

SOME SPECTRAL RESULTS FOR CERTAIN POSITIVE OPERATORS IN HILBERT SPACES

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ABSTRACT. This paper examines the spectral properties of specific classes of positive operators arising from matrices associated with the linear complementarity problem. Such operators occupy a central position in diverse domains of mathematics and physics, including operator theory, functional analysis, and quantum mechanics. A thorough understanding of their spectral behavior is fundamental for exploring the dynamics and stability of systems governed by these operators. P-matrix is one of the important types of matrices appearing in linear complementarity problems. In this paper, with the help of spectral results we have given a factorization for P-matrices, as the product of two non-trivial P-matrices. We also focus on elucidating spectral properties such as eigenvalues, approximate eigenvalues and spectral values associated with certain positive operators.

1. INTRODUCTION

Eigenvalues and eigenvectors, key concepts in linear algebra, have wide-ranging applications in both science and engineering. Certain properties of a matrix can be analyzed if the eigenvalues of the matrix are known to us. For example, if a matrix has no non-zero eigenvalue, then it is invertible. The spectrum is the infinite-dimensional analogue of the set of matrix eigenvalues. Several core results of matrix theory are extended to linear operators on a Hilbert space, where the proofs are typically quite different and one often needs additional assumptions on the operators.

An $n \times n$ real matrix A (it is denoted by $A \in \mathbb{R}^{n \times n}$) is called a P-matrix (P_0 -matrix) if all its principal minors are positive (non-negative). The matrix $A \in \mathbb{R}^{n \times n}$ reverses the sign of the vector $x \in \mathbb{R}^n$ if $x_i(Ax)_i \leq 0$, for all $i \in \langle n \rangle$, where x_i is the i -th component of the vector $x \in \mathbb{R}^n$ and $\langle n \rangle = \{1, 2, 3, \dots, n\}$. Fiedler and Pták [3] have shown that A is a P-matrix if and only if A has sign non-reversal property, that is, if $x \neq 0 \in \mathbb{R}^n$, then there exists some index j for which we have $x_j(Ax)_j > 0$. Cottle et al. [2] have shown that given a real square matrix A , the linear complementarity problem $LCP(A, q)$ has a unique solution for each vector $q \in \mathbb{R}^n$ if and only if A is a P-matrix. The P-matrices encompass such notable classes as the Hermitian positive definite matrices, the M-matrices, the totally positive matrices, the real diagonally dominant matrices with positive diagonal entries, and many more. The study of P-matrices has extended to the notion of P-operator to infinite-dimensional Banach spaces having a Schauder basis by Kannan and Sivakumar [8] and P-operator to infinite-dimensional Hilbert spaces relative to various orthonormal bases by Rashid and Johnson [13].

Spectral theory on Hilbert space forms the cornerstone of modern functional analysis, providing powerful tools for understanding the behavior of linear operators on infinite-dimensional spaces. In particular, the study of P-operators within this framework

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holds significant importance, with wide-ranging applications in diverse fields such as quantum mechanics, signal processing, and partial differential equations. The theory of P-matrices (P-operators) explores transformations that preserve positivity, a concept crucial for modeling various physical phenomena and mathematical processes through linear complementarity problem [1, 7, 11, 14]. In this context, P-property is intimately linked with the notions of order, stability, and growth, offering profound insights into the underlying structure of the space under consideration.

We present a foundational exploration of the spectral theory for P-operators and sufficient operators on Hilbert spaces, detailing their spectral properties, including eigenvalues, eigenvectors, and spectral decompositions. Moreover, we will investigate the connections between P-property and the spectral characteristics of these operators, shedding light on their geometric, algebraic, and analytic properties. Through this exploration, we aim to provide a comprehensive understanding of spectral theory on Hilbert spaces for P-operators, equipping the reader with the necessary tools to tackle advanced topics in functional analysis and its applications.

2. PRELIMINARIES

Theorem 2.1. [14] *Let $A \in \mathbb{R}^{n \times n}$ be a P-matrix. Then the following statements hold.*

- (1) *The matrix $A + D$ is a P-matrix, for any diagonal matrix D with non-negative entries.*
- (2) *The inverse A^{-1} of A is a P-matrix.*

Theorem 2.2. [3] *Let $A \in \mathbb{R}^{n \times n}$. The following statements are equivalent :*

- (1) *A is a P-matrix.*
- (2) *The real eigenvalues of the principal submatrices of A are positive.*

Theorem 2.3. [3] *Let A be a real square matrix with all non-positive off-diagonal elements. The following statements are equivalent :*

- (1) *A is a P-matrix.*
- (2) *Each real eigenvalue of A is positive.*
- (3) *The real part of each eigenvalue of A is positive.*

Let $\lambda_1, \lambda_2, \dots, \lambda_n$ be n real numbers or complex numbers having both conjugate pairs in the collection. The k^{th} elementary symmetric function is defined by

$$\sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n) = \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} \prod_{t=1}^k \lambda_{i_t}.$$

The elementary symmetric functions characterize the spectra of P-matrices and P_0 -matrices as shown in the following result.

Theorem 2.4. [5] *The set $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$ is a spectrum of some P-matrix iff*

$$\sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n) > 0, \quad k = 1, 2, \dots, n.$$

In the above result, if $\sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n) \geq 0, k = 1, 2, \dots, n$, it characterizes P_0 -matrices.

Example 2.5. Let $A = \begin{pmatrix} -1 & -1 \\ 4 & 3 \end{pmatrix}$ be a matrix whose spectrum is $\{1, 1\}$. Though the symmetric functions $\sigma_k(\{1, 1\})$ are positive, for $k = 1, 2$, the matrix A is not a P-matrix. However, by Theorem 2.4, the set $\{1, 1\}$ is a spectrum of the P-matrix $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$.

If $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$ is the spectrum of a matrix having positive sums of principal minor, then it satisfies

$$\sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n) > 0, k = 1, 2, \dots, n.$$

Moreover, the converse is also true as shown in Theorem 2.4: $\sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n)$ is positive for $k = 1, 2, \dots, n$ if and only if it is a spectrum of some P-matrix (P₀-matrix). Such a set S is called a P-set (P₀-set).

Kellogg [9] proved that elements of a P-set cannot lie in the given wedge around the negative axis. More precisely he proved the following result.

Theorem 2.6. [9]

(1) If $\mathcal{K} = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$ is a P-set, then

$$|\arg \lambda_i| < \frac{n-1}{n}\pi, \quad i = 1, 2, \dots, n. \quad (2.1)$$

(2) If $\mathcal{K} = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$, $\lambda_i \neq 0$, $i = 1, 2, \dots, n$, is a P₀-set, then

$$|\arg \lambda_i| \leq \frac{n-1}{n}\pi, \quad i = 1, 2, \dots, n.$$

Equality holds in the above inequality if and only if

$$\begin{aligned} \sigma_k(\lambda_1, \lambda_2, \dots, \lambda_n) &= 0, & k = 1, 2, \dots, n-1, \\ \sigma_n(\lambda_1, \lambda_2, \dots, \lambda_n) &> 0. \end{aligned}$$

It is proved in [6] that if the number of elements in $\{\lambda_1, \lambda_2, \dots, \lambda_n\}$ in the right half plane, or in the left half plane, is given, then the bound of Equation (2.1) can be improved, namely, there exists α such that

$$|\arg \lambda_i| < \alpha < \frac{n-1}{n}\pi, \quad i = 1, 2, \dots, n.$$

It is also proved that if the set $\mathcal{K} = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$ has exactly one element in the right half plane, then

$$|\arg \lambda_i| < \frac{2}{3}\pi, \quad i = 1, 2, \dots, n.$$

The above inequality is independent of n . It was conjectured in [6] that if a P-matrix A has exactly k eigenvalues in the left half plane (k is an even integer), then

$$|\arg \lambda| < \frac{2k+1}{2k+2}\pi, \quad \text{for all } \lambda \in \sigma(A).$$

The following result has given a negative answer to the conjecture.

Theorem 2.7. [5] *Let \mathbf{C} be a finite set of complex numbers, consisting of positive numbers and non-real conjugate pairs. Then one can obtain a set with all elementary symmetric functions positive by adding positive numbers to \mathbf{C} .*

Every P-matrix can have almost all of its eigenvalues in the left half of the complex plane.

Theorem 2.8. [5] *There exists a P-matrix all of those eigenvalues, except one when n is even or two when n is odd, have negative real parts.*

A matrix A all of whose powers are P-matrices is denoted $A \in \mathcal{PM}$ (respectively, $A \in \mathcal{P}_0\mathcal{M}$ if A^k is a P₀-matrix for all powers k). If we denote the set of eigenvalues with multiple appearances corresponding to algebraic multiplicities of A by $\sigma(A)$, it is then natural to raise the following question :

$$\text{if } A \in \mathcal{PM}, \text{ does } \lambda \in \sigma(A) \text{ imply } \lambda > 0?$$

The above question has been answered affirmatively by Hershkowitz [5] for $n \leq 4$ and it is not resolved for $n \geq 5$.

Definition 2.9. [4] A matrix $A \in \mathbb{R}^{n \times n}$ is called column sufficient (CSU) if $x_i(Ax)_i \leq 0$ for all $i \in \langle n \rangle$, implies $x_i(Ax)_i = 0$ for all $i \in \langle n \rangle$. A matrix A is said to be row sufficient (RSU) if A^T is column sufficient. A matrix that is both row and column sufficient is simply called sufficient (SU). We denote the class of sufficient matrices by \mathbf{S} .

3. FACTORIZATION OF P-MATRICES USING EIGENVALUES

Proposition 3.1. *Let A be an $n \times n$ real matrix such that $I + A$ is invertible. We define*

$$U(A) = (I + A)^{-1}(I - A).$$

Then the following statements hold:

- (1) $A = U(U(A)) = (I + U(A))^{-1}(I - U(A))$.
- (2) $I + U(A) = 2(I + A)^{-1}$.
- (3) *If A is invertible, then $I - U(A) = 2(I + A^{-1})^{-1}$.*

Proof. (1) We have $(I + A)U(A) = I - A$. So, $U(A) + AU(A) = I - A$. Hence

$$A(I + U(A)) = I - U(A). \quad (3.2)$$

It is noted that if $(I + U(A))x = 0$, then $x = 0$. Therefore $-1 \notin \sigma(U(A))$. Since $(I + U(A))^{-1}$ and $I + U(A)$ commute, by the Equation (3.2), we get that $A = U(U(A))$.

(2) We have

$$\begin{aligned} I + U(A) &= I + (I + A)^{-1}(I - A) \\ &= (I + A)^{-1}[I + A + I - A] \\ &= 2(I + A)^{-1}. \end{aligned}$$

(3) We have

$$\begin{aligned} I - U(A) &= I - (I + A)^{-1}(I - A) \\ &= (I + A)^{-1}[I + A - I + A] \\ &= 2(I + A)^{-1}A. \end{aligned}$$

If A is invertible, then we have

$$\begin{aligned} I - U(A) &= 2(I + A)^{-1}A \\ &= 2(I + A)^{-1}(A^{-1})^{-1} \\ &= 2[A^{-1}(I + A)]^{-1} \\ &= 2(I + A^{-1})^{-1}. \end{aligned}$$

□

Theorem 3.2. *Let $A \in \mathbb{R}^{n \times n}$ be a P-matrix. Then A is a product of P-matrices.*

Proof. By Theorem 2.3, each real eigenvalue of A is positive. Hence A has no negative real eigenvalues, thus $(I + A)^{-1}$ and $(I - A)$ exist. Therefore $U(A) = (I + A)^{-1}(I - A)$ is well defined. By Proposition 3.1, we have

$$\begin{aligned} I + U(A) &= 2(I + A)^{-1} \\ I - U(A) &= 2(I + A^{-1})^{-1}. \end{aligned}$$

By Theorem 2.1, $I + U(A)$ and $I - U(A)$ are P-matrices. Thus $A = (I - U(A))^{-1}(I - U(A))$ is a factorization of A . □

Definition 3.3. A matrix $A \in \mathbb{R}^{n \times n}$ is called positive stable if all its eigenvalues have positive real parts.

Proposition 3.4. Let $A \in \mathbb{R}^{n \times n}$ be a P-matrix and let $D \in \mathbb{R}^{n \times n}$ be a positive diagonal matrix. Then AD is positive stable.

Proof. Since A is a P-matrix, it has no negative real eigenvalues. As D is a diagonal matrix with positive diagonal entries, every eigenvalue of AD has positive real parts. \square

The following result describes the factorization of a P-matrix into a product of positive stable matrices which are P-matrices as well.

Theorem 3.5. Let $A \in \mathbb{R}^{n \times n}$. If A is a P-matrix, then there exist positive diagonal matrices S and T such that $S^{-1}AT$ is a product of positive stable P-matrices.

Proof. By Theorem 2.1, $I + U(A)$ and $I - U(A)$ are P-matrices. Thus by Proposition 3.4, $(I + U(A))S$ and $(I - U(A))T$ are positive stable matrices. Thus,

$$\begin{aligned} S^{-1}AT &= S^{-1}(I + U(A))^{-1}(I - U(A))T \\ &= [(I + U(A))S]^{-1}(I - U(A))T. \end{aligned}$$

\square

4. SPECTRAL RESULTS FOR CERTAIN POSITIVE OPERATORS

We start this section with different spectra of operators on a Hilbert space. We denote $\mathcal{B}(\mathcal{H})$ the collection of all bounded linear operators on a Hilbert space \mathcal{H} .

Definition 4.1. [12] Let \mathcal{H} be a Hilbert space and $T \in \mathcal{B}(\mathcal{H})$. A scalar k is called an eigenvalue of T if there is a non-zero $x \in \mathcal{H}$ such that $Tx = kx$. In this case, x is called an eigenvector of T corresponding to k .

The set of all eigenvalue of T is called the eigenspectrum of T . We denote eigenspectrum (or point spectrum) of T by $\sigma_e(T)$.

A scalar k is called an approximate eigenvalue of T if the operator $T - kI$ is not bounded below, that is, for every $\beta > 0$, there is some $x \in \mathcal{H}$ with $\|x\| = 1$ and $\|Tx - kx\| < \beta$. The set of all approximate eigenvalues of T constitutes the approximate eigenspectrum of T , we denote it by $\sigma_a(T)$.

$$\sigma_a(T) = \{k \in \mathbb{K} : (T - kI)x_n \rightarrow 0 \text{ for some sequence } \{x_n\} \text{ in } \mathcal{H} \text{ with } \|x_n\| = 1 \forall n\}.$$

A scalar k is called spectral value of T if the bounded operator $T - kI$ is not invertible in $\mathcal{B}(\mathcal{H})$. The set of all spectral values of T is called the spectrum of T . We denote it by $\sigma(T)$.

Theorem 4.2. [10] (*Spectral theorem for compact operators*). Let \mathcal{H} be an infinite dimensional Hilbert space, and let T be a compact operator.

- (1) Except for the possible value 0, the spectrum of T is entirely point spectrum; in other words $\sigma(T) \setminus \{0\} = \sigma_e(T) \setminus \{0\}$.
- (2) We have $0 \in \sigma(T)$, and $0 \in \sigma_e(T)$ if and only if T is not injective.
- (3) The point spectrum outside of 0 is countable and has finite multiplicity: for each $\lambda \in \sigma_e(T) \setminus \{0\}$, we have $\dim(\lambda I - T) < +\infty$.
- (4) Assume T is normal. Let $\mathcal{H}_0 = \ker(T)$, and $\mathcal{H}_1 = \ker(T)^\perp$. Then T maps \mathcal{H}_0 to \mathcal{H}_0 and \mathcal{H}_1 to \mathcal{H}_1 ; on \mathcal{H}_1 , which is separable, there exists an orthonormal basis $\{e_n\}_{n=1}^\infty$ and $\lambda_n \in \sigma_e(T) \setminus \{0\}$ such that

$$\lim_{n \rightarrow \infty} \lambda_n = \{0\}$$

and $Te_n = \lambda_n e_n$ for all $n > 1$.

In particular, if $\{f_i\}_{i \in I}$, where I is an index set, is an arbitrary orthonormal basis of \mathcal{H}_0 , which may not be separable, we have

$$T \left(\sum_{i \in I} \alpha_i f_i + \sum_{n \geq 1} \alpha_n e_n \right) = \sum_{n \geq 1} \lambda_n \alpha_n e_n$$

for all scalars $\alpha_n, \alpha_i \in \mathbb{C}$ for which the vector on the left-hand side lies in \mathcal{H} , and the series on the right converges in \mathcal{H} . This can be expressed also as

$$Tv = \sum_{n \geq 1} \lambda_n \langle v, e_n \rangle e_n. \tag{4.3}$$

Proposition 4.3. *Let $T \in \mathcal{B}(\mathcal{H})$ be a P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$. Then any real eigen value of T is positive.*

Proof. Let $\lambda \in \sigma_e(T)$. Then there exists $x \neq 0$ such that $Tx = \lambda x$. As $T \in \mathcal{B}(\mathcal{H})$ is a P-operator relative to the orthonormal basis \mathcal{B} , there exists some index j for which, $\langle x, e_j \rangle \langle Tx, e_j \rangle > 0$, that is, $\langle x, e_j \rangle \langle \lambda x, e_j \rangle > 0$ implies $\langle x, e_j \rangle \lambda \langle x, e_j \rangle > 0$. Therefore, $\lambda \langle x, e_j \rangle^2 > 0$, this implies $\lambda > 0$. □

In general, we prove the following result.

Theorem 4.4. *Let $T \in \mathcal{B}(\mathcal{H})$ be a P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$. Then every eigenvalue $\lambda \in \sigma_e(T)$ satisfies*

$$\operatorname{Re}(\lambda) > 0.$$

Proof. Suppose, to the contrary, that there exists an eigenvalue $\lambda \in \sigma_e(T)$ with $\operatorname{Re}(\lambda) \leq 0$. Then there exists a nonzero vector $x \in H$ such that

$$Tx = \lambda x.$$

Consider the coordinate-wise products:

$$\langle x, e_i \rangle \langle Tx, e_i \rangle = \langle x, e_i \rangle \langle \lambda x, e_i \rangle = \lambda |\langle x, e_i \rangle|^2, \text{ for all } i.$$

Since $\operatorname{Re}(\lambda) \leq 0$, it follows that for each i ,

$$\operatorname{Re}(\langle x, e_i \rangle \langle Tx, e_i \rangle) = \operatorname{Re}(\lambda) |\langle x, e_i \rangle|^2 \leq 0.$$

This implies

$$\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0 \text{ for all } i,$$

with equality possibly for some i . By the P-operator property, it gives $x = 0$, contradicting the choice of an eigenvector. Thus, no eigenvalue of T can have non-positive real part. □

Example 4.5. Consider the matrix

$$A = \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix}.$$

Then A is a P-matrix. The eigenvalues of A are given by

$$\lambda = 1 \pm i.$$

Proposition 4.6. *Let $T \in \mathcal{B}(\mathcal{H})$ be a P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$. Then any real element in $\sigma_a(T)$ is positive.*

Proof. Let $\lambda \in \sigma_a(T)$ be real. Then there exists a sequence $\{x_n\} \in \mathcal{H}$ with $\|x_n\| = 1$ and $Tx_n - \lambda x_n \rightarrow 0$ as $n \rightarrow \infty$, that is, $(T - \lambda I)x_n \rightarrow 0$ as $n \rightarrow \infty$. This implies that $Tx_n \rightarrow \lambda x_n$ as $n \rightarrow \infty$, where $x_n \rightarrow x$ as $n \rightarrow \infty$. As T is a P-operator relative to the orthonormal basis \mathcal{B} and $\|x_n\| = 1$, there exists j_n such that $\langle x_n, e_{j_n} \rangle \langle Tx_n, e_{j_n} \rangle > 0$, that is, $\langle x, e_{j_n} \rangle \lambda \langle x, e_{j_n} \rangle > 0$ as $n \rightarrow \infty$. Thus $\lambda \langle x, e_{j_n} \rangle^2 > 0$, hence $\lambda > 0$. \square

Theorem 4.7. *Let $T \in \mathcal{B}(\mathcal{H})$ be a normal operator and let $\mathcal{H}_0 = \ker(T)$ and $\mathcal{H}_1 = \ker(T)^\perp$. If T' , the restriction of T to \mathcal{H}_1 , is a P-operator relative to an orthonormal basis $\mathcal{B}' = \{e_i\}_{i=1}^\infty$, where \mathcal{B}' is the sequence of eigenvectors of T with $Te_i = \lambda_i e_i$, Then there exists a positive operator $R \in K(\mathcal{H})$ such that $R^2 = T$, which is denoted $\sqrt{T'}$ or $(T')^{1/2}$. It is unique among positive bounded operators.*

Proof. We have $Te_i = \lambda_i e_i$, where $\lambda_i \in \sigma_e(T) \setminus \{0\}$. As T' is a P-operator relative to \mathcal{B}' , we have

$$\begin{aligned} \lambda_i &= \lambda_i \langle e_i, e_i \rangle, \\ &= \langle \lambda_i e_i, e_i \rangle, \\ &= \langle Te_i, e_i \rangle, \\ &= \langle e_i, e_i \rangle \langle Te_i, e_i \rangle > 0 \quad \forall i. \end{aligned}$$

Now we define

$$R(u_0 + u_1) = \sum_{i \geq 1} \sqrt{\lambda_i} \alpha_i e_i.$$

For any $u_0 \in \mathcal{H}_0$ and $u_1 = \sum_{i \geq 1} \alpha_i e_i \in \mathcal{H}_1$, we can see that R is a diagonal operator with coefficients $\sqrt{\lambda_i}$. Now by Theorem 4.2, we have $\sqrt{\lambda_i} \rightarrow 0$ as $i \rightarrow \infty$. This shows that R is a well defined compact operator. Thus for every $u \in \mathcal{H}_1$, we have

$$\begin{aligned} R^2(u) &= R \left(\sum_{i \geq 1} \sqrt{\lambda_i} \alpha_i e_i \right), \\ &= \sum_{i \geq 1} \lambda_i \alpha_i e_i, \\ &= T' u \quad \forall u \in \mathcal{H}_1. \end{aligned}$$

Therefore $R^2 = T'$. We next show the uniqueness. Let $R \in B(\mathcal{H})$ be such that $R^2 = T'$. Then $RT' = RR^2 = R^2R = T'R$. That is, R and T' commute. It follows that any non-zero eigenvalue λ_i of T' , R induces the operator

$$R_i : \ker(T' - \lambda_i I) \rightarrow \ker(T' - \lambda_i I)$$

given by $R_i = \sqrt{\lambda_i} I$ on the finite-dimensional λ_i - eigenspace of T' , we can see that R_i are P-operators relative to the orthonormal basis of $\ker(T' - \lambda_i I)$ and it satisfies $R_i^2 = \lambda_i I$. Also note that

$$\begin{aligned} \|R(u)\|^2 &= \langle R(u), R(u) \rangle, \\ &= \langle R^2(u), u \rangle, \\ &= \langle T'(u), u \rangle. \end{aligned}$$

Thus

$$\begin{aligned} \ker(R) &= \{u \in \mathcal{H} : R(u) = 0\}, \\ &= \{u \in \mathcal{H} : \|R(u)\|^2 = 0\}, \\ &= \{u \in \mathcal{H} : \langle T'(u), u \rangle = 0\}. \end{aligned}$$

Now by the expression 4.3 of the Theorem 4.2, we have

$$T'u = \sum_{i \geq 1} \lambda_i \langle u, e_i \rangle e_i.$$

Thus

$$\begin{aligned} \langle T'u, u \rangle &= \left\langle \sum_{i \geq 1} \lambda_i \langle u, e_i \rangle e_i, u \right\rangle \\ &= \sum_{i \geq 1} \lambda_i |\langle u, e_i \rangle|^2. \end{aligned}$$

In terms of orthonormal basis of eigenvectors $\{e_i\}_{i=1}^\infty$ and the positivity $\lambda_i > 0$ of eigenvalues, we have $\langle T'u, u \rangle = 0$ if and only if u is perpendicular to span of $\{e_i\}_{i=1}^\infty$. Thus the P-operator R is uniquely determined on each eigenspace of T' and $\ker(T')$. By the spectral theorem, this implies that R is unique. \square

Next we define the concept of k th elementary symmetric function in infinite dimensional cases as follows:

Definition 4.8. Let $\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots)$ denote the k th symmetric function of the numbers $\lambda_1, \lambda_2, \lambda_3, \dots$, given by

$$\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots) = \sum_{1 \leq i_1 < i_2 < \dots < i_k} \lambda_{i_1} \cdot \lambda_{i_2} \cdots \lambda_{i_k}.$$

Theorem 4.9. *The set $\{\lambda_1, \lambda_2, \lambda_3, \dots\}$ is an eigen spectrum of some P-operator $T \in \mathcal{B}(\mathcal{H})$ relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$ if and only if $\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots) > 0, k = 1, 2, 3, \dots$*

Proof. Suppose that the set $\{\lambda_1, \lambda_2, \lambda_3, \dots\}$ is an eigen spectrum of some P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$. As $\lambda_i \in \sigma_e(T)$, for each i , we have $\lambda_i > 0$ by Proposition 4.3. As $\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots)$ is the result of sums of the products of λ_i , we have $\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots) > 0$ for each k .

Conversely, suppose that the set $\{\lambda_1, \lambda_2, \lambda_3, \dots\}$ satisfies $\sigma_k(\lambda_1, \lambda_2, \lambda_3, \dots) > 0$ for each k . Let us define the operator $T \in \mathcal{B}(\mathcal{H})$ by

$$Tx = \sum_{i=1}^\infty \alpha \sigma_i \langle x, e_i \rangle e_i,$$

where $\alpha > 0$ so small, so that T is bounded and σ_i denotes $\sigma_i = \sigma_i(\lambda_1, \lambda_2, \lambda_3, \dots)$. Then T is the required P-operator relative to the orthonormal basis \mathcal{B} . \square

Theorem 4.10. *Let $T \in \mathcal{B}(\mathcal{H})$ be a P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$. Then T satisfies*

$$\inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \geq 0$$

where $\mathcal{H}^+ = \{x \in \mathcal{H} : \langle x, e_i \rangle \neq 0 \text{ for all } i\}$. Moreover, if T satisfies $Tu = \rho(T)u$ for some $u \in \mathcal{H}^+$, then

$$\inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} = \sup_{e_i \in \mathcal{B}} \inf_{x \in \mathcal{H}^+} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} = \rho(T).$$

Proof. As T is a P-operator relative to an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^\infty$, there exists an index j such that $\langle x, e_j \rangle \langle Tx, e_j \rangle > 0$, thus $\frac{\langle x, e_j \rangle \langle Tx, e_j \rangle}{\langle x, e_j \rangle^2} > 0$, that is, $\frac{\langle Tx, e_j \rangle}{\langle x, e_j \rangle} > 0$, so for any $x \in \mathcal{H}^+$, $\sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} > 0$. Thus if we take infimum of these positive numbers we get that $\inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \geq 0$. Now

$$\inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \leq \sup_{e_i \in \mathcal{B}} \frac{\langle Tu, e_i \rangle}{\langle u, e_i \rangle} = \sup_{e_i \in \mathcal{B}} \frac{\rho(T)\langle u, e_i \rangle}{\langle u, e_i \rangle} = \rho(T). \quad (4.4)$$

Also we have,

$$\sup_{e_i \in \mathcal{B}} \inf_{x \in \mathcal{H}^+} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \geq \frac{\langle Tu, e_i \rangle}{\langle u, e_i \rangle} = \frac{\rho(T)\langle u, e_i \rangle}{\langle u, e_i \rangle} = \rho(T). \quad (4.5)$$

Now by the well known result that for a function $\psi : X \times Y \rightarrow X \times Y$, where X and Y are any two sets, we have

$$\inf_{x \in X} \sup_{y \in Y} \psi \geq \sup_{y \in Y} \inf_{x \in X} \psi$$

and from equations (4.4) and (4.5) we get

$$\rho(T) \leq \sup_{e_i \in \mathcal{B}} \inf_{x \in \mathcal{H}^+} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \leq \inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} \leq \rho(T). \quad (4.6)$$

Hence

$$\inf_{x \in \mathcal{H}^+} \sup_{e_i \in \mathcal{B}} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} = \sup_{e_i \in \mathcal{B}} \inf_{x \in \mathcal{H}^+} \frac{\langle Tx, e_i \rangle}{\langle x, e_i \rangle} = \rho(T). \quad \square$$

Theorem 4.11. *Let \mathcal{H} be a separable Hilbert space with an orthonormal basis $\{e_i\}_{i=1}^{\infty}$, $S, T \in \mathcal{B}(\mathcal{H})$ and D be a diagonal operator such that $De_i = d_{ii}e_i$, where $0 \leq d_{ii} \leq 1$. Then the following statements hold good :*

- (1) *If ST^{-1} is a P-operator relative to the orthonormal basis \mathcal{B} , then $0 \notin \sigma_e(DT + (I - D)S)$.*
- (2) *If $S^{-1}T$ is a P-operator relative to the orthonormal basis \mathcal{B} , then $0 \notin \sigma_e(TD + S(I - D))$.*

Proof. (1) Let ST^{-1} be a P-operator relative to \mathcal{B} . Suppose that $0 \in \sigma_e(DT + (I - D)S)$. Then there exists some $0 \neq x \in \mathcal{H}$ such that $0 = (DT + (I - D)S)x = (D + (I - D)ST^{-1})Tx$. Set $y = Tx$. Then $y \neq 0$, since T is invertible. Now, $Dy + (I - D)ST^{-1}y = 0$ implies $\langle De_i, e_i \rangle \langle y, e_i \rangle = -\langle (I - D)e_i, e_i \rangle \langle ST^{-1}y, e_i \rangle$. If $\langle y, e_i \rangle \geq 0$, then $\langle (ST^{-1}y), e_i \rangle \leq 0$ so that $\langle y, e_i \rangle \langle (ST^{-1}y), e_i \rangle \leq 0$. If $\langle y, e_i \rangle \leq 0$, then $\langle (ST^{-1}y), e_i \rangle \geq 0$ so that $\langle y, e_i \rangle \langle (ST^{-1}y), e_i \rangle \leq 0$. That is, $\langle y, e_i \rangle \langle (ST^{-1}y), e_i \rangle \leq 0$ for all i , a contradiction to ST^{-1} being a P-operator relative to \mathcal{B} . Thus $0 \notin \sigma_e(DT + (I - D)S)$.

- (2) Let $S^{-1}T$ be a P-operator relative to \mathcal{B} . Suppose that $0 \in \sigma_e(TD + S(I - D))$. Then there exists some $0 \neq x \in \mathcal{H}$ such that $0 = (TD + S(I - D))x = S(S^{-1}TD + (I - D))x$. As S is invertible, we have $S^{-1}TDx + (I - D)x = 0$. Now, $\langle (I - D)e_i, e_i \rangle \langle x, e_i \rangle = -\langle De_i, e_i \rangle \langle S^{-1}Tx, e_i \rangle$. If $\langle x, e_i \rangle \geq 0$, then $\langle (S^{-1}Tx), e_i \rangle \leq 0$ so that $\langle x, e_i \rangle \langle (S^{-1}Tx), e_i \rangle \leq 0$. If $\langle x, e_i \rangle \leq 0$, then we get $\langle (S^{-1}Tx), e_i \rangle \geq 0$ so that $\langle x, e_i \rangle \langle (S^{-1}Tx), e_i \rangle \leq 0$. That is, $\langle x, e_i \rangle \langle (S^{-1}Tx), e_i \rangle \leq 0$ for all i , a contradiction to $S^{-1}T$ being a P-operator relative to \mathcal{B} . Thus $0 \notin \sigma_e(TD + S(I - D))$. □

Next we discuss some spectral results for operator form of sufficient matrices, called sufficient operators in Hilbert space settings defined as follows.

Definition 4.12. Let $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$ be an orthonormal basis of \mathcal{H} . Then $T \in \mathcal{B}(\mathcal{H})$ is said to be:

- (1) C-sufficient relative to the given orthonormal basis \mathcal{B} if for $x \in \mathcal{H}$, the inequalities $\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0$ for all i imply that $\langle x, e_i \rangle \langle Tx, e_i \rangle = 0$ for all i .

- (2) R-sufficient relative to the given orthonormal basis \mathcal{B} if the adjoint of T is a C-sufficient operator relative to \mathcal{B} .
- (3) sufficient if it is both a C-sufficient and R-sufficient operator relative to the given orthonormal basis \mathcal{B} .

Example 4.13. Consider the Hilbert space $\mathcal{H} = \ell^2$, the space of square summable sequences and $\mathcal{B}_1 = \{e_i\}_{i=1}^\infty$ be the standard orthonormal basis of \mathcal{H} . Let T be an operator on \mathcal{H} defined by:

$$T(x_1, x_2, x_3, \dots) = (0, x_1 + x_2, 0, x_3 + x_4, 0, x_5 + x_6, 0, \dots).$$

Then T is a bounded linear operator on \mathcal{H} . Now consider another orthonormal basis $\mathcal{B}_2 = \{Ue_i\}_{i=1}^\infty$ of \mathcal{H} , where U is the unitary operator on \mathcal{H} given by

$$U(x_1, x_2, x_3, \dots) = \left(\frac{x_1}{\sqrt{2}} + \frac{x_2}{\sqrt{2}}, \frac{x_1}{\sqrt{2}} - \frac{x_2}{\sqrt{2}}, \frac{x_3}{\sqrt{2}} + \frac{x_4}{\sqrt{2}}, \frac{x_3}{\sqrt{2}} - \frac{x_4}{\sqrt{2}}, \dots \right).$$

Then we see that the operator T is a C-sufficient operator relative to the orthonormal basis \mathcal{B}_2 . Because here the inequalities $\langle x, Ue_i \rangle \langle Tx, Ue_i \rangle \leq 0$ for all i imply that $(\frac{x_i}{\sqrt{2}} + \frac{x_{i+1}}{\sqrt{2}})^2 \leq 0$ and $(\frac{x_{i+1}}{\sqrt{2}} - \frac{x_i}{\sqrt{2}})^2 \leq 0$, solving these inequalities together will get $x_i = -x_{i+1}$, but in that case $T(x_1, x_2, x_3, \dots) = (0, 0, 0, \dots)$, thus we get $\langle x, Ue_i \rangle \langle Tx, Ue_i \rangle = 0$ for all i . This shows that T is a C-sufficient operator relative to \mathcal{B}_2 .

The operator T is not a C-sufficient operator relative to the orthonormal basis \mathcal{B}_1 . Because, here $\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0$ for all i imply that $x_1 x_2 + x_2^2 \leq 0$, thus we can observe that for the element $(-5, \sqrt{2}, 0, 0, \dots)$ will satisfy that $\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0$ for all i , but $\langle x, e_2 \rangle \langle Tx, e_2 \rangle \neq 0$, thus by definition, T is not a C-sufficient operator relative to \mathcal{B}_1 .

Example 4.14. Let us consider the Hilbert space $\mathcal{H} = \ell^2$ and $\mathcal{B} = \{e_i\}_{i=1}^\infty$ be the standard orthonormal basis of \mathcal{H} . Let $T \in B(\mathcal{H})$ be defined by

$$T(x_1, x_2, x_3, \dots) = (x_1, x_1, x_3, x_3, x_5, x_5, \dots).$$

The adjoint of the operator T is given by

$$T^*(y_1, y_2, y_3, \dots) = (y_1 + y_2, y_3 + y_4, y_5 + y_6, \dots).$$

T is a C-sufficient operator relative to the given orthonormal basis \mathcal{B} . As $\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0$ for all i imply that $\langle x, e_i \rangle \langle Tx, e_i \rangle = 0$ for all i . But T is not R-sufficient operator, because the operator T^* is not C-sufficient operator relative to the given orthonormal basis \mathcal{B} , for the non-zero element $(2, -3, 0, 0, \dots)$, satisfies $\langle x, e_i \rangle \langle T^*x, e_i \rangle \leq 0$ for all i , but $\langle x, e_i \rangle \langle T^*x, e_i \rangle \neq 0$ when $i = 2$. If we let S be the operator on \mathcal{H} given by $S = T^*$, then $S^* = T$. As S^* is C-sufficient relative to the orthonormal basis \mathcal{B} , the operator S is a R-sufficient operator relative to the orthonormal basis \mathcal{B} . Also, we have seen that $S = T^*$ is not C-sufficient relative to the orthonormal basis \mathcal{B} .

Next, we give an example of a sufficient operator relative to an orthonormal basis.

Example 4.15. Let us consider the Hilbert space $\mathcal{H} = \ell^2$ and $\mathcal{B} = \{e_i\}_{i=1}^\infty$ be an orthonormal basis of \mathcal{H} . Let T be an operator on \mathcal{H} defined by

$$T(x_1, x_2, x_3, x_4, x_5, \dots) = (x_1, x_1 + x_2, x_3, x_3 + x_4, x_5, \dots).$$

Then T is a bounded linear operator on \mathcal{H} and the adjoint of the operator T is given by

$$T^*(y_1, y_2, y_3, y_4, y_5, \dots) = (y_1 + y_2, y_2, y_3 + y_4, y_4, \dots).$$

Then T is a C-sufficient operator relative to the given orthonormal basis \mathcal{B} . Also, T is a R-sufficient operator relative to the given orthonormal basis \mathcal{B} . Thus T is a sufficient operator relative to the given orthonormal basis \mathcal{B} .

Definition 4.16. Let \mathcal{H} be a separable Hilbert space with an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$. Let $T \in \mathcal{B}(\mathcal{H})$. The term λ -eigenvector denotes an eigenvector u corresponding to the eigenvalue λ of T , i.e., $Tu = \lambda u$. If such an eigenvector u is such that $\langle u, e_i \rangle \neq 0$ for all i , then u is called a strictly nonzero eigenvector. On the other hand, if $\langle u, e_i \rangle = 0$ for at least one i , then u is called a partly zero eigenvector.

Remark 4.17. Let \mathcal{H} be a separable Hilbert space with an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$ and $T \in \mathcal{B}(\mathcal{H})$. Let us denote T_{α} for $\alpha \subseteq \mathbb{N}$, the restriction of T to $H' = \text{span}\{e_i : i \in \alpha\}$. Any vector in H' we denote by x_{α} .

Theorem 4.18. Let \mathcal{H} be a separable Hilbert space with an orthonormal basis $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$. Let $T \in \mathcal{B}(\mathcal{H})$. Then the following are equivalent.

- (1) T is a C -sufficient operator relative to an orthonormal basis \mathcal{B} .
- (2) For any index set $\alpha \subseteq \mathbb{N}$ and non-negative diagonal operator $D_{\alpha} \neq 0$, if $T_{\alpha} + D_{\alpha}$ is not invertible, then every zero eigenvector of $T_{\alpha} + D_{\alpha}$ is partly zero eigenvector.

Proof. (1) \Rightarrow (2): Suppose there exists an index set $\alpha \subseteq \mathbb{N}$, a nonnegative diagonal operator $D_{\alpha} \neq 0$, and a strictly nonzero 0-eigenvector x_{α} such that $(T_{\alpha} + D_{\alpha})x_{\alpha} = 0$. We denote an element in H' by x_{α} whereas an element $y_{\bar{\alpha}}$ represents in $H \setminus H'$. Define a vector y such that $y_{\alpha} = x_{\alpha}$ and $y_{\bar{\alpha}} = 0$. Then $\langle y, e_i \rangle \langle Ty, e_i \rangle \leq 0$ for all i . It follows from the hypothesis that $\langle y, e_i \rangle \langle Ty, e_i \rangle = 0$ for all i . Since x_{α} is a strictly nonzero vector, we have $-Dx_{\alpha} = T_{\alpha}x_{\alpha} = 0$, hence $D_{\alpha} = 0$, which is a contradiction. Thus the zero eigenvector of $T_{\alpha} + D_{\alpha}$ is a partly zero eigenvector.

(2) \Rightarrow (1): Suppose $\langle y, e_i \rangle \langle Ty, e_i \rangle \leq 0$ for all i . Let $\alpha = \{i : \langle x, e_i \rangle \neq 0\}$. If $T_{\alpha}x_{\alpha} \neq 0$, then there exists a nonnegative diagonal matrix $D \neq 0$ such that $T_{\alpha}x_{\alpha} = -Dx_{\alpha}$. It follows that there exists a strictly nonzero vector x_{α} , satisfying $(T_{\alpha} + D)x_{\alpha} = 0$, which is a contradiction. Hence $T_{\alpha}x_{\alpha} = 0$, therefore, $\langle y, e_i \rangle \langle Ty, e_i \rangle \leq 0$ for all i . \square

Sign-reversing is one of the properties of matrices along with a given vector, which is used to identify different classes of matrices having important applications. The concept of sign-reversing proves to be a valuable technique in delineating specific matrix classes in linear complementarity problems [4]. We define this sign-reversing property for operators on a Hilbert space \mathcal{H} as follows.

Definition 4.19. Let $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$ be an orthonormal basis for \mathcal{H} and $T \in \mathcal{B}(\mathcal{H})$. We say that T reverses the sign of the vector $x \in \mathcal{H}$ relative to \mathcal{B} if

$$\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0, \quad \text{for all } i.$$

Example 4.20. Let us consider the right shift operator T_R on $\mathcal{H} = \ell^2$, defined by

$$T_R(x_1, x_2, x_3, \dots) = (0, x_1, x_2, \dots)$$

and let $\mathcal{B}_1 = \{e_i\}_{i=1}^{\infty}$ be the standard orthonormal basis of \mathcal{H} . Then T_R reverses the sign of the vector $x = (1, 0, 0, \dots)$ relative to \mathcal{B}_1 . Let us consider a unitary operator U on ℓ^2 given by

$$U(x_1, x_2, x_3, x_4, \dots) = \left(\frac{x_1}{\sqrt{2}} + \frac{x_2}{\sqrt{2}}, \frac{x_1}{\sqrt{2}} - \frac{x_2}{\sqrt{2}}, \frac{x_3}{\sqrt{2}} + \frac{x_4}{\sqrt{2}}, \frac{x_3}{\sqrt{2}} - \frac{x_4}{\sqrt{2}}, \dots \right).$$

Then $\mathcal{B}_2 = \{Ue_i\}_{i=1}^{\infty}$ is an orthonormal basis for \mathcal{H} . Here $\langle x, Ue_1 \rangle \langle Tx, Ue_1 \rangle = \frac{1}{\sqrt{2}} \cdot \frac{1}{\sqrt{2}} = \frac{1}{2} > 0$, so T does not reverse the sign of the vector x relative to \mathcal{B}_2 .

Definition 4.21. Let $\mathcal{B} = \{e_i\}_{i=1}^{\infty}$ be an orthonormal basis for \mathcal{H} and $T \in \mathcal{B}(\mathcal{H})$. We denote the sign reversing set of T relative to \mathcal{B} by $\text{rev}_{\mathcal{B}}(T)$ which is defined by

$$\text{rev}_{\mathcal{B}}(T) = \{x : \langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0, \quad \text{for all } i\}.$$

Example 4.22. Let T_R be the right shift operator on $\mathcal{H} = \ell^2$ and let $\mathcal{B} = \{e_i\}_{i=1}^\infty$ be the standard orthonormal basis of \mathcal{H} . Then

$$\text{rev}_{\mathcal{B}}(T_R) = \{x \in \ell^2 : x_i x_{i+1} \leq 0, \text{ for all } i\}.$$

Theorem 4.23. Let \mathcal{H} be a separable Hilbert space with an orthonormal basis $\{e_i\}_{i=1}^\infty$ and T be a C -sufficient operator relative to \mathcal{B} . Then any eigenvector corresponding to a non-zero eigenvalue is not an element of $\text{rev}_{\mathcal{B}}(T)$.

Proof. Let $\lambda \neq 0 \in \sigma_e(T)$. Then there exists $x \neq 0 \in \mathcal{H}$ such that $Tx = \lambda x$. If $x \in \text{rev}_{\mathcal{B}}(T)$, then $\langle x, e_i \rangle \langle Tx, e_i \rangle \leq 0$ for all i . As T is a C -sufficient operator, we have $\langle x, e_i \rangle \langle Tx, e_i \rangle = 0$ for all i , that is, $\langle x, e_i \rangle \langle \lambda x, e_i \rangle = 0$ for all i . Thus $\lambda \langle x, e_i \rangle^2 = 0$ for all i , this implies that $\langle x, e_i \rangle = 0$ for all i . Thus $x = 0$, which is a contradiction. Hence $x \notin \text{rev}_{\mathcal{B}}(T)$. \square

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