

## SMOOTH FUNCTIONS ON 2-TORUS WHOSE KRONROD-REEB GRAPH CONTAINS A CYCLE

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*Dedicated to the memory of our teacher Sharko Volodymyr Vasylyovych*

ABSTRACT. Let  $f : M \rightarrow \mathbb{R}$  be a Morse function on a connected compact surface  $M$ , and  $\mathcal{S}(f)$  and  $\mathcal{O}(f)$  be respectively the stabilizer and the orbit of  $f$  with respect to the right action of the group of diffeomorphisms  $\mathcal{D}(M)$ . In a series of papers the first author described the homotopy types of connected components of  $\mathcal{S}(f)$  and  $\mathcal{O}(f)$  for the cases when  $M$  is either a 2-disk or a cylinder or  $\chi(M) < 0$ . Moreover, in two recent papers the authors considered special classes of smooth functions on 2-torus  $T^2$  and shown that the computations of  $\pi_1\mathcal{O}(f)$  for those functions reduces to the cases of 2-disk and cylinder.

In the present paper we consider another class of Morse functions  $f : T^2 \rightarrow \mathbb{R}$  whose KR-graphs have exactly one cycle and prove that for every such function there exists a subsurface  $Q \subset T^2$ , diffeomorphic with a cylinder, such that  $\pi_1\mathcal{O}(f)$  is expressed via the fundamental group  $\pi_1\mathcal{O}(f|_Q)$  of the restriction of  $f$  to  $Q$ .

This result holds for a larger class of smooth functions  $f : T^2 \rightarrow \mathbb{R}$  having the following property: for every critical point  $z$  of  $f$  the germ of  $f$  at  $z$  is smoothly equivalent to a homogeneous polynomial  $\mathbb{R}^2 \rightarrow \mathbb{R}$  without multiple factors.

### 1. INTRODUCTION

Let  $M$  be a smooth compact surface,  $X \subset M$  be a closed (possibly empty) subset, and  $\mathcal{D}(M, X)$  be the group of diffeomorphisms of  $M$  fixed on some neighborhood of  $X$ . Then  $\mathcal{D}(M, X)$  acts from the right on  $C^\infty(M)$  by following rule: if  $h \in \mathcal{D}(M, X)$  and  $f \in C^\infty(M)$  then the result of the action of  $h$  on  $f$  is the composition map

$$(1) \quad f \circ h : M \xrightarrow{h} M \xrightarrow{f} \mathbb{R}.$$

Given  $f \in C^\infty(M)$  let

$$\mathcal{S}(f, X) = \{f \in \mathcal{D}(M, X) \mid f \circ h = f\}, \quad \mathcal{O}(f, X) = \{f \circ h \mid h \in \mathcal{D}(M, X)\}$$

be respectively the *stabilizer* and the *orbit* of  $f$  under the action (1). Let also

$$\mathcal{S}'(f, X) = \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(M, X).$$

If  $X$  is empty, then we omit it from notation and write  $\mathcal{D}(M) = \mathcal{D}(M, \emptyset)$ ,  $\mathcal{S}(f) = \mathcal{S}(f, \emptyset)$ ,  $\mathcal{O}(f) = \mathcal{O}(f, \emptyset)$ , and so on. We will also endow the spaces  $\mathcal{D}(M, X)$ ,  $C^\infty(M)$ ,  $\mathcal{S}(f, X)$ , and  $\mathcal{O}(f, X)$  with the corresponding Whitney  $C^\infty$ -topologies.

Denote by  $\mathcal{S}_{\text{id}}(f, X)$  and  $\mathcal{D}_{\text{id}}(M, X)$  the identity path components  $\mathcal{S}(f, X)$  and  $\mathcal{D}(M, X)$  respectively, and  $\mathcal{O}_f(f, X)$  be the path component of  $f$  in  $\mathcal{O}(f, X)$ .

Let  $\mathcal{F}(M)$  be a subset in  $C^\infty(M)$  consisting of functions  $f$  having the following two properties:

- (B)  $f$  takes a constant value at each connected components of  $\partial M$ , and all critical points of  $f$  are contained in the interior of  $M$ ;

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2000 *Mathematics Subject Classification.* 57S05, 57R45, 37C05.

*Key words and phrases.* Diffeomorphism, Morse function, homotopy type.

(L) for every critical point  $z$  of  $f$  the germ of  $f$  at  $z$  is smoothly equivalent to a certain **homogeneous polynomial**  $f_z : \mathbb{R}^2 \rightarrow \mathbb{R}$  **without multiple factors**.

Let  $\text{Morse}(M) \subset C^\infty(M)$  be an open and everywhere dense subset consisting of all Morse functions having the above property (B), that is functions having only *non-degenerate* critical points. By the Morse lemma every non-degenerate singularity is smoothly equivalent to a homogeneous polynomial  $\pm x^2 \pm y^2$  having no multiple factors. Therefore  $\text{Morse}(M) \subset \mathcal{F}(M)$ . This shows that the class  $\mathcal{F}(M)$  is large.

Let  $f \in \mathcal{F}(M)$  and  $c \in \mathbb{R}$ . A connected component  $C$  of the level set  $f^{-1}(c)$  is called *critical* if  $C$  contains at least one critical point of  $f$ ; otherwise  $C$  is *regular*. Consider a partition  $\Delta$  of  $M$  into connected component of level sets of  $f$ . It is well known that the corresponding quotient  $M/\Delta$  has a structure of a finite one-dimensional CW-complex and is called *Kronrod-Reeb graph* or simply KR-graph of the function  $f$ . We will denote it by  $\Gamma(f)$ . The vertices of  $\Gamma(f)$  are critical components of level sets of  $f$ .

This graph was introduced by A. S. Kronrod in [4] for studying functions on surfaces and also used by G. Reeb in [20]. Applications of  $\Gamma(f)$  to study Morse functions on surfaces are given e.g. in [1, 8, 5, 22, 23, 19].

In a series of papers, [10], [12], [13], [14], [16], [15], the first author calculated the homotopy types of spaces  $\mathcal{S}(f)$  and  $\mathcal{O}(f)$  for all  $f \in \mathcal{F}(M)$ , see §2 for some details. In particular, it was proved, [10, Theorem 1.5(3)], that if  $f$  is a *generic* Morse function, i.e. it takes distinct values at distinct critical point, then  $\mathcal{O}_f(f)$  is homotopy equivalent to a finite-dimensional torus.

This result was improved by E. Kudryavtseva [6, Theorem 2.5(B)], [7, Theorem 2.6(C)]: using another approach she shown that if  $M$  is orientable,  $\chi(M) < 0$ , and  $f$  is Morse, then  $\mathcal{O}_f(f)$  is homotopy equivalent to a quotient  $T^k/G$  of a finite-dimensional torus  $T^k$  by the free action of some finite group  $G$ .

Recently, [15], the first author established such a statement for all  $f \in \mathcal{F}(M)$  provided  $M$  is distinct from 2-torus, 2-sphere, projective plane, and Klein bottle. It was also shown in [11, Theorem 1.8] that under the same restrictions on  $M$ , the computation of the homotopy type of  $\mathcal{O}(f)$ , reduces to the case when  $M$  is either 2-disk, or a cylinder, or a Möbius band.

In two recent papers, [17], [18], the authors studied smooth functions on 2-torus and shown that under some conditions on  $f \in \mathcal{F}(T^2)$  the computation of the homotopy type of  $\mathcal{O}(f)$  also reduces to the cases when  $M$  is a 2-disk or a cylinder.

In the present paper we study functions  $f \in \mathcal{F}(T^2)$  whose Kronrod-Reeb graph has one cycle. The main result, see Theorem 1.6, reduces the computation of  $\mathcal{O}_f(f)$  to the restriction of  $f$  onto some subsurface  $Q \subset T^2$  diffeomorphic to a cylinder. We also give exact formula expressing  $\pi_1 \mathcal{O}_f(f)$  via  $\pi_1 \mathcal{O}(f|_Q)$ . This extends the result of [18].

**Remark 1.1.** In [18] the group  $\mathcal{D}(M, X)$  means the group of diffeomorphisms *fixed on*  $X$ , while in the present paper we denote by  $\mathcal{D}(M, X)$  the group of diffeomorphisms *fixed on some neighborhood* of  $X$ . In fact, if  $X$  is a finite collection of regular components of some level-sets of  $f \in \mathcal{F}(M)$ , such a restriction does not impact on the homotopy types of  $\mathcal{D}(M, X)$ ,  $\mathcal{S}(f, X)$  and  $\mathcal{O}(f)$ , see [13].

**1.2. Wreath products  $G \wr_{\mathbb{Z}_n} \mathbb{Z}$ .** Let  $G$  be a group with unit  $e$ , and  $n \geq 1$ . Denote by  $\text{Map}(\mathbb{Z}_n, G)$  the group of all *maps*, not necessarily homomorphisms, from cyclic group  $\mathbb{Z}_n$  into  $G$ , with respect to point wise multiplication. That is if  $\alpha, \beta : \mathbb{Z}_n \rightarrow G$  two elements from  $\text{Map}(\mathbb{Z}_n, G)$ , then their product is given by the formula  $(\alpha\beta)(i) = \alpha(i) \cdot \beta(i)$  for  $i \in \mathbb{Z}_n$ , where the multiplication  $\cdot$  is taken in the group  $G$ .

Notice that the group  $\mathbb{Z}$  acts from the right on  $\text{Map}(\mathbb{Z}_n, G)$  by the following rule: if  $\alpha \in \text{Map}(\mathbb{Z}_n, G)$  and  $a \in \mathbb{Z}$ , then the result  $\alpha^k : \mathbb{Z}_n \rightarrow G$  of the action of  $k$  on  $\alpha$  is given

by the formula:

$$(2) \quad \alpha^k(i) = \alpha(i + k \bmod n), \quad i \in \mathbb{Z}_n.$$

The semidirect product  $\text{Map}(\mathbb{Z}_n, G) \rtimes \mathbb{Z}$  corresponding to this action is called a *wreath product of  $G$  and  $\mathbb{Z}$  over  $\mathbb{Z}_n$*  and denoted by

$$G \wr_{\mathbb{Z}_n} \mathbb{Z} := \text{Map}(\mathbb{Z}_n, G) \rtimes \mathbb{Z}.$$

More precisely,  $G \wr_{\mathbb{Z}_n} \mathbb{Z}$  is the set  $\text{Map}(\mathbb{Z}_n, G) \times \mathbb{Z}$  with the following operation

$$(3) \quad (\alpha, k) (\beta, l) = (\alpha\beta^k, k + l)$$

for all  $(\alpha, k), (\beta, l) \in \text{Map}(\mathbb{Z}_n, G) \times \mathbb{Z}$ .

In particular, we have the following short exact sequence:

$$(4) \quad 1 \longrightarrow \text{Map}(\mathbb{Z}_n, G) \xrightarrow{\zeta} G \wr_{\mathbb{Z}_n} \mathbb{Z} \xrightarrow{p} \mathbb{Z} \longrightarrow 1,$$

where  $\zeta(\alpha) = (\alpha, 0)$  is a *canonical inclusion* and  $p(\alpha, k) = k$  is a *canonical projection*.

Notice also that for  $n = 1$ , there is a natural isomorphism  $G \wr_{\mathbb{Z}_n} \mathbb{Z} \cong G \times \mathbb{Z}$ .

**1.3. Parallel curves on  $T^2$ .** A finite non-empty family of  $C_0, \dots, C_{n-1} \subset T^2$  of simple closed curves will be called *parallel* if these curves are mutually disjoint and non-separating.

If  $n = 1$ , then  $T^2 \setminus C$  is an open cylinder, we will regard  $T^2$  as a cylinder  $Q_0$  with identified boundary components, see Figure 1a).

Suppose  $n \geq 2$ . Then all curves in a parallel family must be isotopic each other. In this case we will always assume that they are *cyclically enumerated along  $T^2$* , that is  $C_i$  and  $C_{i+1}$  bound a cylinder  $Q_i$  containing no other curves  $C_j$ , where all indices are taken modulo  $n$ , see Figure 1b). We will also use the following notation:

$$\mathcal{C} = \bigcup_{i=0}^{n-1} C_i, \quad C_i := C_{i \bmod n}, \quad Q_i := Q_{i \bmod n}$$

for all integers  $i \in \mathbb{Z}$ .

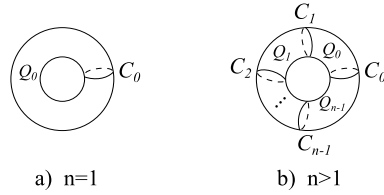


FIGURE 1

**1.4. Cyclic index of  $f$ .** Let  $f \in \mathcal{F}(T^2)$  be such that its KR-graph  $\Gamma(f)$  is not a tree. It is easy to show, [18], that then  $\Gamma(f)$  has a unique simple cycle, which we will denote by  $\Lambda$ , see Figure 2.

Let also  $C \subset T^2$  be a regular component of some level set  $f^{-1}(c)$ ,  $c \in \mathbb{R}$ , and  $z$  be the corresponding point on  $\Gamma(f)$ . It is easy to check, see [18], that  $z \in \Lambda$  if and only if  $C$  does not separate  $T^2$ . Notice that  $f^{-1}(c)$  consists of finitely many connected components and is invariant with respect to each  $h \in \mathcal{S}(f)$ . Let

$$\mathcal{C} = \{h(C) \mid h \in \mathcal{S}'(f)\}$$

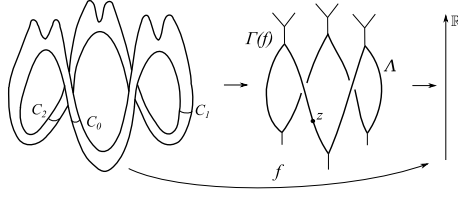


FIGURE 2

be the set of images of  $C$  under the action of  $\mathcal{S}'(f) = \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2)$ . Then  $\mathcal{C}$  consists of finitely many connected components of  $f^{-1}(c)$ :

$$\mathcal{C} = \{C_0 = C, C_1, \dots, C_{n-1}\}$$

for some  $n \geq 1$ . Emphasize that we only consider the images of  $C$  for all diffeomorphisms  $h$  that preserve  $f$  and are *isotopic to  $\mathcal{C}$* . However, there may exist  $h \in \mathcal{S}(f)$  that is not isotopic to  $\text{id}_{T^2}$  and such that  $h(C) \subset f^{-1}(c) \setminus \mathcal{C}$ .

It follows that the curves in  $\mathcal{C}$  are mutually disjoint, and neither of them separates  $T^2$ , since  $C$  does not do this. Thus they are *parallel* in the sense of §1.3, and therefore we will assume that they are cyclically ordered along  $T^2$ , and that  $C_i$  and  $C_{i+1}$  bound a cylinder  $Q_i$  whose interior does not intersect  $\mathcal{C}$ .

**Definition 1.5.** *The number  $n$  of curves in  $\mathcal{C}$  will be called the **cyclic index** of  $f$ .*

It is easy to see that the cyclic index of  $f$  does not depend on a particular choice of a regular component  $C$  of some level-set of  $f$  that does not separate  $T^2$ .

Let  $f|_{Q_0}$  be the restriction of  $f$  onto  $Q_0$  and  $\mathcal{O}(f|_{Q_0}, \partial Q_0)$  be the orbit of  $f|_{Q_0}$  with respect to the action of the group  $\mathcal{D}(Q_0, \partial Q_0)$  of diffeomorphisms of  $Q_0$  fixed on some neighborhood of  $\partial Q_0$ . Now we can formulate the main result of the present paper.

**Theorem 1.6.** *cf. [18]. Let  $f \in \mathcal{F}(T^2)$  be such that  $\Gamma(f)$  has a cycle,  $C$  be a regular connected component of certain level set  $f^{-1}(c)$  of  $f$  that does not separate  $T^2$ ,  $\mathcal{C} = \{h(C) \mid h \in \mathcal{S}'(f)\}$ , and  $n$  be the cyclic index of  $f$ , i.e. the number of curves in  $\mathcal{C}$ .*

*If  $n = 1$ , then there is an isomorphism*

$$\xi : \pi_1 \mathcal{O}(f) \cong \pi_1 \mathcal{O}(f, C) \times \mathbb{Z}.$$

*Suppose  $n \geq 2$  and let  $Q_0$  be the cylinder bounded by  $C_0$  and  $C_1$ . Then we have an isomorphism*

$$\xi : \pi_1 \mathcal{O}(f) \cong \pi_1 \mathcal{O}(f|_{Q_0}, \partial Q_0) \wr_{\mathbb{Z}_n} \mathbb{Z}.$$

For  $n = 1$  this theorem is proved in [18], therefore we will assume that  $n \geq 2$ .

**1.7. Structure of the paper.** In §2 we recall some results about the homotopy types of stabilizers and orbits of  $f \in \mathcal{F}(M)$ , and in §3 present some formulas for the multiplication in the relative homotopy group  $\pi_1(D, S)$ , where  $D$  is a topological group and  $S$  is its subgroup.

In §4 we consider families of parallel curves on 2-torus and relations between Dehn twists and slides along these curves. Given  $f \in \mathcal{F}(T^2)$  such that its KR-graph has one cycle, we introduce in §5 some special coordinates and flows adopted with  $f$ . In §6 we define two epimorphisms  $\varphi : \pi_1(\mathcal{D}(T^2), \mathcal{S}'(f)) \rightarrow \mathbb{Z}$  and  $\kappa : \pi_0 \mathcal{S}'(f) \rightarrow \mathbb{Z}_n$  and study their properties, see Theorem 6.1.

As an interpretation of (c) Theorem 6.1 we show in §7 that there exists a  $f$ -invariant  $\mathbb{Z}_n$ -action on  $T^2$ , see Theorem 7.1. This interpretation is not used in the paper, but it gives a new view point of such functions  $f$ . Finally, in §8 we complete Theorem 1.6.

2. HOMOTOPY TYPES OF  $\mathcal{S}(f)$  AND  $\mathcal{O}(f)$ 

Let  $f \in \mathcal{F}(M)$  and  $X$  be a finite (possibly empty) union of regular components of some level sets of  $f$ . We will briefly recall description of the homotopy types of  $\mathcal{S}(f, X)$  and  $\mathcal{O}(f, X)$ .

**Theorem 2.1.** [21, 10, 13]. *The following map*

$$p : \mathcal{D}(M, X) \longrightarrow \mathcal{O}(f, X), \quad p(h) = f \circ h.$$

*is a Serre fibration with fiber  $\mathcal{S}(f, X)$ , that is it has a homotopy lifting property for CW-complexes.*

*Hence  $p(\mathcal{D}_{\text{id}}(M, X)) = \mathcal{O}_f(f, X)$  and the restriction map*

$$(5) \quad p|_{\mathcal{D}_{\text{id}}(M, X)} : \mathcal{D}_{\text{id}}(M, X) \longrightarrow \mathcal{O}_f(f, X)$$

*is also a Serre fibration with fiber  $\mathcal{S}'(f, X) = \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(M, X)$ .*

*Moreover, for each  $k \geq 0$  there is an isomorphism*

$$\lambda_k : \pi_k(\mathcal{D}(M, X), \mathcal{S}(f, X)) \rightarrow \pi_k \mathcal{O}(f, X)$$

*defined by  $\lambda_k[\omega] = [f \circ \omega]$  for a continuous map  $\omega : (I^k, \partial I^k, 0) \rightarrow (\mathcal{D}(M), \mathcal{S}(f), \text{id}_M)$ , and making commutative the following diagram:*

$$\begin{array}{ccccccc} \cdots & \longrightarrow & \pi_k \mathcal{D}(M, X) & \xrightarrow{q} & \pi_k(\mathcal{D}(M, X), \mathcal{S}(f, X)) & \xrightarrow{\partial} & \pi_{k-1} \mathcal{S}(f, X) \longrightarrow \cdots \\ & & \searrow p & & \cong \downarrow \lambda_k & & \nearrow \partial \circ \lambda_k^{-1} \\ & & & & \pi_k \mathcal{O}(f, X) & & \end{array}$$

*see for example [3, §4.1, Theorem 4.1].*

**Theorem 2.2.** [10, 12, 13].  $\mathcal{O}_f(f, X) = \mathcal{O}_f(f, X \cup \partial M)$ , and so

$$\pi_k \mathcal{O}(f, X) \cong \pi_k \mathcal{O}(f, X \cup \partial M), \quad k \geq 1.$$

*Suppose either  $f$  has a critical point which is not a **nondegenerate local extremum** or  $M$  is a non-orientable surface. Then  $\mathcal{S}_{\text{id}}(f)$  is contractible,  $\pi_n \mathcal{O}(f) = \pi_n M$  for  $n \geq 3$ ,  $\pi_2 \mathcal{O}(f) = 0$ , and for  $\pi_1 \mathcal{O}(f)$  we have the following short exact sequence of fibration  $p$ :*

$$(6) \quad 1 \longrightarrow \pi_1 \mathcal{D}(M) \xrightarrow{p} \pi_1 \mathcal{O}(f) \xrightarrow{\partial \circ \lambda_1^{-1}} \pi_0 \mathcal{S}'(f) \longrightarrow 1.$$

*Moreover,  $p(\pi_1 \mathcal{D}(M))$  is contained in the center of  $\pi_1 \mathcal{O}(f)$ .*

*If either  $\chi(M) < 0$  or  $X \neq \emptyset$ . Then  $\mathcal{D}_{\text{id}}(M, X)$  and  $\mathcal{S}_{\text{id}}(f, X)$  are contractible, whence from the exact sequence of homotopy groups of the fibration (5) we get  $\pi_k \mathcal{O}(f, X) = 0$  for  $k \geq 2$ , and that the boundary map*

$$\partial \circ \lambda_1^{-1} : \pi_1 \mathcal{O}(f, X) \longrightarrow \pi_0 \mathcal{S}'(f, X)$$

*is an isomorphism.*

Suppose  $M$  is differs from 2-sphere  $S^2$ , 2-torus, projective plane, and Klein bottle, and let  $X = \partial M$ . Then  $M$  and  $X$  satisfy assumptions of Theorem 2.2, and we get the following isomorphisms

$$\pi_1 \mathcal{O}(f) \cong \pi_1 \mathcal{O}(f, \partial M) \cong \pi_0 \mathcal{S}'(f, \partial M).$$

A possible structure of  $\pi_0 \mathcal{S}'(f, \partial M)$  for this case is completely described in [16].

However in the remained four cases of  $M$  we have that  $\pi_1 \mathcal{D}(M) \neq 0$ , and all terms in the short exact sequence (6) can be non-trivial.

In particular, suppose  $M = T^2$ . Then the sequence (6) has the following form:

$$(7) \quad 1 \longrightarrow \mathbb{Z}^2 \xrightarrow{p} \pi_1 \mathcal{O}_f(f) \xrightarrow{\partial} \pi_0 \mathcal{S}'(f) \longrightarrow 1.$$

It is shown in [17] that if a KR-graph  $\Gamma(f)$  of  $f \in \mathcal{F}(T^2)$  is a tree, then under some additional ‘‘triviality’’ assumption on the action  $\mathcal{S}'(f)$  on  $\Gamma(f)$ , the sequence (7) splits.

Moreover, in [18] the authors considered the case when  $\Gamma(f)$  of  $f \in \mathcal{F}(T^2)$  has one cycle, and  $f$  has cyclic index  $n = 1$ .

### 3. MULTIPLICATION IN $\pi_1(D, S, e)$

Let  $D$  be a topological space,  $S$  be its subset, and  $e \in S$  be a point. Then, in general, the relative homotopy set  $\pi_1(D, S, e)$ , as well as  $\pi_0(D, e)$  and  $\pi_0(S, e)$  **have no natural group structure**. However, if  $D$  is a topological group,  $S$  is a subgroup of  $D$ , and  $e$  is the unit of  $D$ , then such group structures exist. We leave the following lemma for the reader.

**Lemma 3.1.** *cf. [2, Ch. 1, §4]. Let  $D$  be a topological group with multiplication  $\circ$ ,  $S$  be a subgroup of  $D$ , and  $e$  be the unit of  $D$ . Then  $\pi_0(D, e)$ ,  $\pi_1(D, S, e)$ ,  $\pi_0(S, e)$  have a group structures such that in the corresponding sequence of homotopy groups of the triple  $(D, S, e)$*

$$\cdots \rightarrow \pi_1(D, e) \xrightarrow{q} \pi_1(D, S, e) \xrightarrow{\partial} \pi_0(S, e) \xrightarrow{i} \pi_0 D \rightarrow \cdots$$

*the maps  $q$ ,  $\partial$ , and  $i$  are homomorphisms. Moreover  $q(\pi_1(D, e))$  is contained in the center of  $\pi_1(D, S, e)$ .*

In what follows we will assume that  $D$ ,  $S$ , and  $e$  are the same as in Lemma 3.1. We will recall a formula for the multiplication in  $\pi_1(D, S, e)$ .

Let  $g, h : (I, \partial I, 0) \rightarrow (D, S, e)$  be two paths representing some elements of  $\pi_1(D, S, e)$ . For simplicity we will denote  $g(t)$  by  $g_t$  and similarly for  $h$ . The class of  $[g] \in \pi_1(D, S, e)$  will also be denoted by  $[g_t]$ . Define another path  $r : (I, \partial I, 0) \rightarrow (D, S, e)$  by

$$r(t) = \begin{cases} g_{2t}, & t \in [0, \frac{1}{2}], \\ g_1 \circ h_{2t-1}, & t \in [\frac{1}{2}, 1]. \end{cases}$$

Then  $[r_t] = [g_t] [h_t]$  in  $\pi_1(D, S, e)$ .

As an immediate consequence of this formula we get the following lemma:

**Lemma 3.2.** *Let  $g, h : I \rightarrow D$  be two paths such that  $g(0) = e$ ,  $g(1) = h(0) \in S$  and  $h(1) \in S$  as well, and  $s : (I, \partial I, 0) \rightarrow (D, S, e)$  be a path defined by*

$$s(t) = \begin{cases} g_{2t}, & t \in [0, \frac{1}{2}], \\ h_{2t-1}, & t \in [\frac{1}{2}, 1], \end{cases}$$

*so it is obtained by joining  $g$  and  $h$ , see Figure 3(a). Then*

$$(8) \quad [s_t] = [g_t] [g_1^{-1} \circ h_t]$$

*in  $\pi_1(D, S, e)$ , where  $[g_1^{-1} \circ h_t]$  is a class of a path  $(I, \partial I, 0) \rightarrow (D, S, e)$  defined by  $t \mapsto g_1^{-1} \circ h_t$ .*

**Lemma 3.3.** *Let  $g_t, h_t : (I, \partial I, 0) \rightarrow (D, S, e)$  be two paths. Then in  $\pi_1(D, S, e)$  we have the following identities:*

$$(9) \quad [g_t \circ h_t] = [g_s] [h_t] = [h_t] [h_1^{-1} \circ g_s \circ h_1],$$

$$(10) \quad [h_t] [g_s] [h_t^{-1}] = [h_1^{-1} \circ g_s \circ h^{-1}],$$

*where  $[g_t \circ h_t]$  means the class of the path  $(I, \partial I, 0) \rightarrow (D, S, e)$  given by  $t \mapsto g_t \circ h_t$ , and similarly for all other classes.*

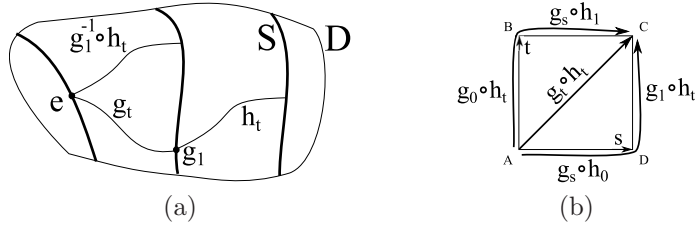


FIGURE 3

*Proof.* Let  $\gamma : I \times I \rightarrow D$  be a continuous map defined by

$$\gamma(s, t) = g_s \circ h_t, \quad (s, t) \in I \times I,$$

see Figure 3(b).

Then the path  $[g_t \circ h_t]$  corresponds to the restriction of  $\gamma$  to the diagonal  $AC = \{s = t \mid (s, t) \in I \times I\}$ . Evidently, this path is homotopic relatively to its ends to the composition of paths along sides  $AB$  and  $BC$  as well as to the composition of paths along sides  $AD$  and  $DC$ . Hence by (8) we get the following relations in  $\pi_1(D, S, e)$ :

$$\begin{aligned} [g_t \circ h_t] &= [g_s \circ h_0] [(g_1 \circ h_0)^{-1} \circ g_1 \circ h_t] = [g_s] [h_t], \\ [g_t \circ h_t] &= [g_0 \circ h_t] [(g_0 \circ h_1)^{-1} \circ g_s \circ h_1] = [h_t] [h_1^{-1} \circ g_s \circ h_1], \\ [h_t] [g_s] [h_t^{-1}] &= [h_t] [h_t^{-1}] [h_1 \circ g_s \circ h_1^{-1}] = [h_t \circ h_t^{-1}] [g_s \circ h_1^{-1}] = [g_s \circ h_1^{-1}], \end{aligned}$$

where we take to account that  $g_0 = h_0 = e$ .  $\square$

#### 4. PARALLEL CURVES ON $T^2$

**4.1. Twists and slides along curves.** Let  $\alpha, \beta : [-1, 1] \rightarrow [0, 1]$  be two  $C^\infty$ -functions such that  $\alpha = 0$  on  $[-1, -\frac{1}{2}]$  and  $\alpha = 1$  on  $[\frac{1}{2}, 1]$ , while  $\beta = 0$  on  $[-1, -\frac{2}{3}] \cup [\frac{2}{3}, 1]$  and  $\beta = 1$  on  $[-\frac{1}{3}, \frac{1}{3}]$ , see Figure 4.

Let also  $Q = S^1 \times [-1, 1]$  be a cylinder and  $C = S^1 \times 0$ . Define the following two diffeomorphisms  $\tau, \theta : Q \rightarrow Q$  by

$$\tau(z, t) = (ze^{\alpha(t)}, t), \quad \theta(z, t) = (ze^{\beta(t)}, t)$$

for  $(z, t) \in Q$ , see Figure 4. Then  $\tau$  is called a *Dehn twist* and  $\theta$  is called a *slide* along the curve  $C$ . Notice that  $\tau$  is fixed on some neighborhood of  $\partial Q$ , while  $\theta$  is fixed on some neighborhood of  $C \cup \partial Q$ .

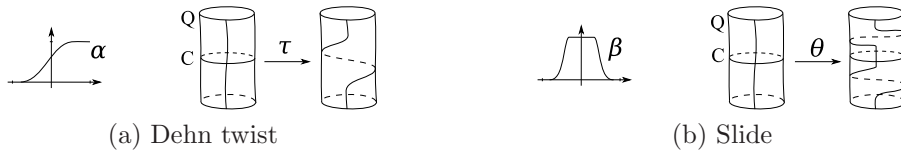


FIGURE 4

**Lemma 4.2.** *Let  $\mathcal{D}(Q, \partial Q)$  be the group of diffeomorphisms fixed on some neighborhood of  $\partial Q = S^1 \times \{0, 1\}$ , and  $\tau \in \mathcal{D}(Q, \partial Q)$  be a Dehn twist along the curve  $C$ . Then*

$$\pi_0 \mathcal{D}(Q, \partial Q) = \langle [\tau] \rangle \cong \mathbb{Z},$$

*i.e. it is an infinite cyclic group generated by the isotopy class of the Dehn twist  $\tau$ .*

Now let  $C \subset M$  be a simple closed curve. Suppose  $C$  preserves orientation, that is it has a closed neighborhood  $W$  diffeomorphic to a cylinder  $Q$ . Fix any  $\phi : Q \rightarrow W$  such that  $\phi(S^1 \times 0) = C$ .

Since  $\tau$  is fixed on some neighborhood of  $\partial Q$ , we see that  $\phi \circ \tau \circ \phi^{-1} : W \rightarrow W$  extends by the identity to some diffeomorphism  $\bar{\tau}$  and  $\bar{\theta}$  of  $M$  respectively. Any diffeomorphism  $h : M \rightarrow M$  isotopic to  $\bar{\tau}$  or  $\bar{\tau}^{-1}$  will be called a *Dehn twist* along  $C$ .

Also notice that  $\theta$  is fixed on some neighborhood of  $(S^1 \times 0) \cup \partial Q$ , whence the diffeomorphism  $\phi \circ \theta \circ \phi^{-1} : W \rightarrow W$  extends by the identity to some diffeomorphisms  $\bar{\theta}$  of  $M$ . Any diffeomorphism  $h : M \rightarrow M$  fixed on some neighborhood of  $C$ , supported in some cylindrical neighborhood  $W$  of  $C$ , and isotopic to  $\bar{\theta}$  or  $\bar{\theta}^{-1}$  relatively to some neighborhood of  $C \cup \overline{M \setminus Q}$  will be called a *slide* along  $C$ .

**4.3. Diffeomorphisms of  $T^2$  fixed on parallel family of curves.** Let  $C_0, \dots, C_{n-1} \subset T^2$  be a parallel family of curves cyclically ordered along  $T^2$ , see §1.3 and Figure 1. For each  $i = 0, \dots, n-1$  let  $\tau_i \in \mathcal{D}(T^2)$  be a Dehn twist such that  $\text{supp}(\tau_i) \subset \text{Int}Q_i$  and its restriction  $\tau_i|_{Q_i}$  generates  $\pi_0\mathcal{D}(Q_i, \partial Q_i) \cong \mathbb{Z}$ , see Figure 5(a). Replacing, if necessary,  $\tau_i$  with  $\tau_i^{-1}$  we can assume that all  $\tau_i$  are isotopic each other as diffeomorphisms of  $T^2$ .

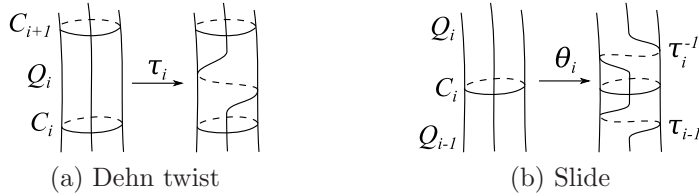


FIGURE 5

Let

$$(11) \quad \mathcal{G} = \mathcal{D}_{\text{id}}(T^2) \cap \mathcal{D}(T^2, \mathcal{C})$$

be the group of diffeomorphisms fixed on some neighborhood of each  $C_i$  and isotopic to the identity via an isotopy that is not necessarily fixed near  $\mathcal{C}$ . Evidently,  $\mathcal{D}_{\text{id}}(T^2, \mathcal{C})$  is the path component of  $\mathcal{G}$  containing  $\text{id}_{T^2}$ , whence

$$\pi_0\mathcal{G} \cong \mathcal{G}/\mathcal{D}_{\text{id}}(T^2, \mathcal{C}).$$

**Theorem 4.4.** Let  $\theta_i \in \mathcal{G}$ ,  $i = 0, \dots, n-1$ , be a slide along  $C_i$  such that

- (i)  $\text{supp}(\theta_i) \subset \text{Int}Q_{i-1} \cup \text{Int}Q_i$ , and, in particular,  $\theta_i$  is fixed near  $Q_i$ ;
- (ii)  $\text{supp}(\theta_i) \cap \text{supp}(\theta_j) = \emptyset$  for  $i \neq j$ ;
- (iii)  $\theta|_{Q_i}$  is isotopic to  $\tau_{i-1} \circ \tau_i^{-1}$  relatively to some neighborhood of  $C_i \cup M \setminus (Q_{i-1} \cup Q_i)$ , see Figure 5(b).

Denote  $\theta = \theta_0 \circ \theta_1 \circ \dots \circ \theta_{n-1}$ . Then  $\theta \in \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$ , i.e. it is isotopic to  $\text{id}_{T^2}$  relatively to some neighborhood of  $\mathcal{C}$ . Moreover,

$$(12) \quad \pi_0\mathcal{G} \cong \langle [\theta_1], \dots, [\theta_{n-1}] \rangle \cong \mathbb{Z}^{n-1},$$

i.e. this group is freely generated by isotopy classes of slides  $\theta_1, \dots, \theta_{n-1}$  in  $\mathcal{G}$ .

In particular, if  $n = 1$ ,  $\pi_0\mathcal{G} = \{1\}$ , and so  $\mathcal{G} = \mathcal{D}_{\text{id}}(T^2) \cap \mathcal{D}(T^2, \mathcal{C}) = \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$ .

*Proof.* For  $n = 1$  this statement is established in [18], therefore we will assume that  $n \geq 2$ .

It follows from (iii) that  $\theta$  is isotopic relatively to some neighborhood of  $\mathcal{C}$  to

$$\tau_0 \circ \tau_1^{-1} \circ \tau_1 \circ \tau_2^{-1} \circ \dots \circ \tau_{n-1} \circ \tau_0^{-1} = \text{id}_{T^2},$$

that is  $\theta \in \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$ .



It remains to prove (12). Evidently, if  $h \in \mathcal{G}$ , then  $h(Q_i) = Q_i$  and  $h$  is fixed on some neighborhood of  $\partial Q_i = C_i \cup C_{i+1}$ . In other words, the restriction  $h|_{Q_i} \in \mathcal{D}(Q_i, \partial Q_i)$ . Hence, by Lemma 4.2,  $h|_{Q_i}$  is isotopic relatively to some neighborhood  $\partial Q_i$  to  $\tau_i^{a_i}|_{Q_i}$  for a unique  $a_i \in \mathbb{Z}$ . Therefore  $h$  itself is isotopic relatively to some neighborhood of  $\mathcal{C}$  to the product

$$(13) \quad \tau_0^{a_0} \circ \tau_1^{a_1} \circ \cdots \circ \tau_{n-1}^{a_{n-1}}$$

for unique integers  $a_0, \dots, a_{n-1} \in \mathbb{Z}^n$ .

It easily follows that the correspondence  $h \mapsto (a_0, \dots, a_{n-1})$  is a well-defined homomorphism

$$q : \mathcal{G} \longrightarrow \mathbb{Z}^n.$$

Consider the following subgroup of  $\mathbb{Z}^n$ :

$$\Delta = \{(a_0, \dots, a_{n-1}) \in \mathbb{Z}^n \mid a_0 + \cdots + a_{n-1} = 0\}.$$

**Lemma 4.5.**  $\ker(q) = \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$  and  $q(\mathcal{G}) = \Delta$ , so we have the following exact sequence:

$$1 \longrightarrow \mathcal{D}_{\text{id}}(T^2, \mathcal{C}) \xrightarrow{\subset} \mathcal{G} \xrightarrow{q} \Delta \longrightarrow 1.$$

Hence  $\pi_0 \mathcal{G} \cong \mathcal{G} / \mathcal{D}_{\text{id}}(T^2, \mathcal{C}) \cong \Delta \cong \mathbb{Z}^{n-1}$ .

*Proof.* The identity  $\ker(q) = \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$  easily follows from Lemma 4.2.

Let us prove that  $q(\mathcal{G}) = \Delta$ . Suppose  $q(h) = (a_0, \dots, a_{n-1})$ , so  $h$  is isotopic relatively to some neighborhood of  $\mathcal{C}$  to the product  $\tau_0^{a_0} \circ \tau_1^{a_1} \circ \cdots \circ \tau_{n-1}^{a_{n-1}}$ . But by construction all  $\tau_i$  are mutually isotopic as diffeomorphisms of  $T^2$ . Hence  $h$  is isotopic to  $\tau_0^{a_0 + \cdots + a_{n-1}}$ . On the other hand, by assumption  $h$  is isotopic to  $\text{id}_{T^2}$ , while  $\tau_0$  is not isotopic to the identity and its isotopy class in  $\pi_0 \mathcal{D}(T^2)$  has infinite order. Therefore  $a_0 + \cdots + a_{n-1} = 0$ , i.e.  $q(h) \in \Delta$ .  $\square$

Now we can complete the proof of Theorem 4.4. By (ii)  $\theta_i$  is isotopic relatively  $\mathcal{C}$  to the product  $\tau_{i-1} \circ \tau_i^{-1}$ , see Figure 5(b). This means that

$$q(\theta_i) = (\underbrace{0, \dots, 0}_i, 1, -1, 0, \dots, 0), \quad i = 1, \dots, n-1.$$

It remains to note that the elements  $q(\theta_i)$ ,  $i = 1, \dots, n-1$ , constitute a basis for  $\Delta$ , whence their isotopy classes in  $\mathcal{G}$  constitute a basis for  $\pi_0 \mathcal{G}$ .  $\square$

**Corollary 4.6.** For each  $h \in \mathcal{G}$  there exist unique  $b_1, \dots, b_{n-1} \in \mathbb{Z}$  and  $g \in \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$  such that  $h = \theta_1^{b_1} \circ \cdots \circ \theta_{n-1}^{b_{n-1}} \circ g$ .

**4.7. Smooth shifts along trajectories of a flow.** Let  $\mathbf{F} : M \times \mathbb{R} \rightarrow M$  be a smooth flow on a manifold  $M$ . Then for every smooth function  $\alpha : M \rightarrow \mathbb{R}$  one can define the following map  $\mathbf{F}_\alpha : T^2 \rightarrow \mathbb{R}$  by the formula:

$$(14) \quad \mathbf{F}_\alpha(z) = \mathbf{F}(z, \alpha(z)), \quad z \in M.$$

**Lemma 4.8.** [10, Claim 4.14.1]. Suppose  $\mathbf{F}_\alpha$  is a *diffeomorphism*. Then for each  $t \in [0, 1]$  the map

$$\mathbf{F}_{t\alpha} : M \rightarrow M, \quad \mathbf{F}_{t\alpha}(z) = \mathbf{F}(z, t\alpha(z))$$

is a diffeomorphism as well.

In particular,  $\{\mathbf{F}_{t\alpha}\}_{t \in I}$  is an isotopy between  $\text{id}_M = \mathbf{F}_0$  and  $\mathbf{F}_\alpha$ .

5. SOME CONSTRUCTIONS ASSOCIATED WITH  $f$ 

In the sequel we will regard the circle  $S^1$  and the torus  $T^2$  as the corresponding factor-groups  $\mathbb{R}/\mathbb{Z}$  and  $\mathbb{R}^2/\mathbb{Z}^2$ . For  $s \in S^1$  and  $\varepsilon \in (0, 0.5)$  let

$$J_\varepsilon(s) = (s - \varepsilon, s + \varepsilon) \subset S^1$$

be an open  $\varepsilon$ -neighborhood of  $s \in S^1$ .

Let  $f \in \mathcal{F}(T^2)$  be a function such that its KR-graph  $\Gamma(f)$  has only one cycle,  $C$  be a regular connected component of certain level set of  $f$  not separating  $T^2$ , and

$$\mathcal{C} = \{h(C) \mid h \in \mathcal{S}'(f)\} = \{C_0 = C, C_1, \dots, C_{n-1}\},$$

see Figure 2. We will now define several constructions “adopted” with  $f$ .

**Special coordinates.** As the curves  $\{C_i \mid i = 0, \dots, n-1\}$  are “parallel”, one can assume (by a proper choice of coordinates on  $T^2$ ) that the following two conditions hold:

- (a)  $C_i = \frac{i}{n} \times S^1 \subset \mathbb{R}^2/\mathbb{Z}^2 \cong T^2$ ;
- (b) there exists  $\varepsilon > 0$  such that for all  $t \in J_\varepsilon(\frac{i}{n}) = (\frac{i}{n} - \varepsilon, \frac{i}{n} + \varepsilon)$  the curve  $t \times S^1$  is a regular connected component of some level set of  $f$ .

It is convenient to regard each  $C_k$  as a *meridian* of  $T^2$ . Let  $C' = S^1 \times 0$  be the corresponding *parallel*. Then  $C' \cap C_i = \frac{i}{n}$ .

**Isotopies  $\mathbf{L}$  and  $\mathbf{M}$ .** Let  $\mathbf{L}, \mathbf{M} : T^2 \times [0, 1] \rightarrow T^2$  be two isotopies defined by

$$(15) \quad \mathbf{L}(x, y, t) = (x + t \bmod 1, y), \quad \mathbf{M}(x, y, t) = (x, y + t \bmod 1)$$

for  $x \in C'$ ,  $y \in C$ , and  $t \in [0, 1]$ . Geometrically,  $\mathbf{L}$  is a “rotation” of the torus along its parallels and  $\mathbf{M}$  is a rotation along its meridians. We can regard them as loops in  $\pi_1 \mathcal{D}(T^2)$ . Denote by  $\mathcal{L}$  and  $\mathcal{M}$  the subgroups of  $\pi_1 \mathcal{D}^{\text{id}}$  generated by loops  $\mathbf{L}$  and  $\mathbf{M}$  respectively. It is well known that that  $\mathcal{L}$  and  $\mathcal{M}$  are commuting free cyclic groups, and so we get an isomorphism

$$\pi_1 \mathcal{D}^{\text{id}} \cong \mathcal{L} \times \mathcal{M}.$$

Also notice that  $\mathbf{L}$  and  $\mathbf{M}$  can be also regarded as *flows*  $\mathbf{L}, \mathbf{M} : T^2 \times \mathbb{R} \rightarrow T^2$  defined by the same formulas Eq. (15) for  $(x, y, t) \in T^2 \times \mathbb{R}$ . All orbits of the *flows*  $\mathbf{L}$  and  $\mathbf{M}$  are periodic of period 1.

**A flow  $\mathbf{F}$ .** Since  $T^2$  is an orientable surface, one can construct a “Hamiltonian like” flow  $\mathbf{F} : T^2 \times \mathbb{R} \rightarrow T^2$  having the following properties, see e.g. [10, Lemma 5.1]:

- 1) a point  $z \in T^2$  is fixed for  $\mathbf{F}$  if and only if  $z$  is a critical point of  $f$ ;
- 2)  $f$  is constant along orbits of  $\mathbf{F}$ , that is  $f(z) = f(\mathbf{F}(z, t))$  for all  $z \in T^2$  and  $t \in \mathbb{R}$ .

It follows that every critical point of  $f$  and every regular components of every level set of  $f$  is an orbit of  $\mathbf{F}$ .

In particular, each curve  $t \times S^1$  for  $t \in J_\varepsilon(\frac{i}{n})$ ,  $i = 0, \dots, n-1$ , is an orbit of  $\mathbf{F}$ . On the other hand, this curve is also an orbit of the flow  $\mathbf{M}$ . Therefore, we can always choose  $\mathbf{F}$  so that

$$(16) \quad \mathbf{M}(x, y, t) = \mathbf{F}(x, y, t)$$

for  $(x, y, t) \in J_\varepsilon(\frac{i}{n}) \times S^1 \times \mathbb{R}$  and  $i = 0, \dots, n-1$ .

**Lemma 5.1.** [10, 12]. *Suppose a flow  $\mathbf{F} : T^2 \times \mathbb{R} \rightarrow T^2$  satisfies the above conditions 1) and 2). Then the following statements hold.*

- (1) *Let  $h \in \mathcal{S}(f)$ . Then  $h \in \mathcal{S}_{\text{id}}(f)$  if and only if there exists a  $C^\infty$  function  $\alpha : T^2 \rightarrow \mathbb{R}$  such that  $h = \mathbf{F}_\alpha$ , see (14). Such a function is unique and the family of maps  $\{\mathbf{F}_{t\alpha}\}_{t \in I}$  constitute an isotopy between  $\text{id}_M$  and  $h$ , [12, Lemma 16].*

(2) Suppose  $C$  is a regular component of some level set of  $f$  and  $h \in \mathcal{S}(f)$  be such that  $h(C) = C$  and  $h$  preserves orientation of  $C$ . Let also  $N$  be an arbitrary open neighborhood of  $C$ . Then each  $h \in \mathcal{S}(f)$  is isotopic in  $\mathcal{S}(f)$  via an isotopy supported in  $N$  to a diffeomorphism  $g$  fixed on some smaller neighborhood of  $C$ . In particular,  $[h] = [g] \in \pi_0 \mathcal{S}(f)$ , [10, Lemma 4.14].

(3) Let  $X$  be a finite disjoint union of regular components of some level sets of  $f$ , and  $N$  be an open neighborhood of  $X$ . Then there exists a smaller open neighborhood  $U \subset N$  of  $X$  such that  $\bar{U} \subset N$  and each  $h \in \mathcal{S}_{\text{id}}(f)$  is isotopic in  $\mathcal{S}(f)$  relatively to  $\bar{U}$  to a diffeomorphism  $g$  fixed on  $M \setminus N$ . In particular,  $g \in \mathcal{S}_{\text{id}}(f)$  as well. Moreover, if  $h = \mathbf{F}_\alpha$ , then one can assume that  $g = \mathbf{F}_\beta$ , where  $\beta = \alpha$  on  $U$  and  $\beta = 0$  on  $M \setminus N$ , [10, Lemma 4.14].

**Special slides.** It follows from (16) and (15) that each  $C_k$  is an orbit of the flow  $\mathbf{F}$  of period 1. Let  $\alpha, \beta : [-1, 1] \rightarrow [0, 1]$  be the functions defined in §4.1, see Figure 4, and  $\varepsilon$  be the same as in (16). Define two diffeomorphisms  $\tau_i, \theta_i : T^2 \rightarrow T^2$ ,  $i = 0, \dots, n-1$ , by the formulas

$$(17) \quad \tau_i(x, y) = \begin{cases} \mathbf{F}(x, y, \alpha((y - \frac{i}{n})/2\varepsilon)), & (x, y) \in J_\varepsilon(\frac{i}{n}) \times S^1, \\ (x, y), & \text{otherwise,} \end{cases}$$

$$(18) \quad \theta_i(x, y) = \begin{cases} \mathbf{F}(x, y, \beta((y - \frac{i}{n})/2\varepsilon)), & (x, y) \in J_\varepsilon(\frac{i}{n}) \times S^1, \\ (x, y), & \text{otherwise.} \end{cases}$$

Evidently,  $\tau_i$  is a Dehn twist and  $\theta_i$  is a *slide* along  $C_i$  in the sense of §4.1.

Notice that  $f \circ \theta_i = f$ ,  $\theta_i$  is isotopic to  $\text{id}_{T^2}$ , and  $\theta_k$  is also fixed on some neighborhood of  $\mathcal{C}$ . In other words,

$$\theta_i \in \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2) \cap \mathcal{D}(T^2, \mathcal{C}) = \mathcal{S}(f) \cap \mathcal{G},$$

see (11). Moreover,  $\text{supp}(\theta_i) \cap \text{supp}(\theta_j) = \emptyset$  for  $i \neq j \in \{1, \dots, n-1\}$ . Let also

$$(19) \quad \theta = \theta_0 \circ \dots \circ \theta_{n-1}.$$

Then by Theorem 4.4  $\theta \in \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2, \mathcal{C}) = \mathcal{S}_{\mathcal{C}}$ . Let  $[\theta]_{\mathcal{C}}$  be the isotopy class of  $\theta$  in  $\pi_0 \mathcal{S}_{\mathcal{C}}$ , and  $\Theta = \langle [\theta]_{\mathcal{C}} \rangle$  be the subgroup of  $\pi_0 \mathcal{S}_{\mathcal{C}}$  generated by  $[\theta]_{\mathcal{C}}$ .

The following lemma is an easy consequence of (18) and (19) and we leave it for the reader.

**Lemma 5.2.**  $\theta = \mathbf{F}_\sigma = \mathbf{M}_\sigma$  for some  $C^\infty$  function  $\sigma$  such that  $\sigma = 1$  on  $\mathcal{C}$ . Moreover, as  $\sigma$  is constant along orbits of  $\mathbf{F}$ , it follows from [9, Eq. (8)] and can easily be shown, that  $\theta^k = \mathbf{F}_{k\sigma}$  for all  $k \in \mathbb{Z}$ .

## 6. TWO EPIMORPHISMS

In the notation of §5 let  $f \in \mathcal{F}(T^2)$  be such that its KR-graph  $\Gamma(f)$  has exactly one cycle,  $C$  be a regular connected component of certain level set  $f^{-1}(c)$  of  $f$  that does not separate  $T^2$ ,

$$\mathcal{C} = \{h(C) \mid h \in \mathcal{S}'(f)\}$$

be the corresponding family of curves parallel to  $C$ , and  $n$  be the number of curves in  $\mathcal{C}$ . The case  $n = 1$  is considered in [18], therefore we will assume that  $n \geq 1$ .

For simplicity we will introduce the following notation:

$$\begin{aligned} \mathcal{D}^{\text{id}} &:= \mathcal{D}_{\text{id}}(T^2), & \mathcal{O} &:= \mathcal{O}_f(f), & \mathcal{S} &:= \mathcal{S}'(f), & \mathcal{S}^{\text{id}} &:= \mathcal{S}_{\text{id}}(T^2), \\ \mathcal{D}_{\mathcal{C}}^{\text{id}} &:= \mathcal{D}_{\text{id}}(T^2, \mathcal{C}), & \mathcal{O}_{\mathcal{C}} &:= \mathcal{O}_f(f, \mathcal{C}), & \mathcal{S}_{\mathcal{C}} &:= \mathcal{S}'(f, \mathcal{C}), & \mathcal{S}_{\mathcal{C}}^{\text{id}} &:= \mathcal{S}_{\text{id}}(f, \mathcal{C}), \\ \mathcal{D}^{\mathcal{Q}} &:= \mathcal{D}_{\text{id}}(Q_0, \partial Q_0), & \mathcal{O}^{\mathcal{Q}} &:= \mathcal{O}(f|_{Q_0}, \partial Q_0), & \mathcal{S}^{\mathcal{Q}} &:= \mathcal{S}(f|_{Q_0}, \partial Q_0). \end{aligned}$$

Our aim is to construct an isomorphism  $\pi_1 \mathcal{O} \cong \pi_1 \mathcal{O}^Q \wr_{\mathbb{Z}_n}$ . Due to (2) of Theorem 2.2 we have isomorphisms

$$\pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) \cong \pi_1 \mathcal{O}_C, \quad \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) \cong \pi_1 \mathcal{O}, \quad \pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \cong \pi_1 \mathcal{O}^Q,$$

and so we are reduced to finding an isomorphism

$$(20) \quad \xi : \pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \wr_{\mathbb{Z}_n} \cong \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}).$$

Let  $i : (\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) \subset (\mathcal{D}^{\text{id}}, \mathcal{S})$  be the inclusion map. It yields a morphism between the exact sequences of homotopy groups of these pairs, see Theorems 2.1 and 2.2. The non-trivial part of this morphism is contained in the following commutative diagram:

$$(21) \quad \begin{array}{ccccccccc} 1 & \longrightarrow & 1 & \longrightarrow & \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) & \xrightarrow{\partial_C} & \pi_0 \mathcal{S}_C & \longrightarrow & 1 \\ & & \downarrow & & i_1 \downarrow & & \downarrow i_0 & & \\ 1 & \longrightarrow & \pi_1 \mathcal{D}^{\text{id}} & \xrightarrow{q} & \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) & \xrightarrow{\partial} & \pi_0 \mathcal{S} & \longrightarrow & 1 \end{array}$$

In this section we describe kernel and images of all homomorphisms from (21), see Theorem 6.1 below. For  $n = 1$  this diagram is studied in [18].

For  $h \in \mathcal{S}$  we will denote by  $[h]$  its isotopy class in  $\pi_0 \mathcal{S}$ . If  $h \in \mathcal{S}_C$ , then its isotopy class in  $\pi_0 \mathcal{S}_C$  will be denoted by  $[h]_C$ . Evidently,

$$i_0([h]_C) = [h].$$

Similarly, for a path  $\omega : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2})$  we will denote by  $[\omega]$  its homotopy class in  $\pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$ . If  $\omega(I, \partial I, 0) \subset (\mathcal{D}_C^{\text{id}}, \mathcal{S}_C, \text{id}_{T^2})$ , then we denote by  $[\omega]_C$  is homotopy class in  $\pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C)$ . Again

$$i_1([\omega]_C) = [\omega].$$

Recall also that the boundary homomorphism  $\partial_C : \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) \rightarrow \pi_0 \mathcal{S}_C$  is defined as follows: if  $\omega : (I, \partial I, 0) \rightarrow (\mathcal{D}_C^{\text{id}}, \mathcal{S}_C, \text{id}_{T^2})$  is a continuous path, then

$$\partial_C([\omega]_C) = [\omega(1)]_C \in \pi_0 \mathcal{S}_C.$$

**Theorem 6.1.** *In the notation above there exist two epimorphisms*

$$\varphi : \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) \rightarrow \mathbb{Z}, \quad \kappa : \pi_0 \mathcal{S} \rightarrow \mathbb{Z}_n,$$

such that the following diagram is commutative:

$$(22) \quad \begin{array}{ccccccccc} & & & & 1 & & 1 & & \\ & & & & \downarrow & & \downarrow \Theta & & \\ & & & & 1 & & \pi_0 \mathcal{S}_C & & \\ & & & & \downarrow & & \downarrow i_0 & & \\ & & & & \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) & \xrightarrow[\cong]{\partial_C} & \pi_0 \mathcal{S}_C & & \\ & & & & \downarrow i_1 & & \downarrow i_0 & & \\ 1 & \longrightarrow & \mathcal{L} \times \mathcal{M} & \xrightarrow{q} & \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) & \xrightarrow{\partial} & \pi_0 \mathcal{S} & \longrightarrow & 1 \\ & & \downarrow \text{pr} & & \downarrow \varphi & & \downarrow \kappa & & \\ 1 & \longrightarrow & \mathcal{L} & \xrightarrow{\cdot n} & \mathbb{Z} & \xrightarrow{\text{mod } n} & \mathbb{Z}_n & \longrightarrow & 1 \\ & & & & \downarrow & & \downarrow & & \\ & & & & 1 & & 1 & & \end{array}$$

Here the arrow  $\xrightarrow{\cdot n}$  means a unique monomorphism associating to the generator  $\mathbf{L} \in \mathcal{L}$  the number  $n$ . Moreover, the following statements hold true.

- (a)  $q(\mathcal{M}) = i_1 \circ \partial_{\mathcal{C}}^{-1}(\Theta)$ ;
- (b) all rows and columns in diagram (22) are exact;
- (c) there exists a path  $\gamma : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2})$  such that

$$\varphi[\gamma] = 1, \quad \gamma(1)^n = \text{id}_{T^2}.$$

*Proof.*

**Proof of (a).** Let  $\mathbf{M} : T^2 \times I \rightarrow T^2$  be the loop in  $\pi_1 \mathcal{D}(T^2)$  generating a subgroup  $\mathcal{M}$  of  $\pi_1 \mathcal{D}(T^2)$ , see (15). Let also  $\theta = \theta_0 \circ \dots \circ \theta_{n-1}$  be the product of slides along all curves in  $\mathcal{C}$ , see (19),  $\theta^{-1}$  be its inverse, and  $[\theta^{-1}]_c \in \Theta$  be the isotopy class of  $\theta^{-1}$  in  $\pi_0 \mathcal{S}_{\mathcal{C}}$ . Then  $[\theta^{-1}]_c$  also freely generates  $\Theta = \langle [\theta]_c \rangle$ . Therefore it suffices to prove that

$$q(\mathbf{M}) = i_1 \circ \partial_{\mathcal{C}}^{-1}([\theta^{-1}]_c).$$

Notice that  $q(\mathbf{M})$  is represented by the isotopy  $\{\mathbf{M}_t\}_{t \in I}$ .

Also recall that we can also regard  $\mathbf{M}$  as a flow on  $T^2$  defined by the same formula (15). Since all orbits of  $\mathbf{M}$  have period 1,  $\mathbf{M}_\alpha = \mathbf{M}_{\alpha+k}$  for all  $k \in \mathbb{Z}$  and any function  $\alpha$ .

In particular, by Lemma 5.2  $\theta^{-1} = \mathbf{M}_{-\sigma} = \mathbf{M}_{1-\sigma}$  for a  $C^\infty$  function  $\sigma : T^2 \rightarrow \mathbb{R}$  such that  $\sigma = 1$  on a small neighborhood  $U$  of  $\mathcal{C}$  and  $\sigma = 0$  outside some larger neighborhood  $N$ .

Now let  $\mathbf{G}_t = \mathbf{M}_{t(1-\sigma)}$ ,  $t \in I$ , be an isotopy between  $\mathbf{G}(0) = \text{id}_{T^2}$  and  $\mathbf{G}(1) = \theta^{-1}$  fixed on some neighborhood of  $\mathcal{C}$ . Regard it as a path  $\mathbf{G} : (I, \partial I, 0) \rightarrow (\mathcal{D}_{\mathcal{C}}^{\text{id}}, \mathcal{S}_{\mathcal{C}}, \text{id}_{T^2})$ . Then  $\partial([\mathbf{G}]_c) = [\mathbf{G}(1)]_c = [\theta^{-1}]_c$ , and so

$$\partial_{\mathcal{C}}^{-1}[\theta^{-1}]_c = [\mathbf{G}]_c.$$

As  $\partial_{\mathcal{C}}$  is an isomorphism,  $\partial_{\mathcal{C}}^{-1}[\theta^{-1}]_c$  does not depend on a particular choice of such an isotopy  $\mathbf{G}$ . Furthermore,  $i_1 \circ \partial_{\mathcal{C}}^{-1}[\theta^{-1}]_c$  is a homotopy class of  $\mathbf{G}$  regarded as a map

$$(23) \quad \mathbf{G} : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2}), \quad \mathbf{G}(t) = \mathbf{M}_{t(1-\sigma)}.$$

Therefore it remains to show that  $[\mathbf{G}] = q(\text{id}_{T^2} \times \mathbf{M}) \in \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$ . In fact the homotopy between  $\{\mathbf{G}_t\}_{t \in I}$  and  $\{\mathbf{M}_t\}_{t \in I}$  in the space  $C((I, \partial I, 0), (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2}))$  can be defined as follows:

$$\mathbf{H} : (I, \partial I, 0) \times I \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2}), \quad \mathbf{H}(t, s) = \mathbf{M}_{t(1-s\sigma)}.$$

We leave the details for the reader, see [18].

**Proof of (b).** The upper row of (22) coincides with (21) and exactness of the lower row is evident. Therefore it remains to construct epimorphisms  $\varphi$  and  $\kappa$  and prove that the columns of the diagram (22) are exact as well.

**(b1) Construction of  $\kappa : \pi_0 \mathcal{S} \rightarrow \mathbb{Z}_n$ .** Let  $h \in \mathcal{S}$ . Then  $h(\mathcal{C}) = \mathcal{C}$ . Since the curves in  $\mathcal{C}$  are *cyclically* ordered, there exists  $\kappa(h) \in \mathbb{Z}_n$  such that

$$(24) \quad h(C_i) = C_{i+\kappa(h) \bmod n}, \quad i = 0, \dots, n-1.$$

Recall that all indices here are taken module  $n$ . Evidently,  $\kappa(h)$  depends only on the isotopy class  $[h]$  of  $h$  in  $\mathcal{S}$ , and the correspondence  $h \mapsto \kappa[h]$  is a homomorphism  $\kappa : \pi_0 \mathcal{S} \rightarrow \mathbb{Z}_n$ . Moreover,  $\kappa$  is an epimorphism, since by definition  $\mathcal{C}$  consists of all images of  $C$  with respect to  $\mathcal{S}$ .

**(b2) Construction of  $\varphi : \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) \rightarrow \mathbb{Z}$ .** Let  $\eta : \mathbb{R} \times S^1 \rightarrow T^2 \cong S^1 \times S^1$  be the covering map defined by  $\eta(x, y) = (\frac{x}{n} \bmod 1, y)$ . Since  $C_i = \frac{i}{n} \times S^1$ , we have that

$$(25) \quad \eta(\{i\} \times S^1) = C_{i \bmod n}, \quad i \in \mathbb{Z},$$

and in particular,  $\eta^{-1}(\mathcal{C}) = \mathbb{Z} \times S^1$ .

Let  $\omega : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2})$  be a representative of some element of  $\pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$ . Then  $\omega$  can be regarded as an isotopy  $\omega : T^2 \times I \rightarrow T^2$  such that  $\omega_0 = \text{id}_{T^2}$  and  $\omega_1 \in \mathcal{S}$ , that is  $\omega_1(\mathcal{C}) = \mathcal{C}$ . Therefore  $\omega$  lifts to a unique isotopy  $\tilde{\omega} : (\mathbb{R} \times S^1) \times I \rightarrow \mathbb{R} \times S^1$  such that  $\tilde{\omega}_0 = \text{id}_{\mathbb{R} \times S^1}$  and  $\eta \circ \tilde{\omega}_t = \omega_t \circ \eta$  for all  $t \in I$ .

In particular, since  $\omega_1(\mathcal{C}) = \mathcal{C}$ , we have from (25) that  $\tilde{\omega}_1(\mathbb{Z} \times S^1) = \mathbb{Z} \times S^1$ , whence there exists an integer number  $\varphi_\omega \in \mathbb{Z}$  such that

$$(26) \quad \tilde{\omega}_1(\{i\} \times S^1) = (\{i + \varphi_\omega\} \times S^1), \quad i \in \mathbb{Z}.$$

It is easy to see that  $\varphi_\omega$  depends only on the homotopy class  $[\omega]$  of  $\omega$  in  $\pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$  and the correspondence  $[\omega] \mapsto \varphi_\omega$  is a homomorphism  $\varphi : \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) \rightarrow \mathbb{Z}$ .

**(b3) Commutativity of diagram (22).** Due to (21) the upper square is commutative.

**Lower right square.** We need to check that

$$(27) \quad \kappa \circ \partial = \varphi \bmod n.$$

In the notation of (b2), notice that  $\partial[\omega] = [\omega_1] \in \pi_0\mathcal{S}$  by definition of boundary homomorphism. Hence for  $i = 0, \dots, n-1$ ,

$$\omega_1(C_i) \stackrel{(25)}{=} \omega_1 \circ \eta(\{i\} \times S^1) = \eta \circ \tilde{\omega}_1(\{i\} \times S^1) \stackrel{(26)}{=} \eta(\{i + \varphi[\omega]\} \times S^1) = C_{i + \varphi[\omega] \bmod n}.$$

Now (27) follows from (24).

**Lower left square.** We should show that

$$(28) \quad \varphi \circ q([\mathbf{L}]) = n.$$

Evidently, the path  $q(\mathbf{L}) : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2})$  can be regarded as an isotopy

$$\mathbf{L} : T^2 \times I \rightarrow T^2, \quad \mathbf{L}(x, y, t) = (x + \bmod n, y)$$

for  $(x, y) \in T^2$ , see (15). Then  $\mathbf{L}$  lifts to an isotopy  $\tilde{\mathbf{L}} : (\mathbb{R} \times S^1) \times I \rightarrow \mathbb{R} \times S^1$  given by  $\tilde{\mathbf{L}}(x, y, t) = (x + nt, y)$ . In particular,  $\tilde{\mathbf{L}}(\{i\} \times S^1) = \{i + n\} \times S^1$ , whence by (26)  $\varphi \circ q([\mathbf{L}]) = n$ .

**(b4) Exactness of right column.** We should prove that the following sequence

$$1 \rightarrow \Theta \xrightarrow{\subset} \pi_0\mathcal{S}_{\mathcal{C}} \xrightarrow{i_0} \pi_0\mathcal{S} \xrightarrow{\kappa} \mathbb{Z}_n \rightarrow 1$$

is exact. By definition  $\Theta$  is a subgroup of  $\pi_0\mathcal{S}_{\mathcal{C}}$  and as noted above  $\kappa$  is an epimorphism. Therefore we should check that  $\Theta = \ker i_0$  and  $i_0(\pi_0\mathcal{S}_{\mathcal{C}}) = \ker \kappa$ .

**Inclusion  $\Theta \subset \ker i_0$ .**

Recall that each  $\theta_i \in \mathcal{S}_{\text{id}}(f)$ , whence their product  $\theta \in \mathcal{S}_{\text{id}}(f)$  as well, and therefore  $i_0([\theta]_c) = [\theta] = [\text{id}_{T^2}] \in \pi_0\mathcal{S}$ . This shows that  $\Theta = \langle [\theta]_c \rangle \subset \ker(i_0)$

**Inverse inclusion  $\Theta \supset \ker i_0$ .**

Notice that the kernel of  $i_0 : \pi_0\mathcal{S}_{\mathcal{C}} \rightarrow \pi_0\mathcal{S}$  consists of isotopy classes of diffeomorphisms in  $\mathcal{S}_{\mathcal{C}}$  isotopic to  $\text{id}_{T^2}$  by  $f$ -preserving isotopy, however such an isotopy should not necessarily be fixed on  $\mathcal{C}$ . In other words, if we denote

$$\mathcal{K} := \mathcal{S}^{\text{id}} \cap \mathcal{D}_{\mathcal{C}}^{\text{id}} = \mathcal{S}_{\text{id}}(f) \cap \mathcal{D}(T^2, \mathcal{C}),$$

then

$$(29) \quad \ker i_0 = \pi_0\mathcal{K}.$$

Evidently,  $\mathcal{S}_C^{\text{id}} = \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$  is the identity path component of  $\mathcal{K}$ , whence

$$\ker i_0 = \pi_0 \mathcal{K} = \mathcal{K} / \mathcal{S}_C^{\text{id}}.$$

Also notice that each slide  $\theta_i \in \mathcal{S}_{\text{id}}(f)$ , whence their product  $\theta \in \mathcal{S}_{\text{id}}(f)$  as well. On the other hand by Theorem 4.4  $\theta \in \mathcal{D}_C^{\text{id}}$ , whence

$$\theta \in \mathcal{S}^{\text{id}} \cap \mathcal{D}_C^{\text{id}} = \mathcal{K}.$$

**Lemma 6.2.**  $\pi_0 \mathcal{K} = \langle [\theta]_c \rangle \cong \mathbb{Z}$ . In other words, each  $h \in \mathcal{K}$  is isotopic in  $\mathcal{K}$  to  $\theta^b$  for a unique  $b \in \mathbb{Z}$ .

*Proof.* Let  $h \in \mathcal{K}$ . Since  $\mathcal{K} := \mathcal{S}^{\text{id}} \cap \mathcal{D}_C^{\text{id}} \subset \mathcal{S}^{\text{id}}$ , it follows from Lemma 5.1 that there exists a unique smooth function  $\alpha \in C^\infty(T^2)$  such that  $h = \mathbf{F}_\alpha$ .

Since  $h$  is fixed on some neighborhood  $N_i$  of  $C_i$ , that is  $h(x) = \mathbf{F}_\alpha(x) = \mathbf{F}(x, \alpha(x)) = x$  for all  $x \in N_i$ , it follows that  $\alpha(x)$  must be an integer multiple of the period of  $C_i$ . Hence  $\alpha$  takes a constant integer value on  $N_i$ .

We claim that this value is the same for all  $i = 0, \dots, n-1$ . Indeed, let  $Q_i$  be a cylinder bounded by  $C_i$  and  $C_{i+1}$  is isotopic to  $\text{id}_{Q_i}$  relatively to some neighborhood of  $\partial Q_i$ , and  $\tau_i$  be a Dehn twist supported in  $\text{Int}Q_i$  and defined by (17). By Lemma 4.2 the isotopy class of its restriction  $\tau_i|_{Q_i}$  generates the group  $\pi_0 \mathcal{D}(Q_i, \partial Q_i)$ . Then it is easy to see that  $h|_{Q_i}$  is isotopic in  $\mathcal{D}(Q_i, \partial Q_i)$  to  $\tau^b$  if and only if  $\alpha(Q_{i+1}) - \alpha(Q_i) = b$ . By assumption  $h|_{Q_i}$  is isotopic to  $\text{id}_{Q_i} = \tau_i^0$  relatively to  $\partial Q_i$ , whence  $\alpha(Q_{i+1}) - \alpha(Q_i) = 0$  for all  $i$ .

Thus  $\alpha$  takes the same constant integer value on all of  $\mathcal{C}$ , which of course depends on  $h$ . Denote this value by  $k$ . Then the isotopy between  $h = \mathbf{F}_\alpha$  and  $\theta^k = \mathbf{F}_{k\sigma}$  in  $\mathcal{S}_C$  can be given by the formula:  $h_t = \mathbf{F}_{(1-t)\alpha + tk\sigma}$ , see Lemma 4.8.

It remains to note that since  $f$  has critical points inside each  $Q_i$ ,  $\theta^k$  is not isotopic to  $\theta^l$  for  $k \neq l$ .  $\square$

**Inclusion**  $\text{image}(i_0) \subset \ker(\kappa)$ . Let  $h \in \mathcal{S}_C$ , so  $h$  is fixed on  $\mathcal{C}$ , and in particular,  $h(C_i) = C_i$  for all  $i$ . Then by (24),  $\kappa \circ i_0([h]_c) = 0$ , i.e.  $\text{image}(i_0) \subset \ker(\kappa)$ .

**Inverse inclusion**  $\text{image}(i_0) \supset \ker(\kappa)$ . Let  $h \in \mathcal{S}$  be such that  $\kappa[h] = 0$ , that is  $h(C_i) = C_i$  for all  $i$ . Since  $h$  is isotopic to  $\text{id}_{T^2}$ , it also preserves orientation of each  $C_i$ , therefore by Lemma 5.1 we can assume that  $h$  is fixed on some neighborhood of  $\mathcal{C}$  and such a replacement does not change the isotopy class  $[h] \in \pi_0 \mathcal{S}$ . So we can assume that  $h \in \mathcal{D}_{\text{id}}(T^2) \cap \mathcal{D}(T^2, \mathcal{C}) = \mathcal{G}$ , see (11). Then by Corollary 4.6 we can write

$$h = \theta_1^{a_1} \circ \dots \circ \theta_{n-1}^{a_{n-1}} \circ g$$

for some  $a_i \in \mathbb{Z}$  and  $g \in \mathcal{D}_{\text{id}}(T^2, \mathcal{C})$ . But each  $\theta_i \in \mathcal{S}_{\text{id}}(f)$ , whence  $[h] = [g] \in \pi_0 \mathcal{S}$  and

$$g \in \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2, \mathcal{C}) \equiv \mathcal{S}_C.$$

In other words,  $[h] = [g] = i_0([g]_c)$ . Thus  $\text{image}(i_0) \supset \ker(\kappa)$  as well.

**(b5) Exactness of middle column.** We need to check that the following short sequence

$$1 \longrightarrow \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) \xrightarrow{i_1} \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) \xrightarrow{\varphi} \mathbb{Z} \longrightarrow 1$$

is exact. Since  $\partial$ ,  $\kappa$  and  $\text{mod } n$  are surjective, it follows from (27) that  $\varphi$  is **surjective** as well. Therefore it remains to verify that  $i_1$  is injective and  $\text{image}(i_1) = \ker(\varphi)$ .

**Inclusion**  $\text{image}(i_1) \subset \ker(\varphi)$ . Again using notation of (b2) suppose that  $\omega : (I, \partial I, 0) \longrightarrow (\mathcal{D}_C^{\text{id}}, \mathcal{S}_C, \text{id}_{T^2})$  is a representative of some element of  $\pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C)$ . Thus  $\omega$  can be regarded as an isotopy of  $T^2$  fixed on  $\mathcal{C}$ . Therefore its lifting  $\tilde{\omega} : (\mathbb{R} \times S^1) \times I \rightarrow \mathbb{R} \times S^1$  is fixed on  $\mathbb{Z} \times S^1$ , whence  $\tilde{\omega}_1(\{i\} \times S^1) = \{i\} \times S^1$  for all  $i \in \mathbb{Z}$ . Therefore by (26),  $\varphi \circ i_1([\omega]_c) = \varphi[\omega] = 0$ , i.e.  $\omega \in \ker(\varphi)$ .

**Inverse inclusion**  $\text{image}(i_1) \supset \ker(\varphi)$ . Let  $x \in \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$  be such that  $\varphi(x) = 0$ , i.e.  $x \in \ker(\varphi)$ . Then

$$0 = \varphi(x) \bmod n = \kappa \circ \partial(x).$$

Hence  $\partial(x) \in \ker(\kappa) = \text{image}(i_0) = i_0(\Theta)$ . In other words,  $\partial(x) = i_0(\theta^k)$  for some  $k \in \mathbb{Z}$ , where for simplicity of notation we denote by  $\theta$  its isotopy class  $[\theta]_c \in \pi_0 \mathcal{S}_c$ .

Put  $y = i_1 \circ \partial_c^{-1}(\theta^k) \in \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$ . Then

$$\partial(y) = \partial \circ i_1 \circ \partial_c^{-1}(\theta^k) = i_0 \circ \partial_c \circ \partial_c^{-1}(\theta^k) = i_0(\theta^k) = \partial(x).$$

Hence  $xy^{-1} \in \ker(\partial) = \text{image}(q)$ . In other words,

$$x = q(\mathbf{L})^a \cdot q(\mathbf{M})^b \cdot y$$

for some  $a, b \in \mathbb{Z}$ .

We claim that  $a = 0$ , whence  $x = q(\mathbf{M})^b \cdot y$ . Indeed, since  $\varphi \circ q(\mathbf{L}) = n$ ,  $\varphi \circ q(\mathbf{M}) = 0$ , and  $\varphi(y) = \varphi \circ i_1 \circ \partial_c^{-1}(\theta^k) = 0$  we see that

$$0 = \varphi(x) = \varphi(q(\mathbf{L})^a \cdot q(\mathbf{M})^b \cdot y) = an + 0 + 0,$$

and so  $a = 0$ .

Moreover, by (a)  $q(\mathbf{M}) = i_1 \circ \partial_c^{-1}(\theta^{-1})$ , whence

$$x = q(\mathbf{M})^b \cdot y = i_1 \circ \partial_c^{-1}(\theta^{-b}) \cdot i_1 \circ \partial_c^{-1}(\theta^k) = i_1 \circ \partial_c^{-1}(\theta^{k-b}) \in \text{image}(i_1).$$

**Proof of (c).** For  $n = 1$ , we can take  $\gamma$  to be the constant path into  $\text{id}_{T^2}$ . Therefore assume that  $n \geq 2$ .

Let  $\mathbf{L}_t : T^2 \rightarrow T^2$ ,  $t \in I$ , be the isotopy defined by (15) and generating  $\mathcal{L}$ , and  $\lambda = \mathbf{L}_{1/n}$ , thus

$$\lambda(x, y) = (x + \frac{1}{n} \bmod 1, y).$$

In fact we will use the following three properties of  $\lambda$ :

- $f \circ \lambda$  coincides with  $f$  on some neighborhood  $N$  of  $\mathcal{C}$ , see (16);
- $\lambda^n = \text{id}_{T^2}$ ;
- $\lambda(Q_i) = Q_{i+1}$  for all  $i = 0, \dots, n-1$ .

Notice that by definition of cyclic index of  $f$ , there exists  $h \in \mathcal{S}$  such that  $h(Q_i) = Q_{i+1}$  as well as  $\lambda$ .

We can assume that  $h = \lambda$  on some neighborhood  $N$  of  $\mathcal{C}$ . Indeed, since  $\lambda$  and  $h$  preserve orientation of  $T^2$ , and  $f \circ h = f$ , it follows that  $h \circ \lambda^{-1}$  leaves invariant all regular components of level sets of  $f$  belonging to  $N$ . Therefore  $h$  is isotopic in  $\mathcal{S}$  to a diffeomorphism  $h_1 \in \mathcal{S}$  such that  $h_1 \circ \lambda^{-1}$  is fixed on some neighborhood  $N_1$  of  $\mathcal{C}$ , whence  $h_1 = \lambda$  near  $\mathcal{C}$ . Therefore we can replace  $h$  with  $h_1$  and  $N$  with  $N_1$ .

We can additionally assume that  $h^n = \text{id}_{T^2}$ . Indeed, we have that

$$h^{n-1}|_N = \lambda^{n-1}|_N = \lambda^{-1}|_N = h^{-1}|_N.$$

Define a diffeomorphism  $h_1 : T^2 \rightarrow T^2$  by  $h_1 = h$  on  $M \setminus Q_{n-1}$ , and  $h_1 = h^{-1}$  on  $Q_{n-1}$ . Then  $h_1$  is a well-defined diffeomorphism such that  $h_1^n = \text{id}_{T^2}$  and  $f \circ h_1 = f$ , i.e.  $h_1 \in \mathcal{S}(f)$ . Therefore we can again replace  $h$  with  $h_1$ .

We claim that  $h$  is isotopic to  $\text{id}_{T^2}$ . Indeed, since  $h = \lambda$  on an open set, say on a neighborhood of  $\mathcal{C}$ , and  $g$  preserves orientation, we see that so does  $h$ . But all non-trivial isotopy classes of diffeomorphisms of  $T^2$  have infinite orders, whence  $h$  is isotopic to  $\text{id}_{T^2}$ .

Now let  $\gamma_t : T^2 \rightarrow T^2$ ,  $t \in I$ , be any isotopy between  $\text{id}_{T^2}$  and  $h$ . It can be regarded an element of  $\pi_1(\mathcal{D}(T^2), \mathcal{S}(f))$ . Then  $1 = \kappa[\gamma] = \varphi[\gamma] \bmod n$ , so  $\varphi[\gamma] = an + 1$  for some  $a \in \mathbb{Z}$ . Replacing  $\gamma$  with any representative of the class  $[\gamma] [\mathbf{L}]^{-a}$  can assume that  $\varphi[\gamma] = 1$ .

Theorem 6.1 is completed.  $\square$



7.  $f$ -INVARIANT FREE  $\mathbb{Z}_n$ -ACTION

The following theorem is a reformulation of (c) of Theorem 6.1. It shows that there exists a free  $f$ -invariant  $\mathbb{Z}_n$ -action on  $T^2$ , and so  $f$  factors to a function of the same class  $\mathcal{F}(T^2)$  on the corresponding quotient  $T^2/\mathbb{Z}_n$  being also a  $T^2$ .

**Theorem 7.1.** *There exists an  $n$ -sheet covering map  $p : T^2 \rightarrow T^2$  and  $\hat{f} \in \mathcal{F}(T^2)$  making commutative the following diagram:*

$$(30) \quad \begin{array}{ccc} T^2 & \xrightarrow{p} & T^2 \\ & \searrow f & \swarrow \hat{f} \\ & \mathbb{R} & \end{array}$$

Moreover, the KR-graph of  $\hat{f}$  also has one cycle, however the cyclic index of  $\hat{f}$  is 1.

*Proof.* Let  $\gamma$  be the same as in (c) of Theorem 6.1 and let  $g = \gamma(1) \in \mathcal{S}(f)$ . Then  $g^n = \text{id}_{T^2}$ . Notice also that  $g$  has no fixed points, since  $\kappa(g) = \varphi(\gamma) \bmod n = 1$ , i.e.  $g(Q_i) = Q_{i+1}$  for all  $i$ . In other words,  $g$  yields a free  $f$ -invariant action of  $\mathbb{Z}_n$  on  $T^2$  by orientation preserving diffeomorphisms. Hence the corresponding factor map  $p : T^2 \rightarrow T^2/\mathbb{Z}_n$  is an  $n$ -sheet covering of  $T^2$  and the factor space  $T^2/\mathbb{Z}_n$  is diffeomorphic to  $T^2$ .

Furthermore, since the action is  $f$ -invariant, we obtain that  $f$  yields a smooth function  $\hat{f} : T^2/\mathbb{Z}_n = T^2 \rightarrow \mathbb{R}$ , such that the diagram (30) becomes commutative.

It remains to note that since  $p$  is a local diffeomorphism, the function  $\hat{f}$  has property (L) as well as  $f$ . Therefore  $\hat{f} \in \mathcal{F}(T^2/\mathbb{Z}_n)$ . The verification that KR-graph of  $\hat{f}$  has one cycle and that the cyclic index of  $\hat{f}$  is 1 we leave for the reader.  $\square$

## 8. PROOF OF THEOREM 1.6

We have to construct an isomorphism

$$\xi : \pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \underset{\mathbb{Z}_n}{\wr} \mathbb{Z} \cong \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}).$$

Let  $\gamma : (I, \partial I, 0) \rightarrow (\mathcal{D}^{\text{id}}, \mathcal{S}, \text{id}_{T^2})$  be a path defined in (c) of Theorem 6.1, and  $g = \gamma(1) \in \mathcal{S}$ . Then  $g(Q_i) = Q_{i+1}$  and  $g^n = \text{id}_{T^2}$ .

Recall also that the group  $\mathbb{Z}$  acts on  $\text{Map}(\mathbb{Z}_n, \pi_1 \mathcal{O}^Q)$  by formula (2).

**Lemma 8.1.** *There exists an isomorphism*

$$\eta : \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q)) \rightarrow \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C).$$

Moreover, let  $\alpha \in \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q))$ ,  $k \in \mathbb{Z}$ , and  $\alpha^k \in \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q))$  be the result of the action of  $k$  on  $\alpha$ , see (2). Then

$$(31) \quad i_1(\eta(\alpha^k)) = [\gamma^k] i_1(\eta(\alpha)) [\gamma^{-k}].$$

*Proof.* Let  $\alpha : \mathbb{Z}_n \rightarrow \mathcal{P}$  be any map, and  $\omega_i : (I, \partial I, 0) \rightarrow (\mathcal{D}^Q, \mathcal{S}^Q, \text{id}_{Q_0})$  be a representative of  $\alpha(i)$  in  $\pi_1(\mathcal{D}^Q, \mathcal{S}^Q)$ . Then  $\omega_i(t)$  is fixed near  $\partial Q_0$ , whence we have a path  $\omega : I \rightarrow \mathcal{D}_C^{\text{id}}$  given by

$$(32) \quad \omega(t)|_{Q_i} = g^i \circ \omega_i(t) \circ g^{-i}|_{Q_i}, \quad i = 0, \dots, n-1.$$

Notice that

$$\omega(0)|_{Q_i} = g \circ \omega_i(0) \circ g^{-i} = \text{id}_{Q_i}, \quad f \circ \omega(1)|_{Q_i} = f \circ g \circ \omega_i(1) \circ g^{-i} = f,$$

whence  $\omega(0) = \text{id}_{T^2}$  and  $\omega(1) \in \mathcal{S}(f) \cap \mathcal{D}_{\text{id}}(T^2, \mathcal{C}) = \mathcal{S}_C$ . Therefore  $\omega$  is a map of triples  $\omega : (I, \partial I, 0) \rightarrow (\mathcal{D}_C^{\text{id}}, \mathcal{S}_C, \text{id}_{T^2})$ , and so it represents some element  $[\omega]_c$  of  $\pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C)$ . It is easy to see that the class  $[\omega]_c$  depends only on the classes of  $[\omega_i] \in \mathcal{P}$ .

Define the map  $\eta : \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q)) \longrightarrow \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C)$  by  $\eta(\alpha) = [\omega]_C$ . A straightforward verification shows that  $\eta$  is a group isomorphism. We leave the details for the reader.

Now let  $k \in \mathbb{Z}$ . Then by definition of the action  $\alpha^k(i) = \alpha(i+k \bmod n)$ ,  $i = 0, \dots, n-1$ . In particular, if  $\omega_i : (I, \partial I, 0) \rightarrow (\mathcal{D}^Q, \mathcal{S}^Q, \text{id}_{Q_0})$  is a representative of  $\alpha(i)$  in  $\mathcal{P}$ , then  $\omega_{i+k \bmod n}$  is a representative of  $\alpha^k(i)$ . Therefore the path  $\omega' : I \rightarrow \mathcal{D}_C^{\text{id}}$  defined by

$$\omega'(t)|_{Q_i} = g^i \circ \omega_{i+k \bmod n}(t) \circ g^{-i}|_{Q_i}, \quad i = 0, \dots, n-1.$$

corresponds to  $\alpha^k$ , that is  $\eta(\alpha^k) = [\omega']_C$ . Notice that

$$\omega'(t)|_{Q_i} = g^{-k} \circ g^{i+k} \circ \omega_{i+k \bmod n}(t) \circ g^{-i-k} \circ g^k|_{Q_i} = g^{-k} \circ \omega(t) \circ g^k|_{Q_i}.$$

Hence

$$\omega'(t) = g^{-k} \circ \omega(t) \circ g^k = \gamma_1^k \circ \omega_t \circ g_1^{-k}.$$

Notice that  $i_1(\eta(\alpha)) = [\omega]$  and  $i_1(\eta(\alpha^k)) = [\omega']$  are the homotopy classes of  $\omega$  and  $\omega'$  regarded as elements of  $\pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$ . Then by (10)

$$i_1(\eta(\alpha^k)) = [\gamma_1^k \circ \omega_t \circ g_1^{-k}] = [\gamma_t^k] [\omega_t] [\gamma_t^{-k}] = [\gamma_t^k] i_1(\eta(\alpha)) [\gamma_t^{-k}].$$

Lemma is proved.  $\square$

The following statements completes Theorem 1.6.

**Lemma 8.2.** Define a map  $\xi : \pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \wr_{\mathbb{Z}_n} \mathbb{Z} \longrightarrow \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S})$  by

$$\xi(\alpha, k) = i_1(\eta(\alpha)) [\gamma_t^k],$$

for  $\alpha \in \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q))$  and  $k \in \mathbb{Z}$ . Then  $\xi$  is a homomorphism making commutative the following diagram with exact rows, see (4):

$$\begin{array}{ccccccc} 1 & \longrightarrow & \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q)) & \xrightarrow{\zeta} & \pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \wr_{\mathbb{Z}_n} \mathbb{Z} & \xrightarrow{p} & \mathbb{Z} \longrightarrow 1 \\ & & \eta \downarrow \cong & & \xi \downarrow & & \parallel \\ 1 & \longrightarrow & \pi_1(\mathcal{D}_C^{\text{id}}, \mathcal{S}_C) & \xrightarrow{i_1} & \pi_1(\mathcal{D}^{\text{id}}, \mathcal{S}) & \xrightarrow{\varphi} & \mathbb{Z} \longrightarrow 1. \end{array}$$

Hence, by five lemma,  $\xi$  is an isomorphism.

*Proof.* We should check that  $\xi$  is an isomorphism. Suppose  $\alpha, \beta \in \text{Map}(\mathbb{Z}_n, \pi_1(\mathcal{D}^Q, \mathcal{S}^Q))$  and  $k, l \in \mathbb{Z}$ . Then in  $\pi_1(\mathcal{D}^Q, \mathcal{S}^Q) \wr_{\mathbb{Z}_n} \mathbb{Z}$  we have that

$$(\alpha, k) (\beta, l) = (\alpha\beta^k, k+l)$$

whence

$$\xi(\alpha, k) = i_1(\eta(\alpha)) [\gamma_t^k], \quad \xi(\beta, l) = i_1(\eta(\beta)) [\gamma_t^l].$$

On the other hand,

$$\begin{aligned} \xi(\alpha\beta^k, k+l) &= i_1(\eta(\alpha\beta^k)) [\gamma_t^{k+l}] \\ &= i_1(\eta(\alpha)) i_1(\eta(\beta^k)) [\gamma_t^{k+l}] && \text{by (31)} \\ &= i_1(\eta(\alpha)) [\gamma_t^k] i_1(\eta(\beta)) [\gamma_t^{-k}] [\gamma_t^{k+l}] \\ &= i_1(\eta(\alpha)) [\gamma_t^k] i_1(\eta(\beta)) [\gamma_t^l] \\ &= \xi(\alpha, k) \xi(\beta, l), \end{aligned}$$

and so  $\xi$  is a homomorphism. Moreover,

$$\xi \circ \zeta(\alpha) = \xi(\alpha, 0) = i_1 \circ \eta(\alpha),$$

$$\varphi \circ \xi(\alpha, k) = \varphi(\eta(\alpha) [\gamma_t^k]) = \varphi \circ \eta(\alpha) + \varphi([\gamma_t^k]) = 0 + k = k = p(\alpha, k).$$

Hence the above diagram is commutative, and by five lemma  $\xi$  is an isomorphism.  $\square$

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Received 25/11/2014