1)$ , and set  $\chi_n := \chi(\cdot/n)$  and  $f_n := \chi_n f$ . Then  $f_n \rightarrow f$  and  $Af_n = \chi_n(Af) + f\chi'_n \rightarrow Af$  in  $L_2(\mathbb{R})$  as  $n \rightarrow \infty$ , i.e.,  $f_n$  converge to  $f$  in the graph topology of  $A$ . Since  $Af \in \text{dom } A^*$ , we see that  $Af_n = f'_n - rf_n$  is absolutely continuous. Recalling that  $r' + r^2 = \sigma'$ , we conclude that  $r - \sigma$  is locally absolutely continuous, whence  $f'_n - \sigma f_n$  is absolutely continuous as well. Thus  $f_n$  belong to the domain of  $S'_0$ , which is henceforth dense in  $\text{dom } A^*A$  in the graph topology of  $A$ , and the proof is complete.  $\square$

Now we study the maximal operator  $S$ . The first observation is as follows.

**Lemma 6.** *For every  $y \in \text{dom } S$ , the quasi-derivative  $y^{[1]} := y' - ry$  belongs to  $L_2(\mathbb{R})$ .*

*Proof.* Set  $g := Sy$  and assume that  $y^{[1]} = y' - ry$  is not in  $L_2(\mathbb{R}^+)$ . Integrating  $\ell(y)\bar{y} = g\bar{y}$  by parts from 0 to  $x$ , we find that

$$\int_0^x g(t)\bar{y}(t) dt = \int_0^x |y^{[1]}(t)|^2 dt - y^{[1]}(x)\bar{y}(x) + y^{[1]}(0)\bar{y}(0).$$

It follows that

$$\frac{1}{T} \int_0^T \int_0^x |y^{[1]}(t)|^2 dt dx - \frac{1}{T} \int_0^T y^{[1]}(x)\bar{y}(x) dx = \frac{1}{T} \int_0^T \int_0^x g(t)\bar{y}(t) dt dx - y^{[1]}(0)\bar{y}(0)$$

remains bounded as  $T \rightarrow \infty$ ; since  $\int_0^x |y^{[1]}(t)|^2 dt$  grows to  $+\infty$  as  $x \rightarrow \infty$  by assumption, we conclude that

$$\frac{1}{T} \left| \int_0^T y^{[1]}(x)\bar{y}(x) dx \right| \rightarrow \infty$$

as  $T \rightarrow \infty$  and, moreover, that

$$(6) \quad 2 \left| \int_0^T y^{[1]}(x)\bar{y}(x) dx \right| \geq \int_0^T \int_0^x |y^{[1]}(t)|^2 dt dx$$

for all  $T$  large enough. In view of the Cauchy–Bunyakovsky–Schwarz inequality

$$\left| \int_0^T y^{[1]}(x)\bar{y}(x) dx \right| \leq \|y\| \left( \int_0^T |y^{[1]}(x)|^2 dx \right)^{1/2},$$

(6) results in the inequality

$$\int_0^T |y^{[1]}(x)|^2 dx \geq \frac{1}{4\|y\|^2} \left( \int_0^T \int_0^x |y^{[1]}(t)|^2 dt dx \right)^2.$$

Set  $I(T) := \int_0^T \int_0^x |y^{[1]}(t)|^2 dt dx$ ; then the above inequality can be written as

$$I'(T) \geq \frac{1}{4\|y\|^2} I^2(T),$$

and, upon integration, yields

$$(7) \quad \frac{1}{I(T_0)} - \frac{1}{I(T)} \geq \frac{T - T_0}{4\|y\|^2}$$

for every positive  $T$  and  $T_0$  such that  $T > T_0$  and  $I(T_0) > 0$ . However, the assumption that  $y^{[1]} \notin L_2(\mathbb{R}^+)$  implies that  $I(T) \rightarrow \infty$  as  $T \rightarrow \infty$ , which is in contradiction with (7). Therefore  $y^{[1]} \in L_2(\mathbb{R}^+)$ ; the fact that  $y^{[1]} \in L_2(\mathbb{R}^-)$  is proved analogously.  $\square$

*Remark 7.* Similar arguments were used in [11, Lemma XI.7.1] and [14, Lemma 4.1] in the study of the Riccati equation.

*Proof of Theorem 1.* By Lemma 6,  $\text{dom } S \subset \text{dom } A$ . Further,  $\text{dom } A = \text{dom } \mathfrak{s}_F$ , where  $\mathfrak{s}_F$  is the quadratic form of  $S_F$ , the Friedrichs extension of  $S_0$ . By the extremal property of the Friedrichs extension [15, Thm. VI.2.11] we conclude that every self-adjoint restriction of  $S$ , i.e., every self-adjoint extension of  $S_0$ , coincides with  $S_F$ . This implies that the minimal operator  $S_0$  is itself self-adjoint and that  $S_0 = S_F = S$  as claimed.  $\square$

It was proved in [12] that if  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$ , then the operator  $S_0$  is bounded below. Assuming that  $S_0$  is already positive, we have as before  $q = r' + r^2$  for some  $r \in L_{2,\text{loc}}(\mathbb{R})$ . It turns out that the function  $r$  in this representation has some special properties.

**Lemma 8.** *Assume that real-valued  $q \in W_{2,\text{unif}}^{-1}(\mathbb{R})$  and  $r \in L_{2,\text{loc}}(\mathbb{R})$  satisfy the equation  $r' + r^2 = q$  in the sense of distributions. Then  $r \in L_{2,\text{unif}}(\mathbb{R})$ .*

*Proof.* We set

$$a_n := \int_n^{n+1} r^2(t) dt, \quad n \in \mathbb{Z},$$

and prove that  $\sup_{n \in \mathbb{Z}} a_n$  is finite.

Denote by  $\phi$  the function in  $W_2^1(\mathbb{R})$  with support equal to  $[-1, 2]$  and defined via

$$\phi(x) = \begin{cases} 1+x & x \in [-1, 0), \\ 1 & x \in [0, 1], \\ 2-x & x \in (1, 2]. \end{cases}$$

We also set  $\phi_\xi := \phi(\cdot - \xi)$  and notice that  $\|\phi_\xi\|_{L^\infty} = \|\phi'_\xi\|_{L^\infty} = 1$ . Denoting by  $\langle \cdot, \cdot \rangle$  the pairing between  $W_{2,\text{loc}}^{-1}(\mathbb{R})$  and  $W_{2,\text{comp}}^1(\mathbb{R})$ , we find that

$$(8) \quad -\langle r, \phi'_\xi \rangle + \langle r^2, \phi_\xi \rangle = \langle q, \phi_\xi \rangle.$$

As  $q = \sigma' + \tau$  with some  $\sigma \in L_{2,\text{unif}}(\mathbb{R})$  and  $\tau \in L_{1,\text{unif}}(\mathbb{R})$ , the right-hand side of this equality admits the uniform estimate

$$(9) \quad |\langle q, \phi_\xi \rangle| \leq |\langle \sigma, \phi'_\xi \rangle| + |\langle \tau, \phi_\xi \rangle| \leq 3\|\sigma\|_{2,\text{unif}} + 3\|\tau\|_{1,\text{unif}} =: C;$$

we assume that  $C > 0$  as otherwise  $q \equiv r \equiv 0$  and there is nothing to prove. The inequalities

$$\langle r^2, \phi_n \rangle \geq a_n, \quad |\langle r, \phi'_n \rangle| \leq a_{n-1}^{1/2} + a_{n+1}^{1/2}$$

combined with (8) and (9) lead to the relation

$$(10) \quad a_n \leq a_{n-1}^{1/2} + a_{n+1}^{1/2} + C.$$

We shall prove below that

$$(11) \quad \liminf_{n \rightarrow -\infty} a_n \leq C/2, \quad \liminf_{n \rightarrow +\infty} a_n \leq C/2,$$

so that there exist sequences  $(n_k^-)_{k \in \mathbb{N}}$  and  $(n_k^+)_{k \in \mathbb{N}}$  tending respectively to  $-\infty$  and  $+\infty$  such that  $a_{n_k^\pm} < C$  for all  $k \in \mathbb{N}$ . Given this, the proof is concluded as follows. We have

either  $a_n \leq C$  for all  $n \in \mathbb{Z}$ , or otherwise  $a_m > C$  for some  $m \in \mathbb{Z}$ . In the latter case, for every  $k$  so large that  $m \in (n_k^-, n_k^+)$  the maximum

$$C_k := \max\{a_j \mid j = n_k^-, \dots, n_k^+\}$$

is assumed for some index  $m_k$  strictly between  $n_k^-$  and  $n_k^+$ . Inequality (10) for  $n = m_k$  then yields

$$C_k \leq 2C_k^{1/2} + C,$$

whence  $C_k \leq 2C + 4$ . Therefore in both cases  $\sup_{n \in \mathbb{Z}} a_n$  is finite thus implying that  $r \in L_{2, \text{unif}}(\mathbb{R})$  as claimed.

It remains to establish (11). To this end we take  $a < b$  so that  $b - a > 3$  and integrate (8) in  $\xi$  over  $(a, b)$ . As

$$\int_a^b \phi'_\xi(t) d\xi = \int_a^b \phi'(t - \xi) d\xi = \phi_a(t) - \phi_b(t),$$

the Fubini theorem yields

$$(12) \quad - \int_a^b \langle r, \phi'_\xi \rangle d\xi = \langle r, \phi_b \rangle - \langle r, \phi_a \rangle.$$

Similarly,

$$\int_a^b \langle r^2, \phi_\xi \rangle d\xi = \langle r^2, \psi \rangle$$

with

$$\psi(t) := \int_a^b \phi_\xi(t) d\xi.$$

Observing that  $\text{supp } \psi = [a - 1, b + 2]$ , that  $\psi(t) = 2$  for  $t \in [a + 2, b - 1]$  and that  $\psi(t) \geq \frac{1}{2}\phi_a^2(t)$  for  $t \in [a - 1, a + 2]$  and  $\psi(t) \geq \frac{1}{2}\phi_b^2(t)$  for  $t \in [b - 1, b + 2]$ , we get

$$\langle r^2, \psi \rangle \geq 2 \int_{a+2}^{b-1} r^2(t) dt + \frac{1}{2} \langle r^2, \phi_a^2 \rangle + \frac{1}{2} \langle r^2, \phi_b^2 \rangle.$$

On the other hand, relations (8), (9), and (12) imply the inequality

$$\langle r^2, \psi \rangle \leq \left| \int_a^b \langle q, \phi_\xi \rangle d\xi \right| + \left| \int_a^b \langle r, \phi'_\xi \rangle d\xi \right| \leq C(b - a) + |\langle r, \phi_a \rangle| + |\langle r, \phi_b \rangle|.$$

Noticing that  $|\langle r, \phi_\xi \rangle| \leq 2\langle r^2, \phi_\xi^2 \rangle^{1/2}$  by the Cauchy–Bunyakovsky–Schwarz inequality and that  $2x - \frac{1}{2}x^2 \leq 2$  for  $x \in \mathbb{R}$ , we conclude that

$$\begin{aligned} 2 \int_{a+2}^{b-1} r^2(t) dt &\leq C(b - a) + 2\langle r^2, \phi_a^2 \rangle^{1/2} - \frac{1}{2} \langle r^2, \phi_a^2 \rangle + 2\langle r^2, \phi_b^2 \rangle^{1/2} - \frac{1}{2} \langle r^2, \phi_b^2 \rangle \\ &\leq C(b - a) + 4. \end{aligned}$$

This estimate yields (11) in a straightforward manner, and the proof is complete.  $\square$

*Proof of Corollary 2.* We may again assume that the operator  $S$  is positive and denote by  $r \in L_{2, \text{unif}}(\mathbb{R})$  the corresponding solution of the Riccati equation  $r' + r^2 = q$  and by  $A$  the differential operator of (4)–(5). By Lemma 6, the domain of  $S$  is contained in  $\text{dom } A$ , so that it suffices to show that  $\text{dom } A \subset W_2^1(\mathbb{R})$ .

Take an arbitrary  $y \in \text{dom } A$ ; thus  $y$  and  $y' - ry = g$  are in  $L_2(\mathbb{R})$ . Set  $\Delta_n := [n, n + 1]$ ,  $g_n := \left( \int_{\Delta_n} |g(t)|^2 dt \right)^{1/2}$ , and choose  $\xi_n \in \Delta_n$  such that

$$|y(\xi_n)| \leq \left( \int_{\Delta_n} |y(t)|^2 dt \right)^{1/2} =: y_n.$$

For every  $x \in \Delta_n$ , we integrate the equality  $y' = ry + g$  from  $\xi_n$  to  $x$  to get the estimates

$$|y(x)| \leq |y(\xi_n)| + \int_{\Delta_n} |r(t)y(t)| dt + \int_{\Delta_n} |g(t)| dt \leq y_n + y_n \|r\|_{2,\text{unif}} + g_n =: b_n$$

and

$$\int_{\Delta_n} |r(t)y(t)|^2 dt \leq b_n^2 \|r\|_{2,\text{unif}}^2.$$

Since the sequence  $(b_n)$  belongs to  $\ell_2(\mathbb{Z})$ , it follows that  $ry \in L_2(\mathbb{R})$ ; thus  $y' = ry + g \in L_2(\mathbb{R})$ , and  $y \in W_2^1(\mathbb{R})$ .

Further, it was proved in [12] that  $y \in W_2^1(\mathbb{R})$  and  $\sigma \in L_{2,\text{unif}}(\mathbb{R})$  imply that  $\sigma y \in L_2(\mathbb{R})$ , whence the quasi-derivative  $y' - \sigma y$  belongs to  $L_2(\mathbb{R})$  as well. The proof is complete.  $\square$

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