

TRANSFORMATIONS OF NEVANLINNA OPERATOR-FUNCTIONS AND THEIR FIXED POINTS

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To Eduard R. Tsekanovskii on the occasion of his 80th birthday

ABSTRACT. We give a new characterization of the class $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ of the operator-valued in the Hilbert space \mathfrak{M} Nevanlinna functions that admit representations as compressed resolvents (m -functions) of selfadjoint contractions. We consider the automorphism $\Gamma : M(\lambda) \mapsto M_{\Gamma}(\lambda) := ((\lambda^2 - 1)M(\lambda))^{-1}$ of the class $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ and construct a realization of $M_{\Gamma}(\lambda)$ as a compressed resolvent. The unique fixed point of Γ is the m -function of the block-operator Jacobi matrix related to the Chebyshev polynomials of the first kind. We study a transformation $\widehat{\Gamma} : \mathcal{M}(\lambda) \mapsto \mathcal{M}_{\widehat{\Gamma}}(\lambda) := -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}$ that maps the set of all Nevanlinna operator-valued functions into its subset. The unique fixed point \mathcal{M}_0 of $\widehat{\Gamma}$ admits a realization as the compressed resolvent of the "free" discrete Schrödinger operator $\widehat{\mathbf{J}}_0$ in the Hilbert space $\mathbf{H}_0 = \ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$. We prove that \mathcal{M}_0 is the uniform limit on compact sets of the open upper/lower half-plane in the operator norm topology of the iterations $\{\mathcal{M}_{n+1}(\lambda) = -(\mathcal{M}_n(\lambda) + \lambda I_{\mathfrak{M}})^{-1}\}$ of $\widehat{\Gamma}$. We show that the pair $\{\mathbf{H}_0, \widehat{\mathbf{J}}_0\}$ is the inductive limit of the sequence of realizations $\{\widehat{\mathfrak{H}}_n, \widehat{A}_n\}$ of $\{\mathcal{M}_n\}$. In the scalar case ($\mathfrak{M} = \mathbb{C}$), applying the algorithm of I. S. Kac, a realization of iterates $\{\mathcal{M}_n(\lambda)\}$ as m -functions of canonical (Hamiltonian) systems is constructed.

1. INTRODUCTION AND PRELIMINARIES

Notations. We use the symbols $\text{dom } T$, $\text{ran } T$, $\text{ker } T$ for the domain, the range, and the null-subspace of a linear operator T . The closures of $\text{dom } T$, $\text{ran } T$ are denoted by $\overline{\text{dom } T}$, $\overline{\text{ran } T}$, respectively. The identity operator in a Hilbert space \mathfrak{H} is denoted by I and sometimes by $I_{\mathfrak{H}}$. If \mathfrak{L} is a subspace, i.e., a closed linear subset of \mathfrak{H} , the orthogonal projection in \mathfrak{H} onto \mathfrak{L} is denoted by $P_{\mathfrak{L}}$. The notation $T|_{\mathfrak{L}}$ means the restriction of a linear operator T on the set $\mathfrak{L} \subset \text{dom } T$. The resolvent set of T is denoted by $\rho(T)$. The linear space of bounded operators acting between Hilbert spaces \mathfrak{H} and \mathfrak{K} is denoted by $\mathbf{B}(\mathfrak{H}, \mathfrak{K})$ and the Banach algebra $\mathbf{B}(\mathfrak{H}, \mathfrak{H})$ by $\mathbf{B}(\mathfrak{H})$. Throughout this paper we consider separable Hilbert spaces over the field \mathbb{C} of complex numbers. $\mathbb{C}_+/\mathbb{C}_-$ denotes the open upper/lower half-plane of \mathbb{C} , $\mathbb{R}_+ := [0, +\infty)$, \mathbb{N} is the set of natural numbers, $\mathbb{N}_0 := \mathbb{N} \cup \{0\}$.

Definition 1.1. A $\mathbf{B}(\mathfrak{M})$ -valued function M is called a Nevanlinna function (R -function [15], [20], Herglotz function [12], Herglotz-Nevanlinna function [1], [3]) if it is holomorphic outside the real axis, symmetric $M(\lambda)^* = M(\bar{\lambda})$, and satisfies the inequality $\text{Im } \lambda \text{Im } M(\lambda) \geq 0$ for all $\lambda \in \mathbb{C} \setminus \mathbb{R}$.

This class is often denoted by $\mathcal{R}[\mathfrak{M}]$. A more general is the notion of Nevanlinna family, cf. [9].

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Definition 1.2. A family of linear relations $\mathcal{M}(\lambda)$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$, in a Hilbert space \mathfrak{M} is called a Nevanlinna family if:

- (1) $\mathcal{M}(\lambda)$ is maximal dissipative for every $\lambda \in \mathbb{C}_+$ (resp. accumulative for every $\lambda \in \mathbb{C}_-$);
- (2) $\mathcal{M}(\lambda)^* = \mathcal{M}(\bar{\lambda})$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$;
- (3) for some, and hence for all, $\mu \in \mathbb{C}_+(\mathbb{C}_-)$ the operator family $(\mathcal{M}(\lambda) + \mu I_{\mathfrak{M}})^{-1} (\in \mathbf{B}(\mathfrak{M}))$ is holomorphic on $\mathbb{C}_+(\mathbb{C}_-)$.

The class of all Nevanlinna families in a Hilbert space \mathfrak{M} is denoted by $\tilde{R}(\mathfrak{M})$. Each Nevanlinna family $\mathcal{M} \in \tilde{R}(\mathfrak{M})$ admits the following decomposition to the operator part $M_s(\lambda)$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$, and constant multi-valued part M_∞ :

$$M(\lambda) = M_s(\lambda) \oplus M_\infty, \quad M_\infty = \{0\} \times \text{mul } \mathcal{M}(\lambda).$$

Here $M_s(\lambda)$ is a Nevanlinna family of densely defined operators in $\mathfrak{M} \ominus \text{mul } \mathcal{M}(\lambda)$.

A Nevanlinna $\mathbf{B}(\mathfrak{M})$ -valued function admits the integral representation, see [15], [20],

$$(1.1) \quad M(\lambda) = A + B\lambda + \int_{\mathbb{R}} \left(\frac{1}{t - \lambda} - \frac{t}{t^2 + 1} \right) d\Sigma(t), \quad \int_{\mathbb{R}} \frac{d\Sigma(t)}{t^2 + 1} \in \mathbf{B}(\mathfrak{M}),$$

where $A = A^* \in \mathbf{B}(\mathfrak{M})$, $0 \leq B = B^* \in \mathbf{B}(\mathfrak{M})$, the $\mathbf{B}(\mathfrak{M})$ -valued function $\Sigma(\cdot)$ is nondecreasing and $\Sigma(t) = \Sigma(t - 0)$. The integral is uniformly convergent in the strong topology; cf. [8], [15]. The following condition is equivalent to the definition of a $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna function $M(\lambda)$ holomorphic on $\mathbb{C} \setminus \mathbb{R}$: the function of two variables

$$K(\lambda, \mu) = \frac{M(\lambda) - M(\mu)^*}{\lambda - \bar{\mu}}$$

is a nonnegative kernel, i.e., $\sum_{k,l=1}^n (K(\lambda_k, \lambda_l) f_l, f_k) \geq 0$ for an arbitrary set of points $\{\lambda_1, \lambda_2, \dots, \lambda_n\} \subset \mathbb{C}_+ / (\mathbb{C} \setminus \mathbb{C}_-)$ and an arbitrary set of vectors $\{f_1, f_2, \dots, f_n\} \subset \mathfrak{M}$.

It follows from (1.1) that

$$B = s - \lim_{y \uparrow \infty} \frac{M(iy)}{y} = s - \lim_{y \uparrow \infty} \frac{\text{Im } M(iy)}{y},$$

$$\text{Im } M(iy) = By + \int_{\mathbb{R}} \frac{y}{t^2 + y^2} d\Sigma(t),$$

and this implies that $\lim_{y \rightarrow \infty} y \text{Im } M(iy)$ exists in the strong resolvent sense as a self-adjoint relation; see e.g. [5]. This limit is a bounded selfadjoint operator if and only if $B = 0$ and $\int_{\mathbb{R}} d\Sigma(t) \in \mathbf{B}(\mathfrak{M})$, in which case $s - \lim_{y \rightarrow \infty} y \text{Im } M(iy) = \int_{\mathbb{R}} d\Sigma(t)$. In this case one can rewrite the integral representation (1.1) in the form

$$(1.2) \quad M(\lambda) = E + \int_{\mathbb{R}} \frac{1}{t - \lambda} d\Sigma(t), \quad \int_{\mathbb{R}} d\Sigma(t) \in \mathbf{B}(\mathfrak{M}),$$

and $E = \lim_{y \rightarrow \infty} M(iy)$ in $\mathbf{B}(\mathfrak{M})$.

The class of $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna functions M with the integral representation (1.2) with $E = 0$ is denoted by $\mathcal{R}_0[\mathfrak{M}]$. In this paper we will consider the following subclasses of the class $\mathcal{R}_0[\mathfrak{M}]$.

Definition 1.3. A function N from the class $\mathcal{R}_0[\mathfrak{M}]$ is said to belong to the class

- (1) $\mathcal{N}[\mathfrak{M}]$ if $s - \lim_{y \rightarrow \infty} iyN(iy) = -I_{\mathfrak{M}}$,
- (2) $\mathbf{N}_{\mathfrak{M}}^0$ if $N \in \mathcal{N}[\mathfrak{M}]$ and N is holomorphic at infinity,
- (3) $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ if $N \in \mathbf{N}_{\mathfrak{M}}^0$ and is holomorphic outside the interval $[-1, 1]$.

Thus, we have inclusions

$$\mathbf{N}_{\mathfrak{M}}^0[-1, 1] \subset \mathbf{N}_{\mathfrak{M}}^0 \subset \mathcal{N}[\mathfrak{M}] \subset \mathcal{R}_0[\mathfrak{M}] \subset \mathcal{R}[\mathfrak{M}] \subset \widetilde{R}(\mathfrak{M}).$$

A selfadjoint operator T in the Hilbert space \mathfrak{H} is called \mathfrak{M} -simple, where \mathfrak{M} is a subspace of \mathfrak{H} , if $\overline{\text{span}} \{T - \lambda I\}^{-1} \mathfrak{M}$, $\lambda \in \mathbb{C}_+ \cup \mathbb{C}_-$ = \mathfrak{H} . If T is bounded then the latter condition is equivalent to $\overline{\text{span}} \{T^n \mathfrak{M}, n \in \mathbb{N}_0\} = \mathfrak{H}$.

The next theorem follows from [8, Theorem 4.8] and the Naïmark’s dilation theorem [8, Theorem 1, Appendix I], see [2] and [3] for the case $M \in \mathbf{N}_{\mathfrak{M}}^0$.

Theorem 1.4. 1) If $M \in \mathcal{N}[\mathfrak{M}]$, then there exist a Hilbert space \mathfrak{H} containing \mathfrak{M} as a subspace and a selfadjoint operator T in \mathfrak{H} such that T is \mathfrak{M} -simple and

$$(1.3) \quad M(\lambda) = P_{\mathfrak{M}}(T - \lambda I)^{-1} \upharpoonright \mathfrak{M}.$$

for λ in the domain of M . If $M \in \mathbf{N}_{\mathfrak{M}}^0$, then T is bounded and if $M \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$, then T is a selfadjoint contraction.

2) If T_1 and T_2 are selfadjoint operators in the Hilbert spaces \mathfrak{H}_1 and \mathfrak{H}_2 , respectively, \mathfrak{M} is a subspace in \mathfrak{H}_1 and \mathfrak{H}_2 , T_1 and T_2 are \mathfrak{M} -simple, and

$$M(\lambda) = P_{\mathfrak{M}}(T_1 - \lambda I_{\mathfrak{H}_1})^{-1} \upharpoonright \mathfrak{M} = P_{\mathfrak{M}}(T_2 - \lambda I_{\mathfrak{H}_2})^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

then there exists a unitary operator U mapping \mathfrak{H}_1 onto \mathfrak{H}_2 such that

$$U \upharpoonright \mathfrak{M} = I_{\mathfrak{M}} \quad \text{and} \quad UT_1 = T_2U.$$

The right hand side in (1.3) is often called *compressed resolvent*/ \mathfrak{M} -resolvent/*the Weyl function*/*m-function*, [6], [11]. A representation $M \in \mathbf{N}_{\mathfrak{M}}^0$ in the form (1.3) will be called a *realization* of M .

We show in Section 2, that $M(\lambda) \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1] \iff (\lambda^2 - 1)^{-1}M(\lambda)^{-1} \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$. It follows that the transformation

$$(1.4) \quad \mathbf{N}_{\mathfrak{M}}^0[-1, 1] \ni M(\lambda) \xrightarrow{\Gamma} M_{\Gamma}(\lambda) := \frac{M(\lambda)^{-1}}{\lambda^2 - 1} \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$$

maps the class $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ onto itself and $\Gamma^{-1} = \Gamma$. In Theorem 2.6 we construct a realization of $(\lambda^2 - 1)^{-1}M(\lambda)^{-1}$ as a compressed resolvent by means of the contraction

T that realizes M . The mapping Γ has the unique fixed point $M_0(\lambda) = -\frac{I_{\mathfrak{M}}}{\sqrt{\lambda^2 - 1}}$ that is compressed resolvent $P_{\mathfrak{M}_0}(\mathbf{J}_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}_0$ of the block-operator Jacobi matrix

$$(1.5) \quad \mathbf{J}_0 = \begin{bmatrix} 0 & \frac{1}{\sqrt{2}}I_{\mathfrak{M}} & 0 & 0 & 0 & \cdot & \cdot & \cdot \\ \frac{1}{\sqrt{2}}I_{\mathfrak{M}} & 0 & \frac{1}{2}I_{\mathfrak{M}} & 0 & 0 & \cdot & \cdot & \cdot \\ 0 & \frac{1}{2}I_{\mathfrak{M}} & 0 & \frac{1}{2}I_{\mathfrak{M}} & 0 & \cdot & \cdot & \cdot \\ 0 & 0 & \frac{1}{2}I_{\mathfrak{M}} & 0 & \frac{1}{2}I_{\mathfrak{M}} & 0 & \cdot & \cdot \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix},$$

acting in the Hilbert space $\ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$, and $\mathfrak{M}_0 = \mathfrak{M} \oplus \{0\} \oplus \dots$, see Proposition 2.7.

A selfadjoint linear relation A in the orthogonal sum $\mathfrak{M} \oplus \mathcal{K}$ is called *minimal with respect to \mathfrak{M}* (see [9, page 5366]) if

$$\mathfrak{M} \oplus \mathcal{K} = \overline{\text{span}} \left\{ \mathfrak{M} + (\tilde{A} - \lambda I)^{-1} \mathfrak{M} : \lambda \in \rho(\tilde{A}) \right\}.$$

One of the statements obtained in [9] in the context of the Weyl family of a boundary relation is the following:

Theorem 1.5. *Let \mathcal{M} be a Nevanlinna family in the Hilbert space \mathfrak{M} . Then there exists unique up to unitary equivalence a selfadjoint linear relation \tilde{A} in the Hilbert space $\mathfrak{M} \oplus \mathbb{K}$ such that \tilde{A} is minimal with respect to \mathfrak{M} and the equality*

$$(1.6) \quad \mathcal{M}(\lambda) = - \left(P_{\mathfrak{M}} \left(\tilde{A} - \lambda I \right)^{-1} \upharpoonright \mathfrak{M} \right)^{-1} - \lambda I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}$$

holds.

The equivalent form of (1.6) is

$$P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M} = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

The compressed resolvent $P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M}$ belongs to the class $\mathcal{R}_0[\mathfrak{M}]$ and even to its more narrow subclass, see Corollary 2.4.

In Section 3 we consider the following mapping defined on the whole class $\tilde{R}(\mathfrak{M})$ of Nevanlinna families:

$$(1.7) \quad \mathcal{M}(\lambda) \xrightarrow{\hat{\Gamma}} \mathcal{M}_{\hat{\Gamma}}(\lambda) := -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

We prove (Theorem 3.1) that the mapping $\hat{\Gamma}$ and each its degree $\hat{\Gamma}^k$ has the unique fixed point

$$\mathcal{M}_0(\lambda) = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2} I_{\mathfrak{M}}$$

and the sequence of iterations

$$\mathcal{M}_1(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \mathcal{M}_{n+1}(\lambda) = -(\mathcal{M}_n(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad n \in \mathbb{N},$$

starting with an arbitrary Nevanlinna family \mathcal{M} , converges to \mathcal{M}_0 in the operator norm topology uniformly on compact sets lying in the open left/right half-plane of the complex plane. The function $\mathcal{M}_0(\lambda)$ can be realized by the free discrete Schrödinger operator given by the block-operator Jacobi matrix

$$(1.8) \quad \hat{\mathbf{J}}_0 = \begin{bmatrix} 0 & I_{\mathfrak{M}} & 0 & 0 & 0 & \cdot & \cdot & \cdot \\ I_{\mathfrak{M}} & 0 & I_{\mathfrak{M}} & 0 & 0 & \cdot & \cdot & \cdot \\ 0 & I_{\mathfrak{M}} & 0 & I_{\mathfrak{M}} & 0 & \cdot & \cdot & \cdot \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix}$$

acting in the Hilbert space $\ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$. Besides we construct a sequence $\{\hat{\mathfrak{H}}_n, \hat{A}_n\}$ of realizations of functions \mathcal{M}_n ($\mathcal{M}_n(\lambda) = P_{\mathfrak{M}}(\hat{A}_{n-1} - \lambda I)^{-1} \upharpoonright \mathfrak{M}$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$) and show that the Hilbert space $\ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$ and the block-operator Jacobi matrix $\hat{\mathbf{J}}_0$ are the inductive limits of $\{\hat{\mathfrak{H}}_n\}$ and $\{\hat{A}_n\}$, respectively. Observe that when $\mathfrak{M} = \mathbb{C}$, the Jacobi matrices \mathbf{J}_0 and $\frac{1}{2}\hat{\mathbf{J}}_0$ are connected with Chebyshev polynomials of the first and second kinds, respectively [6].

Let $\mathcal{H}(t) = \begin{bmatrix} h_{11}(t) & h_{12}(t) \\ h_{21}(t) & h_{22}(t) \end{bmatrix}$ be symmetric and nonnegative 2×2 matrix-function with scalar real-valued entries on \mathbb{R}_+ . Assume that $\mathcal{H}(t)$ is locally integrable on \mathbb{R}_+ and is trace-normed, i.e., $\text{tr } \mathcal{H}(t) = 1$ a.e. on \mathbb{R}_+ . Let $\mathcal{J} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$. The system of differential equations

$$(1.9) \quad \mathcal{J} \frac{d\vec{x}}{dt} = \lambda \mathcal{H}(t) \vec{x}(t), \quad \vec{x}(t) = \begin{bmatrix} x_1(t) \\ x_2(t) \end{bmatrix}, \quad t \in \mathbb{R}_+, \quad \lambda \in \mathbb{C},$$

is called *the canonical system with the Hamiltonian \mathcal{H} or the Hamiltonian system*.

The m -function $m_{\mathcal{H}}$ of the canonical system (1.9) can be defined as follows:

$$m_{\mathcal{H}}(\lambda) = \frac{x_2(0, \lambda)}{x_1(0, \lambda)}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where $\vec{x}(t, \lambda)$ is the solution of (1.9), satisfying

$$x_1(0, \lambda) \neq 0 \quad \text{and} \quad \int_{\mathbb{R}_+} \vec{x}(t, \lambda)^* \mathcal{H}(t) \vec{x}(t, \lambda) dt < \infty.$$

The m -function of a canonical system is a Nevanlinna function. As has been proved by L. de Branges [7], see also [22], for each Nevanlinna function m there exists a unique trace-normed canonical system such that its m -function $m_{\mathcal{H}}$ coincides with m . In the last Section 4, applying the algorithm suggested by I.S. Kac in [14], we construct a sequence of Hamiltonians $\{\mathcal{H}_n\}$ such that the m -functions of the corresponding canonical systems coincides with the sequence of the iterates $\{m_n\}$ of the mapping $\widehat{\Gamma}$

$$m_1(\lambda) = -\frac{1}{m(\lambda) + \lambda}, \dots, m_{n+1}(\lambda) = -\frac{1}{m_n(\lambda) + \lambda}, \dots, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where $m(\lambda)$ is a non-rational Nevanlinna function from the class $\mathbf{N}_{\mathbb{C}}^0$. This sequence $\{m_n\}$ converges locally uniformly on $\mathbb{C}_+/\mathbb{C}_-$ to the function $m_0(\lambda) = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2}$ that is the m -function of the canonical system with the Hamiltonian

$$\mathcal{H}_0(t) = \begin{bmatrix} \cos^2(j+1)\frac{\pi}{2} & 0 \\ 0 & \sin^2(j+1)\frac{\pi}{2} \end{bmatrix}, \quad t \in [j, j+1) \quad \forall j \in \mathbb{N}_0.$$

For the constructed Hamiltonian \mathcal{H}_n the property $\mathcal{H}_n \upharpoonright [0, n+1) = \mathcal{H}_0 \upharpoonright [0, n+1)$ is valid for each $n \in \mathbb{N}$. Moreover, our construction shows that for the Hamiltonian \mathcal{H} such that the m -function $m_{\mathcal{H}}$ of the corresponding canonical system belongs to the class $\mathbf{N}_{\mathbb{C}}^0$, the Hamiltonian $\mathcal{H}_{\widehat{\Gamma}}$ of the canonical system having $\widehat{\Gamma}(m)$ as its m -function, is of the form

$$\mathcal{H}_{\widehat{\Gamma}}(t) = \begin{cases} \mathcal{H}_0(t), & t \in [0, 2) \\ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \mathcal{H}(t-1), & t \in [2, +\infty) \end{cases}.$$

2. CHARACTERIZATIONS OF SUBCLASSES

2.1. The subclass $\mathcal{R}_0[\mathfrak{M}]$. The next proposition is well known, cf.[8].

Proposition 2.1. *Let $M(\lambda)$ be a $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna function. Then the following statements are equivalent:*

- (i) $M \in \mathcal{R}_0[\mathfrak{M}]$;
- (ii) the function $y \|M(iy)\|$ is bounded on $[1, \infty)$,
- (iii) there exists a strong limit $s - \lim_{y \rightarrow +\infty} iyM(iy) = -C$, where C is a bounded self-adjoint nonnegative operator in \mathfrak{M} ;
- (iv) M admits a representation

$$(2.1) \quad M(\lambda) = K^*(T - \lambda I)^{-1}K, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where T is a selfadjoint operator in a Hilbert space \mathcal{K} and $K \in \mathbf{B}(\mathfrak{M}, \mathcal{K})$; here \mathcal{K} , T , and K can be selected such that T is $\overline{\text{ran}} K$ -simple, i.e.,

$$\overline{\text{span}} \{(T - \lambda)^{-1} \text{ran } K : \lambda \in \mathbb{C} \setminus \mathbb{R}\} = \mathcal{K}.$$

Proposition 2.2. ([9], Lemma 2.14, Example 6.6). *Let \mathcal{K} and \mathfrak{M} be Hilbert spaces, let $K \in \mathbf{B}(\mathfrak{M}, \mathcal{K})$ and let D and T be selfadjoint operators in \mathfrak{M} and \mathcal{K} , respectively. Consider a selfadjoint operator \tilde{A} in the Hilbert space $\mathfrak{M} \oplus \mathcal{K}$ given by the block-operator matrix*

$$\tilde{A} = \begin{bmatrix} D & K^* \\ K & T \end{bmatrix}, \quad \text{dom } \tilde{A} = \text{dom } D \oplus \text{dom } T.$$

Then \tilde{A} is \mathfrak{M} -minimal if and only if T is $\overline{\text{ran } K}$ -simple.

Proof. Our proof is based on the Schur-Frobenius formula for the resolvent $(\tilde{A} - \lambda I)^{-1}$

$$(2.2) \quad (\tilde{A} - \lambda I)^{-1} = \begin{bmatrix} -V(\lambda)^{-1} & V(\lambda)^{-1}K^*(T - \lambda I)^{-1} \\ (T - \lambda I)^{-1}KV(\lambda)^{-1} & (T - \lambda I)^{-1}(I_{\mathcal{K}} - KV(\lambda)^{-1}K^*(T - \lambda I)^{-1}) \end{bmatrix},$$

$$V(\lambda) := \lambda I_{\mathfrak{M}} - D + K^*(T - \lambda I)^{-1}K, \quad \lambda \in \rho(T) \cap \rho(\tilde{A}).$$

Actually, (2.2) implies the equivalences

$$\begin{aligned} \overline{\text{span}} \left\{ \mathfrak{M} + (\tilde{A} - \lambda I)^{-1}\mathfrak{M} : \lambda \in \mathbb{C} \setminus \mathbb{R} \right\} &= \mathfrak{M} \oplus \mathcal{K} \\ \iff \mathcal{K} \bigcap_{\lambda \in \mathbb{C} \setminus \mathbb{R}} \ker \left(P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \right) &= \{0\} \iff \bigcap_{\lambda \in \mathbb{C} \setminus \mathbb{R}} \ker \left(K^*(T - \lambda I)^{-1} \right) = \{0\} \\ &\iff \overline{\text{span}} \left\{ (T - \lambda)^{-1} \text{ran } K : \lambda \in \mathbb{C} \setminus \mathbb{R} \right\} = \mathcal{K}. \end{aligned}$$

□

In the sequel we will use the following consequence of (2.2):

$$(2.3) \quad P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M} = -(-D + K^*(T - \lambda I_{\mathfrak{M}})^{-1}K + \lambda I_{\mathfrak{M}})^{-1}, \quad \lambda \in \rho(T) \cap \rho(\tilde{A}).$$

Proposition 2.3. (cf. [9], the proof of Theorem 3.9). *For a $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna function M the following statements are equivalent:*

- (i) *the limit value $C := -s - \lim_{y \rightarrow +\infty} iyM(iy)$ satisfies $0 \leq C \leq I_{\mathfrak{M}}$;*
- (ii) *M admits a representation*

$$(2.4) \quad M(\lambda) = P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where \tilde{A} is a selfadjoint linear relation in a Hilbert space $\mathfrak{H} \supset \mathfrak{M}$ and $P_{\mathfrak{M}}$ is the orthogonal projection from \mathfrak{H} onto \mathfrak{M} ;

- (iii) *M admits a representation (2.1) with a contraction $K \in \mathbf{B}(\mathfrak{M}, \tilde{\mathfrak{H}})$;*
- (iv) *the following inequality holds*

$$\frac{\text{Im } M(\lambda)}{\text{Im } \lambda} - M(\lambda)M(\lambda)^* \geq 0, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

In (ii) \mathfrak{H} and \tilde{A} can be selected such that \tilde{A} is minimal w.r.t. \mathfrak{M} . Moreover, \tilde{A} in (2.4) can be taken to be a selfadjoint operator if and only if $C = I_{\mathfrak{M}}$. The operator K in (iii) is an isometry if and only if $C = I_{\mathfrak{M}}$.

Proof. The equivalence (i) \iff (iii) follows from Proposition 2.1.

(i) \implies (iv). Since (2.1) holds, we get $C = K^*K$ and the inequality $0 \leq C \leq I_{\mathfrak{M}}$ implies $\|K\| \leq 1$ and, therefore holds the inequality.

$$\frac{\text{Im } M(\lambda)}{\text{Im } \lambda} - M(\lambda)M(\lambda)^* \geq 0, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

(iv) \implies (ii). Consider $-M(\lambda)^{-1}$. Then

$$\frac{\operatorname{Im}(-M(\lambda)^{-1}h - \lambda h, h)}{\operatorname{Im} \lambda} = \frac{\operatorname{Im}(-M(\lambda)^{-1}h, h)}{\operatorname{Im} \lambda} - \|h\|^2 \geq 0, \quad h \in \mathfrak{M}.$$

Hence $\mathcal{M}(\lambda) := -M(\lambda)^{-1} - \lambda I_{\mathfrak{M}}$ is a Nevanlinna family. Due to Theorem 1.5 and (1.6) we have

$$-(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1} = P_{\mathfrak{M}}(\tilde{A} - \lambda I_{\mathfrak{H}})^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where \tilde{A} is a selfadjoint linear relation in some Hilbert space $\mathfrak{H} = \mathfrak{M} \oplus \mathcal{K}$.

(ii) \implies (i). Let \hat{A}_0 be the operator part of \tilde{A} acting in a subspace \mathfrak{H}_0 of \mathfrak{H} . Decompose \tilde{A} as $H = \operatorname{Gr} \hat{A}_0 \oplus \{0, \mathfrak{H} \ominus \mathfrak{H}_0\}$. Then

$$P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M} = P_{\mathfrak{M}}(\hat{A}_0 - \lambda I)^{-1} P_{\mathfrak{H}_0} \upharpoonright \mathfrak{M} = P_{\mathfrak{M}} P_{\mathfrak{H}_0} (\hat{A}_0 - \lambda I)^{-1} P_{\mathfrak{H}_0} \upharpoonright \mathfrak{M}.$$

Set $K = P_{\mathfrak{H}_0} \upharpoonright \mathfrak{M} : \mathfrak{M} \rightarrow \mathfrak{H}_0$. Then $K^* = P_{\mathfrak{M}} P_{\mathfrak{H}_0}$, $\|K\| \leq 1$,

$$M(\lambda) = K^*(\hat{A}_0 - \lambda I)^{-1} K, \quad \lambda \in \mathbb{C} \setminus \mathbb{R},$$

and

$$s - \lim_{x \rightarrow +\infty} iyM(iy) = -K^*K, \quad C = K^*K \in [0, I_{\mathfrak{M}}].$$

(iii) \implies (ii). Since $\|K\| \leq 1$, $\mathcal{M}(\lambda) = -M^{-1}(\lambda) - \lambda I_{\mathfrak{M}}$ is a Nevanlinna family. By Theorem 1.5 there is a Hilbert space \mathcal{K} and a selfadjoint linear relation \tilde{A} in $\mathfrak{M} \oplus \mathcal{K}$ minimal w.r.t. \mathfrak{M} such that $\mathcal{M}(\lambda) = -\left(P_{\mathfrak{M}}(\tilde{A} - \lambda I)^{-1} \upharpoonright \mathfrak{M}\right)^{-1} - \lambda I_{\mathfrak{M}}$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$. \square

Corollary 2.4. *There is a one-to-one correspondence between all Nevanlinna families \mathcal{M} in \mathfrak{M} and all $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna functions M satisfying the condition (ii) in Proposition 2.1 with $C \in [0, I_{\mathfrak{M}}]$. This correspondence is given by the relations*

$$M(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \mathcal{M}(\lambda) = -M(\lambda)^{-1} - \lambda I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Remark 2.5. *For the case $\mathfrak{M} = \mathbb{C}$ the statement of Corollary 2.4 can be found in [6, Chapter VII, § 1, Lemma 1.7].*

In [10] (see also [4]) it is established that an $\mathbf{B}(\mathfrak{M})$ -valued function $M(\lambda)$, $\lambda \in \mathcal{D} \subset \mathbb{C}_+/\mathbb{C}_-$ admits the representation (2.4) iff the kernel

$$K(\lambda, \mu) = \frac{M(\lambda) - M(\mu)^*}{\lambda - \bar{\mu}} - M(\mu)^* M(\lambda)$$

is nonnegative on \mathcal{D} .

2.2. The subclass $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$. Notice, that if $M \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$, then

$$\begin{cases} (M(x)g, g) > 0 \quad \forall g \in \mathfrak{M} \setminus \{0\}, \quad x < -1 \\ (M(x)g, g) < 0 \quad \forall g \in \mathfrak{M} \setminus \{0\}, \quad x > 1 \end{cases}.$$

Therefore, see [16, Appendix]

$$(1 + \lambda)M(\lambda), \quad (1 - \lambda)M(\lambda) \in \mathcal{R}[\mathfrak{M}].$$

Theorem 2.6. *1) A $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna function M belongs to $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ if and only if the function*

$$\mathsf{L}(\lambda, \xi) = \frac{(1 - \lambda^2)M(\lambda) - (1 - \bar{\xi}^2)M(\xi)^* - (\lambda - \bar{\xi})I_{\mathfrak{M}}}{\lambda - \bar{\xi}},$$

with $\lambda, \xi \in \mathbb{C} \setminus [-1, 1]$, $\lambda \neq \bar{\xi}$ is a nonnegative kernel.

2) If $M \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$, then the function

$$\frac{M(\lambda)^{-1}}{\lambda^2 - 1}, \quad \lambda \in \mathbb{C} \setminus [-1, 1]$$

belongs to $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ as well.

3) If a selfadjoint contraction T in the Hilbert space \mathfrak{H} , containing \mathfrak{M} as a subspace, realizes M , i.e., $M(\lambda) = P_{\mathfrak{M}}(T - \lambda I)^{-1} \upharpoonright \mathfrak{M}$, for all $\lambda \in \mathbb{C} \setminus [-1, 1]$, then

$$\frac{M(\lambda)^{-1}}{\lambda^2 - 1} = P_{\mathfrak{M}}(\mathbf{T} - \lambda I)^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus [-1, 1],$$

where a selfadjoint contraction \mathbf{T} is given by

$$(2.5) \quad \mathbf{T} := \begin{bmatrix} -P_{\mathfrak{M}}T \upharpoonright \mathfrak{M} & P_{\mathfrak{M}}D_T \\ D_T \upharpoonright \mathfrak{M} & T \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{D}_T \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{D}_T \end{array},$$

and $D_T := (I - T^2)^{1/2}$, $\mathfrak{D}_T := \overline{\text{ran}} D_T$. Moreover, if T is \mathfrak{M} -simple, then \mathbf{T} is \mathfrak{M} -simple as well and the operator $\mathbf{T} \upharpoonright \mathfrak{D}_T$ is unitarily equivalent to the operator $P_{\mathfrak{M}^\perp} T \upharpoonright \mathfrak{M}^\perp$.

Proof. The statement in 1) follows from [2, Theorem 6.1]. Observe that if $M(\lambda) = P_{\mathfrak{M}}(T - \lambda I)^{-1} \upharpoonright \mathfrak{M} \forall \lambda \in \mathbb{C} \setminus [-1, 1]$, where T is a selfadjoint contraction, then

$$(2.6) \quad \begin{aligned} \mathbf{L}(\lambda, \xi) &= \frac{(1 - \lambda^2)M(\lambda) - (1 - \bar{\xi}^2)M(\xi)^* - (\lambda - \bar{\xi})I_{\mathfrak{M}}}{\lambda - \bar{\xi}} \\ &= P_{\mathfrak{M}}(T - \lambda I)^{-1}(I - T^2)(T - \bar{\xi}I)^{-1} \upharpoonright \mathfrak{M}, \quad \lambda, \xi \in \mathbb{C} \setminus [-1, 1], \quad \lambda \neq \bar{\xi}. \end{aligned}$$

2) Let $\lambda \in \mathbb{C} \setminus [-1, 1]$, then

$$|((T - \lambda I)h, h)| \geq d(\lambda) \|h\|^2 \quad \forall h \in \mathfrak{H},$$

where $d(\lambda) = \text{dist}(\lambda, [-1, 1])$. Set $h = (T - \lambda I)^{-1}f$, $f \in \mathfrak{M}$. Then

$$\begin{aligned} \|M(\lambda)f\| \|f\| &\geq |(f, M(\lambda)f)| = |(f, (T - \lambda I)^{-1}f)| \\ &= |(h, (T - \lambda I)h)| \geq d(\lambda) \|h\|^2 \geq c(\lambda) \|f\|^2, \quad c(\lambda) > 0. \end{aligned}$$

Hence, $\|M(\lambda)f\| \geq c(\lambda) \|f\|$ and since $M(\bar{\lambda}) = M(\lambda)^*$, we get $\|M(\lambda)^*f\| \geq c(\bar{\lambda}) \|f\|$. It follows that $M(\lambda)^{-1} \in \mathbf{B}(\mathfrak{M})$ for all $\lambda \in \mathbb{C} \setminus [-1, 1]$.

Set

$$L(\lambda) := (1 - \lambda^2)M(\lambda) - \lambda I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus [-1, 1].$$

Then from (2.6) we get

$$\begin{aligned} L(\lambda) - L(\lambda)^* &= (1 - \lambda^2)M(\lambda) - (1 - \bar{\lambda}^2)(M(\lambda)^* - (\lambda - \bar{\lambda})I_{\mathfrak{M}}) \\ &= (\lambda - \bar{\lambda})P_{\mathfrak{M}}(T - \lambda I)^{-1}(I - T^2)(T - \bar{\lambda}I)^{-1} \upharpoonright \mathfrak{M}. \end{aligned}$$

It follows that $L(\lambda)$ and the functions

$$(1 - \lambda^2)M(\lambda) = L(\lambda) + \lambda I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus [-1, 1]$$

and

$$-((1 - \lambda^2)M(\lambda))^{-1} = \frac{M(\lambda)^{-1}}{\lambda^2 - 1}, \quad \lambda \in \mathbb{C} \setminus [-1, 1]$$

are Nevanlinna functions. Then from the equality $M(\lambda) = -\lambda^{-1} + o(\lambda^{-1})$, $\lambda \rightarrow \infty$, we get that also

$$\frac{M(\lambda)^{-1}}{\lambda^2 - 1} = -\lambda^{-1} + o(\lambda^{-1}), \quad \lambda \rightarrow \infty,$$

i.e.,

$$\frac{M(\lambda)^{-1}}{\lambda^2 - 1} \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1].$$

3) Observe that the subspace \mathfrak{D}_T is contained in the Hilbert space \mathfrak{H} . Let $\mathbf{H} := \mathfrak{M} \oplus \mathfrak{D}_T$ and let \mathbf{T} be given by (2.5). Since T is a selfadjoint contraction in \mathfrak{H} , we get for an arbitrary $\varphi \in \mathfrak{M}$ and $f \in \mathfrak{D}_T$ the equalities

$$\left(\begin{bmatrix} \varphi \\ f \end{bmatrix}, \begin{bmatrix} \varphi \\ f \end{bmatrix} \right) \pm \left(\begin{bmatrix} \varphi \\ f \end{bmatrix}, \mathbf{T} \begin{bmatrix} \varphi \\ f \end{bmatrix} \right) = \left\| (I \mp T)^{1/2} \varphi \pm (I \pm T)^{1/2} f \right\|^2.$$

Therefore \mathbf{T} is a selfadjoint contraction in the Hilbert space \mathbf{H} .

Applying (2.3) we obtain

$$\begin{aligned} P_{\mathfrak{M}}(\mathbf{T} - \lambda I)^{-1} \upharpoonright \mathfrak{M} &= -(\lambda I + P_{\mathfrak{M}} T \upharpoonright \mathfrak{M} + P_{\mathfrak{M}} D_T (T - \lambda I)^{-1} D_T \upharpoonright \mathfrak{M})^{-1} \\ &= -(\lambda I + P_{\mathfrak{M}} (T(T - \lambda I) + I - T^2) (T - \lambda I)^{-1} \upharpoonright \mathfrak{M})^{-1} \\ &= -(\lambda I + P_{\mathfrak{M}} (I - \lambda T) (T - \lambda I)^{-1} \upharpoonright \mathfrak{M})^{-1} \\ &= -((1 - \lambda^2) P_{\mathfrak{M}} (T - \lambda I)^{-1} \upharpoonright \mathfrak{M})^{-1} = \frac{M^{-1}(\lambda)}{\lambda^2 - 1}, \quad \lambda \in \mathbb{C} \setminus [-1, 1]. \end{aligned}$$

Suppose that T is \mathfrak{M} -simple, i.e.,

$$\overline{\text{span}} \{T^n \mathfrak{M}, n \in \mathbb{N}_0\} = \mathfrak{M} \oplus \mathcal{K} \iff \bigcap_{n=0}^{\infty} \ker(P_{\mathfrak{M}} T^n) = \{0\}.$$

Hence, since

$$\mathfrak{D}_T \ominus \{\overline{\text{span}} \{T^n D_T \mathfrak{M}, n \in \mathbb{N}_0\}\} = \bigcap_{n=0}^{\infty} \ker(P_{\mathfrak{M}} T^n D_T),$$

we get $\overline{\text{span}} \{T^n D_T \mathfrak{M}, n \in \mathbb{N}_0\} = \mathfrak{D}_T$. This means that the operator \mathbf{T} is \mathfrak{M} -simple.

Let

$$\mathbb{T} = \begin{bmatrix} -P_{\mathfrak{M}} \mathbf{T} \upharpoonright \mathfrak{M} & P_{\mathfrak{M}} D_{\mathbf{T}} \upharpoonright \mathfrak{D}_{\mathbf{T}} \\ D_{\mathbf{T}} \upharpoonright \mathfrak{M} & \mathbf{T} \upharpoonright \mathfrak{D}_{\mathbf{T}} \end{bmatrix} = \begin{bmatrix} P_{\mathfrak{M}} T \upharpoonright \mathfrak{M} & P_{\mathfrak{M}} D_T \\ D_T \upharpoonright \mathfrak{M} & T \upharpoonright \mathfrak{D}_T \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{D}_{\mathbf{T}} \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{D}_{\mathbf{T}} \end{array}.$$

As has been proved above because the selfadjoint contraction \mathbf{T} realizes the function $Q(\lambda) := (\lambda^2 - 1)^{-1} M(\lambda)^{-1}$, i.e.,

$$P_{\mathfrak{M}}(\mathbf{T} - \lambda I)^{-1} \upharpoonright \mathfrak{M} = Q(\lambda) = \frac{M(\lambda)^{-1}}{\lambda^2 - 1}, \quad \lambda \in \mathbb{C} \setminus [-1, 1],$$

the selfadjoint contraction \mathbb{T} realizes the function $(\lambda^2 - 1)^{-1} Q(\lambda)^{-1} = M(\lambda)$. In addition, if T is \mathfrak{M} -simple, then \mathbf{T} and therefore \mathbb{T} are \mathfrak{M} -simple. Since

$$P_{\mathfrak{M}}(\mathbb{T} - \lambda I)^{-1} \upharpoonright \mathfrak{M} = P_{\mathfrak{M}}(T - \lambda I)^{-1} \upharpoonright \mathfrak{M} = M(\lambda), \quad |\lambda| > 1,$$

the operators \mathbb{T} and T are unitarily equivalent and, moreover, see Theorem 1.4, there exists a unitary operator U of the form

$$U = \begin{bmatrix} I_{\mathfrak{M}} & 0 \\ 0 & U \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{D}_{\mathbf{T}} \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathcal{K} \end{array},$$

where $\mathcal{K} := \mathfrak{H} \ominus \mathfrak{M}$ and U is a unitary operator from \mathfrak{D}_T onto \mathcal{K} such that

$$\begin{aligned} T U = U \mathbb{T} &\iff \begin{bmatrix} P_{\mathfrak{M}} T \upharpoonright \mathfrak{M} & P_{\mathfrak{M}} T \upharpoonright \mathcal{K} \\ P_{\mathcal{K}} T \upharpoonright \mathfrak{M} & P_{\mathcal{K}} T \upharpoonright \mathcal{K} \end{bmatrix} \begin{bmatrix} I_{\mathfrak{M}} & 0 \\ 0 & U \end{bmatrix} = \begin{bmatrix} I_{\mathfrak{M}} & 0 \\ 0 & U \end{bmatrix} \begin{bmatrix} P_{\mathfrak{M}} T \upharpoonright \mathfrak{M} & P_{\mathfrak{M}} D_{\mathbf{T}} \upharpoonright \mathfrak{D}_{\mathbf{T}} \\ D_{\mathbf{T}} \upharpoonright \mathfrak{M} & \mathbf{T} \upharpoonright \mathfrak{D}_{\mathbf{T}} \end{bmatrix} \\ &\iff \begin{cases} (P_{\mathfrak{M}} T \upharpoonright \mathcal{K}) U = P_{\mathfrak{M}} D_{\mathbf{T}} \upharpoonright \mathfrak{D}_{\mathbf{T}} \\ P_{\mathcal{K}} T \upharpoonright \mathfrak{M} = U D_{\mathbf{T}} \upharpoonright \mathfrak{M} \\ (P_{\mathcal{K}} T \upharpoonright \mathcal{K}) U = U \mathbf{T} \upharpoonright \mathfrak{D}_{\mathbf{T}} \upharpoonright \mathfrak{D}_{\mathbf{T}} \end{cases}. \end{aligned}$$

In particular $P_{\mathcal{K}} T \upharpoonright \mathcal{K}$ and $\mathbf{T} \upharpoonright \mathfrak{D}_{\mathbf{T}}$ are unitarily equivalent. \square

Observe that for a bounded selfadjoint T the equality $M(\lambda) = P_{\mathfrak{M}}(T - \lambda I)^{-1} \upharpoonright \mathfrak{M}$ yields the following relation for $\lambda \in \mathbb{C} \setminus \mathbb{R}$:

$$\frac{1 - |\lambda|^2}{\operatorname{Im} \lambda} \operatorname{Im} M(\lambda) - 2\operatorname{Re} (\lambda M(\lambda)) - I_{\mathfrak{M}} = P_{\mathfrak{M}}(T - \lambda I)^{-1}(I - T^2)(T - \bar{\lambda}I)^{-1} \upharpoonright \mathfrak{M}.$$

Hence for $M(\lambda) \in \mathbf{N}_{\mathfrak{M}}^0[-1, 1]$ we get

$$\frac{1 - |\lambda|^2}{\operatorname{Im} \lambda} \operatorname{Im} M(\lambda) - 2\operatorname{Re} (\lambda M(\lambda)) - I_{\mathfrak{M}} = \frac{\operatorname{Im} ((1 - \lambda^2)M(\lambda) - \lambda)}{\operatorname{Im} \lambda} \geq 0, \quad \operatorname{Im} \lambda \neq 0.$$

2.3. The fixed point of the mapping Γ .

Proposition 2.7. *Let \mathfrak{M} be a Hilbert space. Then the mapping Γ (1.4) has a unique fixed point*

$$(2.7) \quad M_0(\lambda) = -\frac{I_{\mathfrak{M}}}{\sqrt{\lambda^2 - 1}} \quad (\operatorname{Im} \sqrt{\lambda^2 - 1} > 0 \quad \text{for} \quad \operatorname{Im} \lambda > 0).$$

Define the weight $\rho_0(t)$ and the weighted Hilbert space \mathfrak{H}_0 as follows

$$(2.8) \quad \begin{aligned} \rho_0(t) &= \frac{1}{\pi \sqrt{1 - t^2}}, \quad t \in (-1, 1), \\ \mathfrak{H}_0 &:= L_2([-1, 1], \mathfrak{M}, \rho_0(t)) = L_2([-1, 1], \rho_0(t)) \otimes \mathfrak{M} \\ &= \left\{ f(t) : \int_{-1}^1 \frac{\|f(t)\|_{\mathfrak{M}}^2}{\sqrt{1 - t^2}} dt < \infty \right\}. \end{aligned}$$

Then \mathfrak{H}_0 is the Hilbert space with the inner product

$$(f(t), g(t))_{\mathfrak{H}_0} = \frac{1}{\pi} \int_{-1}^1 (f(t), g(t))_{\mathfrak{M}} \rho_0(t) dt = \frac{1}{\pi} \int_{-1}^1 \frac{(f(t), g(t))_{\mathfrak{M}}}{\sqrt{1 - t^2}} dt.$$

Identify \mathfrak{M} with a subspace of \mathfrak{H}_0 of constant vector-functions $\{f(t) \equiv f, f \in \mathfrak{M}\}$. Define in \mathfrak{H}_0 the multiplication operator

$$(2.9) \quad (T_0 f)(t) = t f(t), \quad f \in \mathfrak{H}_0.$$

Then

$$M_0(\lambda) = P_{\mathfrak{M}}(T_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}.$$

Let $\mathbf{H}_0 = \bigoplus_{j=0}^{\infty} \mathfrak{M} = \ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$ and let \mathbf{J}_0 be the operator in \mathbf{H}_0 given by the block-operator Jacobi matrix of the form (1.5). Set $\mathfrak{M}_0 := \mathfrak{M} \oplus \{0\} \oplus \{0\} \oplus \dots$. Then

$$M_0(\lambda) = P_{\mathfrak{M}_0}(\mathbf{J}_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}_0.$$

Proof. Let $M_0(\lambda)$ be a fixed point of the mapping Γ , i.e.,

$$M_0(\lambda) = \frac{M_0(\lambda)^{-1}}{\lambda^2 - 1} \iff M_0(\lambda)^2 = \frac{1}{\lambda^2 - 1} I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus [-1, 1].$$

Since $M_0(\lambda)$ is Nevanlinna function, we get (2.7).

For each $h \in \mathfrak{M}$ calculations give the equality, see [6, pages 545–546], [18],

$$-\frac{h}{\sqrt{\lambda^2 - 1}} = \frac{1}{\pi} \int_{-1}^1 \frac{h}{t - \lambda} \frac{1}{\sqrt{1 - t^2}} dt, \quad \lambda \in \mathbb{C} \setminus [-1, 1].$$

Therefore, if T_0 is the operator of the form (2.9), then

$$M_0(\lambda) = P_{\mathfrak{M}}(T_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus [-1, 1].$$

As it is well known the Chebyshev polynomials of the first kind

$$\widehat{T}_0(t) = 1, \quad \widehat{T}_n(t) := \sqrt{2} \cos(n \arccos t), \quad n \geq 1$$

form an orthonormal basis of the space $L_2([-1, 1], \rho_0(t))$, where $\rho_0(t)$ is given by (2.8). This polynomials satisfy the recurrence relations

$$t\widehat{T}_0(t) = \frac{1}{\sqrt{2}}\widehat{T}_1(t), \quad t\widehat{T}_1(t) = \frac{1}{\sqrt{2}}\widehat{T}_0(t) + \frac{1}{2}\widehat{T}_2(t),$$

$$t\widehat{T}_n(t) = \frac{1}{2}\widehat{T}_{n-1}(t) + \frac{1}{2}\widehat{T}_{n+1}(t), \quad n \geq 2.$$

Hence the matrix of the operator \mathfrak{X}_0 of multiplication on the independent variable in the Hilbert space $L_2([-1, 1], \rho_0(t))$ w.r.t. the basis $\{\widehat{T}_n(t)\}_{n=0}^\infty$ (the Jacobi matrix) takes the form (1.5) when $\mathfrak{M} = \mathbb{C}$. Besides $m_0(\lambda) := ((\mathbf{J}_0 - \lambda I)^{-1}\delta_0, \delta_0) = -\frac{1}{\sqrt{\lambda^2 - 1}}$, where $\delta_0 = [1 \ 0 \ 0 \ \dots]^T$ [6]. Since $T_0 = \mathfrak{X}_0 \otimes I_{\mathfrak{M}}$ we get that T_0 is unitarily equivalent to $\mathbf{J}_0 = J_0 \otimes I_{\mathfrak{M}}$ and $M_0(\lambda) = P_{\mathfrak{M}_0}(\mathbf{J}_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}_0$. \square

Observe that \mathfrak{M} -valued holomorphic in $\mathbb{C} \setminus [-1, 1]$ function

$$M_1(\lambda) := 2(-\lambda I_{\mathfrak{M}} - M_0^{-1}(\lambda)) = 2(-\lambda + \sqrt{\lambda^2 - 1})I_{\mathfrak{M}}$$

belongs to the class $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$.

3. THE FIXED POINT OF THE MAPPING $\widehat{\Gamma}$

Now we will study the mapping $\widehat{\Gamma}$ (1.7). Let \mathcal{M} be a Nevanlinna family in the Hilbert space \mathfrak{M} . Then since

$$|\operatorname{Im}((\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})f, f)| \geq |\operatorname{Im} \lambda| \|f\|^2, \quad \operatorname{Im} \lambda \neq 0, \quad f \in \operatorname{dom} \mathcal{M}(\lambda),$$

the estimate

$$(3.1) \quad \|(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}\| \leq \frac{1}{|\operatorname{Im} \lambda|}, \quad \operatorname{Im} \lambda \neq 0$$

holds true. It follows that $\mathcal{M}_1(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}$ is $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna function from the class $\mathcal{R}_0[\mathfrak{M}]$ and, moreover, $\mathcal{M}_1(\lambda) = K^*(\widetilde{T} - \lambda I)^{-1}K$, $\operatorname{Im} \lambda \neq 0$, where \widetilde{T} is a selfadjoint operator in a Hilbert space $\widetilde{\mathfrak{H}}$ and $K \in \mathbf{B}(\mathfrak{M}, \widetilde{\mathfrak{H}})$ is a contraction, see Corollary 2.4 and Proposition 2.1. For $\mathcal{M}_2(\lambda) = -(\mathcal{M}_1(\lambda) + \lambda I_{\mathfrak{M}})^{-1}$ one has

$$\lim_{y \rightarrow \pm\infty} \|iy\mathcal{M}_2(iy) + I_{\mathfrak{M}}\| = 0,$$

i.e., $\mathcal{M}_2(\lambda) \in \mathcal{N}[\mathfrak{M}]$. Thus, see Corollary 2.4,

$$\operatorname{ran} \widehat{\Gamma} = \widehat{\Gamma}(\widetilde{\mathcal{R}}[\mathfrak{M}]) = \left\{ M(\lambda) \in \mathcal{R}_0[\mathfrak{M}] : s - \lim_{y \rightarrow +\infty} (-iyM(iy)) \in [0, I_{\mathfrak{M}}] \right\},$$

$$\operatorname{ran} \widehat{\Gamma}^k \subset \mathcal{N}[\mathfrak{M}], \quad k \geq 2.$$

Theorem 3.1. *Let \mathfrak{M} be a Hilbert space. Then*

(1) *the function*

$$(3.2) \quad \mathcal{M}_0(\lambda) = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2} I_{\mathfrak{M}}, \quad \operatorname{Im} \lambda \neq 0, \quad \mathcal{M}_0(\infty) = 0$$

is a unique fixed point of the mapping $\widehat{\Gamma}$ (1.7);

(2) *if $\widehat{\Gamma}(\mathcal{M}) = \mathcal{M}_0$, then $\mathcal{M}(\lambda) = \mathcal{M}_0(\lambda)$ for all $\lambda \in \mathbb{C} \setminus \mathbb{R}$;*

(3) for every sequence of iterations of the form

$$\mathcal{M}_1(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \mathcal{M}_{n+1}(\lambda) = -(\mathcal{M}_n(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad n = 1, 2, \dots,$$

where $\mathcal{M}(\lambda)$ is an arbitrary Nevanlinna function, the relation

$$\lim_{n \rightarrow \infty} \|\mathcal{M}_n(\lambda) - \mathcal{M}_0(\lambda)\| = 0$$

holds uniformly on each compact subsets of the open upper/lower half-plane of the complex plane \mathbb{C} ;

(4) the function $\mathcal{M}_0(\lambda)$ is a unique fixed point for each degree of $\widehat{\Gamma}$.

Proof. (1) Since

$$\mathcal{M}(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1} \iff \mathcal{M}^2(\lambda) + \lambda \mathcal{M}(\lambda) + I_{\mathfrak{M}} = 0,$$

and \mathcal{M} is a Nevanlinna family, we get that \mathcal{M}_0 given by (3.2) is a unique solution.

(2) Suppose $\widehat{\Gamma}(\mathcal{M}) = \mathcal{M}_0$, i.e.,

$$-(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1} = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2} I_{\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Then

$$\mathcal{M}(\lambda) = \left(-\frac{2}{-\lambda + \sqrt{\lambda^2 - 4}} - \lambda \right) I_{\mathfrak{M}} = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2} I_{\mathfrak{M}} = \mathcal{M}_0(\lambda).$$

(3) Let \mathcal{F} and \mathcal{G} be two $\mathbf{B}(\mathfrak{M})$ -valued Nevanlinna functions. Set

$$\widehat{F}(\lambda) = -(\mathcal{F}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \widehat{G}(\lambda) = -(\mathcal{G}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Then \widehat{F} and \widehat{G} are $\mathbf{B}(\mathfrak{M})$ -valued and

$$\widehat{F}(\lambda) - \widehat{G}(\lambda) = (\mathcal{F}(\lambda) + \lambda I_{\mathfrak{M}})^{-1} (\mathcal{F}(\lambda) - \mathcal{G}(\lambda)) (\mathcal{G}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}.$$

From (3.1) we get

$$\|(\widehat{F}(\lambda) - \widehat{G}(\lambda))\| \leq \frac{1}{|\operatorname{Im} \lambda|^2} \|\mathcal{F}(\lambda) - \mathcal{G}(\lambda)\|.$$

Hence for the sequence of iterations $\{\mathcal{M}_n(\lambda)\}$ one has

$$\|(\mathcal{M}_n(\lambda) - \mathcal{M}_m(\lambda))\| \leq \frac{1}{(|\operatorname{Im} \lambda|^2)^{m-1}} \|\mathcal{M}_{n-m+1}(\lambda) - \mathcal{M}_1(\lambda)\|, \quad n > m.$$

It follows that if $|\operatorname{Im} \lambda| > 1$, then

$$\|(\mathcal{M}_n(\lambda) - \mathcal{M}_m(\lambda))\| \leq \frac{(|\operatorname{Im} \lambda|^2)^{-m+1}}{1 - (|\operatorname{Im} \lambda|)^{-2}} \|\mathcal{M}_2(\lambda) - \mathcal{M}_1(\lambda)\|, \quad n > m.$$

Therefore, the sequence of linear operators $\{\mathcal{M}_n(\lambda)\}_{n=1}^{\infty}$ convergence in the operator norm topology, and the limit satisfies the equality $\mathcal{M}(\lambda) = -(\mathcal{M}(\lambda) + \lambda I)^{-1}$, i.e., is the fixed point of the mapping $\widehat{\Gamma}$. In addition due to the inequality

$$\|(\mathcal{M}_n(\lambda) - \mathcal{M}_m(\lambda))\| \leq \frac{1}{R^{m-1}} \|\mathcal{M}_{n-m+1}(\lambda) - \mathcal{M}_1(\lambda)\|, \quad n > m, \quad |\operatorname{Im} \lambda| \geq R, \quad R > 1$$

we get that the convergence is uniform on λ on the domain $\{\lambda : |\operatorname{Im} \lambda| \geq R\}$, $R > 1$.

Note that from

$$\|\mathcal{M}_n(\lambda)\| = \|(\mathcal{M}_{n-1}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}\| \leq \frac{1}{|\operatorname{Im} \lambda|}, \quad \operatorname{Im} \lambda \neq 0$$

it follows that the sequence of operator-valued functions $\{\mathcal{M}_n(\lambda)\}_{n=1}^{\infty}$ is uniformly bounded on λ on each domain $|\operatorname{Im} \lambda| > r$, $r > 0$. Thus, the sequence $\{\mathcal{M}_n\}_{n=1}^{\infty}$ is locally

uniformly bounded in the upper and lower open half-planes and, in addition, $\{\mathcal{M}_n\}$ uniformly converges in the operator-norm topology on the domains $\{\lambda : |\operatorname{Im} \lambda| \geq R\}$, $R > 1$. By the Vitali-Porter theorem [19] the relation

$$\lim_{n \rightarrow \infty} \|\mathcal{M}_n(\lambda) - \mathcal{M}_0(\lambda)\| = 0$$

holds uniformly on λ on each compact subset of the open upper/lower half-plane of the complex plane \mathbb{C} .

(4) The function \mathcal{M}_0 is a fixed point for each degree of $\widehat{\Gamma}$. Suppose that the mapping $\widehat{\Gamma}^{l_0}$, $l_0 \geq 2$ has one more fixed point $\mathcal{L}_0(\lambda)$. Then arguing as above, we get

$$\|\mathcal{M}_0(\lambda) - \mathcal{L}_0(\lambda)\| \leq |\operatorname{Im} \lambda|^{-2l_0} \|\mathcal{M}_0(\lambda) - \mathcal{L}_0(\lambda)\| \quad \forall \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

It follows that $\mathcal{L}_0(\lambda) \equiv \mathcal{M}_0(\lambda)$. □

The scalar case ($\mathfrak{M} = \mathbb{C}$) of the next Proposition can be found in [6, pages 544–545], [18].

Proposition 3.2. *Let \mathfrak{M} be a Hilbert space.*

(1) *Consider the weighted Hilbert space*

$$\mathfrak{L}_0 := L_2 \left([-2, 2], \frac{1}{2\pi} \sqrt{4 - t^2} \right) \otimes \mathfrak{M}$$

and the operator

$$(\mathcal{T}_0 f)(t) = t f(t), \quad f(t) \in \mathfrak{L}.$$

Identify \mathfrak{M} with a subspace of \mathfrak{L}_0 of constant vector-functions $\{f(t) \equiv f, f \in \mathfrak{M}\}$. Then

$$\mathcal{M}_0(\lambda) = P_{\mathfrak{M}}(\mathcal{T}_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}, \quad \lambda \in \mathbb{C} \setminus [-2, 2],$$

where $\mathcal{M}_0(\lambda)$ is given by (3.2).

(2) Let $\mathbf{H}_0 = \bigoplus_{j=0}^{\infty} \mathfrak{M} = \ell^2(\mathbb{N}_0) \otimes \mathfrak{M}$ and let $\widehat{\mathbf{J}}_0$ be the operator in \mathbf{H}_0 given by the block-operator Jacobi matrix of the form (1.8).

Set $\mathfrak{M}_0 := \mathfrak{M} \oplus \{0\} \oplus \{0\} \oplus \dots$. Then

$$\mathcal{M}_0(\lambda) = P_{\mathfrak{M}_0}(\widehat{\mathbf{J}}_0 - \lambda I)^{-1} \upharpoonright \mathfrak{M}_0, \quad \lambda \in \mathbb{C} \setminus [-2, 2].$$

In the next statement we show that one can construct a sequence $\{\widehat{\mathfrak{H}}_n, \widehat{A}_n\}$ of realizations for the iterates $\{\mathcal{M}_{n+1} = \widehat{\Gamma}(\mathcal{M}_n)\}_{n=1}^{\infty}$ that inductively converges to $\{\mathbf{H}_0, \widehat{\mathbf{J}}_0\}$.

Theorem 3.3. *Let $\mathcal{M}(\lambda)$ be an arbitrary Nevanlinna family in \mathfrak{M} . Define the iterations of the mapping $\widehat{\Gamma}$ (1.7):*

$$\mathcal{M}_1(\lambda) = -(\mathcal{M}(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad \mathcal{M}_{n+1}(\lambda) = -(\mathcal{M}_n(\lambda) + \lambda I_{\mathfrak{M}})^{-1}, \quad n = 1, 2, \dots, \\ \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Let $\mathcal{M}_1(\lambda) = K^*(\widehat{T} - \lambda I)^{-1}K$, $\operatorname{Im} \lambda \neq 0$ be a realization of $\mathcal{M}_1(\lambda)$, where \widehat{T} is a self-adjoint operator in the Hilbert space $\widehat{\mathfrak{H}}$ and $K \in \mathbf{B}(\mathfrak{M}, \widehat{\mathfrak{H}})$ is a contraction. Further, set

$$(3.3) \quad \widehat{\mathfrak{H}}_1 = \mathfrak{M} \oplus \widehat{\mathfrak{H}}, \quad \widehat{\mathfrak{H}}_2 = \mathfrak{M} \oplus \widehat{\mathfrak{H}}_1 = \mathfrak{M} \oplus \mathfrak{M} \oplus \widehat{\mathfrak{H}}, \\ \widehat{\mathfrak{H}}_{n+1} = \mathfrak{M} \oplus \mathfrak{H}_n = \underbrace{\mathfrak{M} \oplus \mathfrak{M} \oplus \dots \oplus \mathfrak{M}}_{n+1} \oplus \widehat{\mathfrak{H}}, \dots$$

and define the following linear operators for each $n \in \mathbb{N}$:

$$\begin{aligned} \mathfrak{M} \ni x &\mapsto \mathbb{I}_{\mathfrak{M}}^{(n)} x = [x, \underbrace{0, 0, \dots, 0}_n]^T \in \widehat{\mathfrak{H}}_n, \\ \widehat{\mathfrak{H}}_n \ni \begin{bmatrix} x \\ h \end{bmatrix} &\mapsto P_{\mathfrak{M}}^{(0,n)} \begin{bmatrix} x \\ h \end{bmatrix} = x \in \mathfrak{M}(\perp \widehat{\mathfrak{H}}_n) \quad \forall x \in \mathfrak{M}, \quad \forall h \in \widehat{\mathfrak{H}}_n. \end{aligned}$$

Define selfadjoint operators in the Hilbert spaces $\widehat{\mathfrak{H}}_n$ for $n \in \mathbb{N}$:

$$(3.4) \quad \widehat{A}_1 = \begin{bmatrix} 0 & K^* \\ K & \widehat{T} \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}} \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}} \end{array}, \quad \text{dom } \widehat{A}_1 = \mathfrak{M} \oplus \text{dom } \widehat{T},$$

$$\text{dom } \widehat{T} \rightarrow \widehat{\mathfrak{H}}_1,$$

$$\widehat{A}_2 = \begin{bmatrix} 0 & P_{\mathfrak{M}}^{(0,1)} \\ \mathbb{I}_{\mathfrak{M}}^{(1)} & \widehat{A}_1 \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}}_1 \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}}_1 \end{array}, \quad \text{dom } \widehat{A}_2 = \mathfrak{M} \oplus \text{dom } \widehat{A}_1,$$

$$\widehat{A}_{n+1} = \begin{bmatrix} 0 & P_{\mathfrak{M}}^{(0,n)} \\ \mathbb{I}_{\mathfrak{M}}^{(n)} & \widehat{A}_n \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}}_n \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}}_n \end{array}, \quad \text{dom } \widehat{A}_{n+1} = \mathfrak{M} \oplus \text{dom } \widehat{A}_n.$$

Then \widehat{A}_n is a realization of \mathcal{M}_{n+1} for each n , i.e.,

$$(3.5) \quad \mathcal{M}_{n+1}(\lambda) = P_{\mathfrak{M}}(\widehat{A}_n - \lambda I)^{-1} \upharpoonright \mathfrak{M}, \quad n = 1, 2, \dots, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

If \widehat{T} is $\overline{\text{ran}} K$ -simple, i.e., $\overline{\text{span}} \{(\widehat{T} - \lambda)^{-1} \text{ran } K : \lambda \in \mathbb{C} \setminus \mathbb{R}\} = \mathcal{K}$, then \widehat{A}_n is \mathfrak{M} -minimal for each $n \in \mathbb{N}$. Moreover, the Hilbert space \mathbf{H}_0 and the block-operator Jacobi matrix (1.8) are the inductive limits $\mathbf{H}_0 = \varinjlim \widehat{\mathfrak{H}}_n$ and $\widehat{\mathbf{J}}_0 = \varinjlim \widehat{A}_n$, of the chains $\{\widehat{\mathfrak{H}}_n\}$ and $\{\widehat{A}_n\}$, respectively.

Proof. Relations in (3.5) follow by induction from (2.3).

Note that the operator \widehat{A}_n can be represented by the block-operator matrix

$$(3.6) \quad \widehat{A}_n = \begin{bmatrix} 0 & I_{\mathfrak{M}} & 0 & 0 & 0 & \cdot & \cdot & \cdot & 0 \\ I_{\mathfrak{M}} & 0 & I_{\mathfrak{M}} & 0 & 0 & \cdot & \cdot & \cdot & 0 \\ 0 & I_{\mathfrak{M}} & 0 & I_{\mathfrak{M}} & 0 & \cdot & \cdot & \cdot & 0 \\ 0 & 0 & I_{\mathfrak{M}} & 0 & I_{\mathfrak{M}} & 0 & \cdot & \cdot & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \cdot & \cdot & \cdot & 0 & 0 & I_{\mathfrak{M}} & 0 \\ 0 & 0 & \cdot & \cdot & \cdot & 0 & I_{\mathfrak{M}} & 0 & K^* \\ 0 & 0 & \cdot & \cdot & \cdot & 0 & 0 & K & \widehat{T} \end{bmatrix} : \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{M} \\ \oplus \\ \vdots \\ \oplus \\ \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}} \end{array} \rightarrow \begin{array}{c} \mathfrak{M} \\ \oplus \\ \mathfrak{M} \\ \oplus \\ \vdots \\ \oplus \\ \mathfrak{M} \\ \oplus \\ \widehat{\mathfrak{H}} \end{array}.$$

Besides, if \widehat{T} is bounded, then all operators $\{\widehat{A}_n\}_{n \geq 1}$ are bounded and each $\mathcal{M}_n(\lambda)$ belongs to the class $\mathbf{N}_{\mathfrak{M}}^0$ for $n \geq 2$.

Define the linear operators $\gamma_k^l : \widehat{\mathfrak{H}}_k \rightarrow \widehat{\mathfrak{H}}_l$, $l \geq k$, $\gamma_k : \widehat{\mathfrak{H}}_k \rightarrow \mathbf{H}_0$, $k \in \mathbb{N}$ as follows

$$(3.7) \quad \begin{aligned} \gamma_k^l[f_1, f_2, \dots, f_k, \varphi] &= [f_1, f_2, \dots, f_k, \underbrace{0, 0, \dots, 0}_{l-k}, \varphi], \\ \gamma_k[f_1, f_2, \dots, f_k, \varphi] &= [f_1, f_2, \dots, f_k, 0, 0, \dots], \\ &\{f_i\}_{i=1}^k \subset \mathfrak{M}, \quad \varphi \in \widehat{\mathfrak{H}}. \end{aligned}$$

Then

- (1) γ_k^k is the identity on $\widehat{\mathfrak{H}}_k$ for each $k \in \mathbb{N}$,

- (2) $\gamma_k^m = \gamma_l^m \circ \gamma_k^l$ if $k \leq l \leq m$,
- (3) $\gamma_k = \gamma_l \circ \gamma_k^l, l \geq k, k \in \mathbb{N}$,
- (4) $\mathbf{H}_0 = \overline{\text{span}} \{ \gamma_k \widehat{\mathfrak{H}}_k, k \geq 1 \}$.

Note that the operators $\{ \gamma_k^l \}$ are isometries and the operators $\{ \gamma_k \}$ are partial isometries and $\ker \gamma_k = \widehat{\mathfrak{H}}$ for all k . The family $\{ \widehat{\mathfrak{H}}_k, \gamma_k^l, \gamma_k \}$ forms the inductive isometric chain [17] and the Hilbert space \mathbf{H}_0 is the inductive limit of the Hilbert spaces $\{ \widehat{\mathfrak{H}}_n \}$ (3.3): $\mathbf{H}_0 = \lim_{\rightarrow} \widehat{\mathfrak{H}}_n$.

Define following [17] on $\mathcal{D}_\infty := \bigcup_{n=1}^\infty \gamma_n \text{dom } \widehat{A}_n$ a linear operator in \mathbf{H}_0 :

$$\widehat{A}_\infty h := \lim_{m \rightarrow \infty} \gamma_m \widehat{A}_m \gamma_k^m h_k, \quad h = \gamma_k h_k, \quad h_k \in \widehat{\mathfrak{H}}_k \ominus \widehat{\mathfrak{H}},$$

where $\{ \widehat{A}_n \}$ are defined in (3.4). Due to (3.7) and (3.6) the operator \widehat{A}_∞ exists, densely defined and its closure is bounded selfadjoint operator in \mathbf{H}_0 given by the block-operator matrix $\widehat{\mathbf{J}}_0$ of the form (1.8). □

Note that the operator $\widehat{\mathbf{J}}_0$ is called the free discrete Schrödinger operator [18]. Observe also that the function

$$M_1(\lambda) = \frac{1}{2} \mathcal{M}_0 \left(\frac{\lambda}{2} \right) = 2(-\lambda + \sqrt{\lambda^2 - 1}) I_{2\mathfrak{M}}, \quad \lambda \in \mathbb{C} \setminus [-1, 1],$$

where $\mathcal{M}_0(\lambda)$ is given by (3.2), belongs to the class $\mathbf{N}_{\mathfrak{M}}^0[-1, 1]$. Besides, for all $\lambda \in \mathbb{C} \setminus [-1, 1]$ the equality $M_1(\lambda) = P_{\mathfrak{M}}(\mathcal{T}_1 - \lambda I)^{-1} \upharpoonright \mathfrak{M}$ holds, where \mathcal{T}_1 is the multiplication operator $(\mathcal{T}_1 f)(t) = t f(t)$ in the weighted Hilbert space

$$L_2 \left([-1, 1], \frac{2}{\pi} \sqrt{1 - t^2} \right) \otimes \mathfrak{M}.$$

If $\mathfrak{M} = \mathbb{C}$, then the matrix of the corresponding operator \mathcal{T}_1 in the orthonormal basis of the Chebyshev polynomials of the second kind

$$U_n(t) = \frac{\sin[(n + 1) \arccos t]}{\sqrt{1 - t^2}}, \quad n = 0, 1, \dots$$

is of the form $\frac{1}{2} \widehat{\mathbf{J}}_0$ [6].

4. CANONICAL SYSTEMS AND THE MAPPING $\widehat{\Gamma}$

Let $m \in \mathbf{N}_{\mathbb{C}}^0$. Then, see [6, Chapter VII, § 1, Theorem 1.11], [11], [18], the function m is the compressed resolvent $(m(\lambda) = ((J - \lambda I)^{-1} \delta_0, \delta_0))$ of a unique finite or semi-infinite Jacobi matrix $J = J(\{a_k\}, \{b_k\})$ with real diagonal entries $\{a_k\}$ and positive off-diagonal entries $\{b_k\}$ and in the semi-infinite case one has $\{a_k\}, \{b_k\} \in \ell^\infty(\mathbb{N}_0)$. Observe that the entries of J can be found using the continued fraction (J-fraction) expansion of $m(\lambda)$ [11], [21]

$$m(\lambda) = \frac{-1}{\lambda - a_0 + \frac{-b_0^2}{\lambda - a_1 + \frac{-b_1^2}{\lambda - a_2 + \dots + \frac{-b_{n-1}^2}{\lambda - a_n + \dots}}}$$

On the other hand the algorithm of I. S. Kac [14] enables to construct for given $J(\{a_k\}, \{b_k\})$ the Hamiltonian $\mathcal{H}(t)$ such that the m -function of $J(\{a_k\}, \{b_k\})$ is the m -function of the corresponding canonical system of the form (1.9).

Below we give the algorithm of Kac. Let J be a semi-infinite Jacobi matrix

$$(4.1) \quad J = J(\{a_k\}, \{b_k\}) = \begin{bmatrix} a_0 & b_0 & 0 & 0 & 0 & \cdot & \cdot & \cdot \\ b_0 & a_1 & b_1 & 0 & 0 & \cdot & \cdot & \cdot \\ 0 & b_1 & a_2 & b_2 & 0 & \cdot & \cdot & \cdot \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix}.$$

The condition $\{a_k\}, \{b_k\} \in \ell^\infty(\mathbb{N}_0)$ is necessary and sufficient for the boundedness of the corresponding selfadjoint operator in the Hilbert space $\ell^2(\mathbb{N}_0)$.

Put

$$(4.2) \quad l_{-1} = 1, \quad l_0 = 1, \quad \theta_{-1} = 0, \quad \theta_0 = \frac{\pi}{2}.$$

Then calculate

$$(4.3) \quad \theta_1 = \arctan a_0 + \pi, \quad l_1 = \frac{1}{l_0 b_0^2 \sin^2(\theta_1 - \theta_0)}.$$

Find θ_2 from the system

$$(4.4) \quad \begin{cases} \cot(\theta_2 - \theta_1) = -a_1 l_1 - \cot(\theta_1 - \theta_0) \\ \theta_2 \in (\theta_1, \theta_1 + \pi) \end{cases}.$$

Find successively l_j and θ_{j+1} , $j = 2, 3, \dots$

$$(4.5) \quad \begin{cases} l_j = \frac{1}{l_{j-1} b_{j-1}^2 \sin^2(\theta_j - \theta_{j-1})} \\ \cot(\theta_{j+1} - \theta_j) = -a_j l_j - \cot(\theta_j - \theta_{j-1}) \\ \theta_{j+1} \in (\theta_j, \theta_j + \pi) \end{cases}.$$

Define intervals $[t_j, t_{j+1})$ as follows

$$(4.6) \quad t_{-1} = -1, \quad t_0 = t_{-1} + l_{-1} = 0, \quad t_1 = t_0 + l_0 = 1, \\ t_{j+1} = t_j + l_j = 1 + \sum_{k=1}^j l_k, \quad j \in \mathbb{N}.$$

Then necessarily, [14], we get that $\lim_{j \rightarrow \infty} t_j = +\infty$. Finally define the right continuous increasing step-function

$$(4.7) \quad \theta(t) := \begin{cases} \theta_0 = \frac{\pi}{2}, & t \in (t_0, t_1) = (0, 1) \\ \theta_j, & t \in [t_j, t_{j+1}), \quad j \in \mathbb{N} \end{cases}$$

and the Hamiltonian $\mathcal{H}(t)$ on \mathbb{R}_+

$$(4.8) \quad \mathcal{H}(t) := \begin{bmatrix} \cos \theta(t) \\ \sin \theta(t) \end{bmatrix} \begin{bmatrix} \cos \theta(t) & \sin \theta(t) \end{bmatrix} = \begin{bmatrix} \cos^2 \theta(t) & \cos \theta(t) \sin \theta(t) \\ \cos \theta(t) \sin \theta(t) & \sin^2 \theta(t) \end{bmatrix} \\ = \frac{1}{2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \frac{1}{2} \begin{bmatrix} \cos 2\theta(t) & \sin 2\theta(t) \\ \sin 2\theta(t) & -\cos 2\theta(t) \end{bmatrix}.$$

Then the Nevanlinna function $m(\lambda) = ((J - \lambda I)^{-1} \delta_0, \delta_0)$ coincides with m -function of the corresponding canonical system of the form (1.9). Observe that the algorithm shows that

$$(4.9) \quad \mathcal{H}(t) = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}, \quad t \in [0, 1).$$

Using (4.2)–(4.8) for the Jacobi matrix \widehat{J}_0

$$\widehat{J}_0 = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & \cdot & \cdot & \cdot \\ 1 & 0 & 1 & 0 & 0 & \cdot & \cdot & \cdot \\ 0 & 1 & 0 & 1 & 0 & \cdot & \cdot & \cdot \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix},$$

we get

$$l_j^0 = 1, \quad \theta_j^0 = (j + 1)\frac{\pi}{2} \quad \forall j \in \mathbb{N}_0,$$

$$\theta^0(t) = (j + 1)\frac{\pi}{2}, \quad t \in [j, j + 1) \quad \forall j \in \mathbb{N}_0,$$

$$(4.10) \quad \mathcal{H}_0(t) = \begin{bmatrix} \cos^2(j + 1)\frac{\pi}{2} & 0 \\ 0 & \sin^2(j + 1)\frac{\pi}{2} \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} 1 - (-1)^j & 0 \\ 0 & 1 + (-1)^j \end{bmatrix}, \quad t \in [j, j + 1) \quad \forall j \in \mathbb{N}_0.$$

Proposition 4.1. *Let the scalar non-rational Nevanlinna function m belong to the class $\mathbb{N}_{\mathbb{C}}^0$. Define the functions*

$$m_1(\lambda) = -\frac{1}{m(\lambda) + \lambda}, \dots, m_{n+1}(\lambda) = -\frac{1}{m_n(\lambda) + \lambda}, \dots, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Let J be the Jacobi matrix with the m -function m , i.e., $m(\lambda) = \left((J - \lambda I)^{-1} \delta_0, \delta_0 \right)$, $\forall \lambda \in \mathbb{C} \setminus \mathbb{R}$. Assume that $\mathcal{H}(t)$ is the Hamiltonian such that the m -function of the corresponding canonical system coincides with m . Then the Hamiltonian $\mathcal{H}_n(t)$ of the canonical system whose m -function coincides with m_n , takes the form

$$(4.11) \quad \mathcal{H}_n(t) = \begin{cases} \mathcal{H}_0(t), & t \in [0, n + 1), \\ (-1)^n \mathcal{H}(t - n) + \frac{1}{2} \begin{bmatrix} 1 - (-1)^n & 0 \\ 0 & 1 - (-1)^n \end{bmatrix}, & t \in [n + 1, \infty) \end{cases}$$

$$= \begin{cases} \mathcal{H}_0(t), & t \in [0, n + 1), \\ \begin{bmatrix} \cos^2\left(\theta_j + n\frac{\pi}{2}\right) & \frac{(-1)^n}{2} \sin 2\theta_j \\ \frac{(-1)^n}{2} \sin 2\theta_j & \sin^2\left(\theta_j + n\frac{\pi}{2}\right) \end{bmatrix}, & t \in [t_j + n, t_{j+1} + n), \quad j \in \mathbb{N} \end{cases},$$

where $\{t_j, \theta_j\}_{j \geq 1}$ are parameters of the Hamiltonian $\mathcal{H}(t)$.

Proof. Set

$$(4.12) \quad J_1 = \left[\begin{array}{c|cccc} 0 & 1 & 0 & 0 & \dots \\ \hline 1 & & & & \\ 0 & & J & & \\ \vdots & & & & \end{array} \right], \dots, \quad J_n = \left[\begin{array}{c|cccc} 0 & 1 & 0 & 0 & \dots \\ \hline 1 & & & & \\ 0 & & J_{n-1} & & \\ \vdots & & & & \end{array} \right], \dots$$

Then (2.3) and induction yield the equalities

$$\left((J_1 - \lambda I)^{-1} \delta_0, \delta_0 \right) = -(m(\lambda) + \lambda)^{-1} = m_1(\lambda), \dots,$$

$$\left((J_n - \lambda I)^{-1} \delta_0, \delta_0 \right) = -(m_{n-1}(\lambda) + \lambda)^{-1} = m_n(\lambda), \dots,$$

$$\lambda \in \mathbb{C} \setminus \mathbb{R}.$$

Let $J = J(\{a_k\}_{k=0}^\infty, \{b_k\}_{k=0}^\infty)$ be of the form (4.1). Then from (4.12) it follows that for the entries of $J_n = J_n(\{a_k^{(n)}\}_{k=0}^\infty, \{b_k^{(n)}\}_{k=0}^\infty)$, $n \in \mathbb{N}$, we have the equalities

$$(4.13) \quad \begin{cases} a_0^{(n)} = a_1^{(n)} = \dots = a_{n-1}^{(n)} = 0 \\ a_k^{(n)} = a_{k-n}, \quad k \geq n \end{cases}, \quad \begin{cases} b_0^{(n)} = b_1^{(n)} = \dots = b_{n-1}^{(n)} = 1 \\ b_k^{(n)} = b_{k-n}, \quad k \geq n \end{cases}.$$

In order to find an explicit form of the Hamiltonian corresponding to the Nevanlinna function m_n we apply the algorithm of Kac described by (4.2), (4.3), (4.4), (4.5), (4.6), (4.7), (4.8). Then we obtain

$$\begin{aligned} l_{-1}^{(n)} &= l_0^{(n)} = l_1^{(n)} = \dots = l_n^{(n)} = 1, \\ \theta_{-1}^{(n)} &= 0, \quad \theta_0^{(n)} = \frac{\pi}{2}, \quad \theta_1^{(n)} = \pi, \dots, \theta_n^{(n)} = (n+1)\frac{\pi}{2}, \\ l_{n+j}^{(n)} &= l_j, \quad \theta_{n+j}^{(n)} = \theta_j + (n+2)\frac{\pi}{2}, \quad j \in \mathbb{N}. \end{aligned}$$

Hence (4.8) and (4.10) yield (4.11). □

By Theorem 3.1 the sequence $\{m_n\}$ of Nevanlinna functions converges uniformly on each compact subset of $\mathbb{C}_+/\mathbb{C}_-$ to the Nevanlinna function

$$m_0(\lambda) = \frac{-\lambda + \sqrt{\lambda^2 - 4}}{2}, \quad \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

This function is the m -function of the Jacobi matrix \widehat{J}_0 and the m -function of the canonical system with the Hamiltonian \mathcal{H}_0 . From (4.12) we see that for the sequence of selfadjoint Jacobi operators $\{J_n\}$ in $\ell^2(\mathbb{N}_0)$ the relations

$$P_n J_{n+1} P_n = P_n J_0 P_n \quad \forall n \in \mathbb{N}_0$$

hold, where P_n is the orthogonal projection in $\ell^2(\mathbb{N}_0)$ on the subspace

$$E_n = \text{span}\{\delta_0, \delta_1, \dots, \delta_{n-1}\}.$$

It follows that

$$s - \lim_{n \rightarrow \infty} P_n J_{n+1} P_n = \widehat{J}_0.$$

For the sequence (4.11) of $\{\mathcal{H}_n\}$ one has

$$(4.14) \quad \mathcal{H}_n \upharpoonright [0, n+1) = \mathcal{H}_0 \upharpoonright [0, n+1) \quad \forall n.$$

From (4.14) it follows that if $\vec{f}(t) = \begin{bmatrix} f_1(t) \\ f_2(t) \end{bmatrix}$ is a continuous function on \mathbb{R}_+ with a compact support, then there exists $n_0 \in \mathbb{N}$ such that $\int_0^\infty \vec{f}(t)^* \mathcal{H}_n(t) \vec{f}(t) dt = \int_0^\infty \vec{f}(t)^* \mathcal{H}_0(t) \vec{f}(t) dt$ for all $n \geq n_0$.

It is proved in [13, Proposition 5.1] that for a sequence of canonical systems with Hamiltonians $\{H_n\}$ and H the convergence $m_{H_n}(\lambda) \rightarrow m_H(\lambda)$, $n \rightarrow \infty$ of m -functions holds locally uniformly on $\mathbb{C}_+/\mathbb{C}_-$ if and only if $\int_0^\infty \vec{f}(t)^* H_n(t) \vec{f}(t) dt \rightarrow \int_0^\infty \vec{f}(t)^* H(t) \vec{f}(t) dt$ for all continuous functions $\vec{f}(t)$ with compact support on \mathbb{R}_+ .

In conclusion we note that the equalities (4.9), (4.10), and (4.11) (for $n = 1$) show that for the transformation $\widehat{\Gamma}$ one has the following scheme:

$$\begin{aligned} \mathbf{N}_{\mathbb{C}}^0 \ni m \text{ (non-rational)} &\longrightarrow \mathcal{H}(t) \implies \\ \mathcal{H}_{\widehat{\Gamma}}(t) &= \begin{cases} \mathcal{H}_0(t), & t \in [0, 2) \\ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} - \mathcal{H}(t-1), & t \in [2, +\infty) \end{cases} \longleftarrow \widehat{\Gamma}(m). \end{aligned}$$

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